# Expectations from ADM-Aeolus ESA's Doppler lidar wind-profile mission DGH Tan ECMWF Acknowledgments: ESA (Mission Experts Division & Aeolus project team) Aeolus Mission Advisory Group Level-1B/2A/2B Development Teams





### Overview - why expectations are so high

- ADM-Aeolus addresses key observational needs
  - Objectives, wind observation requirements, DWL instrument, viewing geometry
- Implementation well-advanced for launch in 2009
  - Space and ground segments
  - HLOS wind product (L2B data, algorithm, portable s-ware)
  - Cloud and aerosol products (L2A data)
  - Experimental campaigns and calibration/validation
- Studies with wind lidar data support theoretical expectations
  - Data simulations, NWP data impact studies (assimilation ensembles as alternative to OSSEs, + information content)
- Airborne DWL (Weissman). Tropical assimilation (Zagar).
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### Key references

- Baker et al 1995, BAMS
- ESA 1999 Report for Assessment (Stoffelen et al 2005, BAMS) and 2007/8 Science Report
- Weissman and Cardinali 2006, QJRMS
- N. Zagar & co-authors, QJRMS & Tellus A
- Tan & Andersson 2005, QJRMS
- Tan et al 2007, QJRMS
- Tan et al 2008, Tellus A (Special Issue on ADM-Aeolus)



### **Background for ADM-Aeolus** What is the ADM-Aeolus Mission ?

- Aeolus objectives
  - improve understanding of atmospheric dynamics & climate processes (global atmospheric transport, global cycling of energy, water, aerosols, chemicals), and
  - improve the quality of weather forecasts (via better initial conditions - analyses from data assimilation), by
  - providing global observations of wind profiles from space
- Selected in 1999 as the 2nd Earth Explorer Core mission in ESA's Living Planet Programme for Earth Observation
  - Launch 2009 (provisional), duration 3 years
  - Currently in Phase C (manufacturing & testing)
  - R&D, pre-operational for future meteorological satellites



# Background for ADM-Aeolus

#### **Observational Requirements**

		PBL	Troposph.	Stratosph.
Vertical Domain	[km]	0-2	2-16	16-20
Vertical Resolution	[km]	0.5	1.0	2.0
Horizontal Domain			global	
Number of Profiles	[hour <sup>-1</sup> ]		> 100	
Profile Separation	[km]		> 200	
Horizontal Integration Length	[km]		50	
Accuracy (HLOS Component)	[m/s]	1	2	3
Data Availability	[hour]		3	
Length of Observational Data Set	[yr]		3	

Most important requirements - accuracy & vertical resolution

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### Background for ADM-Aeolus Measurement Concept



CALIPSO lidar - vertical cross sections of backscatter

Backscatter signal

- Aeolus winds are derived from Doppler shift of aerosols and molecules along lidar line-of-sight
- Error estimates, cloud & aerosol properties derived from signal strength





### Background for ADM-Aeolus Measurement Concept



- Backscatter signal
- Winds are derived from Doppler shift of aerosols and molecules along lidar line-of-sight
- Error estimates, cloud & aerosol properties derived from signal strength

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### ADM-Aeolus Space Segment - preparation/testing of 1) structural-thermal model 2) lidar transmitter/receiver



### Background for ADM-Aeolus ADM-Aeolus Optical Receiver



- Mie light reflected into Rayleigh channel
- Rayleigh wind algorithm includes

correction term involving

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scattering ratio (s)

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# ILIAD - Impact of P, T and backscatter ratio on Rayleigh Responses



### ADM-Aeolus pre-launch campaigns

with development/pre-flight instrument (A2D)

Ack: Oliver Reitebuch

Campaign	Location	Time	Instruments
ADM-Aeolus Ground Campaign	Lindenberg DWD-MOL	4 weeks Jul 2007	A2D within container (DLR) 2μm lidar within container (DLR) 482 MHz windprofiler radar (DWD) 35.5 GHz cloud radar (DWD) laser ceilometer (DWD) sun-photometer (DWD) 4 operational RASO/day + 10 additional (DWD) aerosol lidar 355 nm (MIM) Rayleigh Doppler lidar?
ADM- Aeolus Airborne Campaign 1	DLR-Oberpfaffenhofen over-flights Lindenberg and other sites	15 days Oct 2007	A2D and 2µm in DLR Falcon DWD-MOL instruments as in AGC
ADM- Aeolus Airborne Campaign 2	TBD	17 days 2008/9	A2D and $2\mu$ m in DLR Falcon additional instruments, if linked to other campaign

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### **ADM-Aeolus Ground Segment**

#### Aeolus Ground Segment & Data Flows (schematic view)



### On-going preparations for ADM-Aeolus

- Level-0 to Level-2B processing
  - Rayleigh HLOS retrieval requires auxiliary meteorological data (T & p profiles) from NWP models
  - Flexible & portable L2B processor being developed

> prototype available to the nwp/scientific community

- Error estimates, quality indicators, weighted averaging of the measurement scale (< 3.5 km) to produce the observation scale (50 km), signal classification
- Potential cloud & aerosol products (+ algs / code for L2Bp)
- Concepts for follow-on & future missions
  - Scanning vs multiple orbits non-scanning
  - Programmatics, data continuity



# Previous data simulations for ADM-Aeolus

Yield (data meeting mission requirements in % terms) at 10 km

- 90% of Rayleigh data have accuracy better than 2 m/s
- In priority areas (filling data gaps in tropics & over oceans)
- Complemented by good Mie data from cloud-tops/cirrus (5 to 10%)
- Tan & Andersson
  QJRMS 2005



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MWF

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# Assimilation of prototype ADM-Aeolus data

New observed quantity introduced into 4d-Var



**Observation Screening** 

Assimilation Algorithm

Diagnostic post-processing

IFS "Screening Job" Check completeness of report, blacklisting **Background Quality Control** 

"Bufr2ODB"

**Observation Processing** 

Data Flow at ECMWF

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IFS "4D-VAR" Implement HLOS in FWD, TL & ADJ Codes Variational Quality Control

> "Obstat" etc (Lars Isaksen) **Recognize HLOS for statistics** Rms, bias, histograms

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Analysis

## Assimilation studies for ADM-Aeolus

- Tan et al., QJRMS 133:381-390 (2007)
- Assimilation ensembles for data impact assessment
  - Original motivation: use ensemble spread as proxy for short-range forecast errors (background errors)
  - By extension, good data reduce ensemble spread
  - DWL impact
  - Radiosonde/profiler impact provides calibration
- Additional diagnostics related to information content
  - Entropy reduction
  - Degrees of freedom for signal



### <u>OSE</u>



### <u>OSE</u>



#### Data impact on ensemble forecasts - zonal wind spread at 500 hPa





- Radiosondes and wind profilers over Japan, Australia, N.Amer, Europe
- DWL over oceans & tropics
- Some features more obvious at 200 hPa ...

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# OLD Data impact on ensemble forecasts - zonal wind spread at 500 hPa







- Radiosondes and wind profilers over Japan, Australia, N.Amer, Europe
- DWL over oceans & tropics
- Some features more obvious at 200 hPa ...

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#### Data impact on ensemble forecasts - zonal wind spread at 200 hPa







- Radiosondes and wind profilers over Japan, Australia, N.Amer, Europe
- DWL over oceans and tropics

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#### Profiles of 12-hr Fc impact, Southern Hemisphere





# Information content - global diagnostics

- Mike Fisher for Entropy Reduction & DFS
  - $S \sim log(det(P^A))$
  - ~ tr ( log (  $J''^{-1}$  ) )
  - J" = 4d-var Hessian
  - P<sup>A</sup> = analysis error covar.
- DWL data are accurate and fill data gaps
  - subject to usual caveats about simulated data

	TEMP/PILOT	Simulated DWL
Data considered	u,v to 55 hPa	HLOS
Entropy_Reduction ("Info bits")	4830	3123
Deg_Free_Sig	3707	2743
N_Obs	90688	50278
Info bits per obs	0.053	0.062
N_Obs/Deg_Free_Sig	24.5	18.3
Redundancy		2 — 3 %





# Information content - global diagnostics

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- DWL data are accurate and fill data gaps
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	TEMP/PILOT	Simulated DWL
Data considered	u,v to 55 hPa	HLOS
Entropy_Reduction	4203	2787
("Info bits")		
Deg_Free_Sig	3153	2454
N_Obs	74682	28979
Info bits per obs	0.056	0.096
N_Obs/Deg_Free_Sig	23.7	11.8
Redundancy		2 — 3 %





### Assimilation of prototype ADM-Aeolus data Reception of L1B data and L2B processing at NWP centres



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## The Level-2B Processor

- 1. Introduction
  - a. What are the Level-2B/2C Wind Products?
  - b. How do they differ from Level-1B Products?
- 2. Strategy and implementation
  - a. Who will make them?
  - b. Why distribute source code for the L2BP?
- 3. Does it work?
  - a. Main algorithm components
  - b. Retrieval examples, future work
- 4. How will L2BP source code be distributed?



## 1a/b. What are Level-2B/2C Products?



## 1a/b. What are Level-2B/2C Products?

- > 2B: Meteorologically representative HLOS profiles
  - retrieval algs applied to Level-1B data, 2B-output suitable as input to data assimilation
    - auxiliary input data: T & p, Rayleigh-Brillouin response data, etc

> 2C: Meteorologically representative wind vector profiles

- result of a data assimilation algorithm, combining
  Level-2B with other data/weather forecast model
- > How do they differ from Level-1B Products?
  - Rayleigh channel retrieval accounts for T & p effects
  - measurements grouped/weighted by features detected in the atmospheric scene (primarily clouds & aerosol)





# 2a. Who will make Level-2B/2C Products?

- > ECMWF for "operational" Level-2B/2C products
  - Processing integrated with data assimilation system
  - Products in ESA's Earth Explorer file format available from ESA (Long-Term Archive)
- > ESA LTA for Level-2B late- & re-processing
  - Level-1B missing ECMWF's operational schedule
  - New processing parameters/auxiliary inputs
- > Other Numerical Weather Prediction centres
  - Different operational schedule/assimilation strategy
  - Different processing params/aux inputs/algorithms
- Research institutes & general scientific users
  - Different processing params/aux inputs/algorithms



## 2a-1. ECMWF "operational" configuration



### 2a-2. ESA-LTA late- and re-processing



### 2a-4. Research/general scientific use



## 2a-3. Other NWP configurations



# 2b. Why distribute L2BP Source Code?

- > Distribution of executable binaries only permits
  - limited number of computing platforms
  - different settings in processing parameters input file
    - thresholds for QC, cloud detection
  - different auxiliary inputs
    - option to use own meteorological data (T & p) in place of ECMWF aux met data (available from LTA)
- Provide maximum flexibility for other centres/institutes to generate their own products
  - different operational schedule/assimilation strategy
  - scope to improve algorithms
    - feed into new releases of the operational processor



## 3a. How it works - Tan et al *Tellus A* in press

- > Rayleigh channel HLOS retrieval Dabas et al, Tellus A
  - R = (A-B) / (A+B) and HLOS =  $F^{-1}(R;T,p,s)$
  - T and p are auxiliary inputs
  - correction for Mie contamination, using estimate of scattering ratio s
- > Mie channel HLOS retrieval
  - peak-finding algorithm (4-parameter fit as per L1B)
- > Retrieval inputs are scene-weighted
  - ACCD =  $\Sigma$  ACCD<sub>m</sub> W<sub>m</sub>, W<sub>m</sub> between 0 and 1
- > Error estimate provided for every Rayleigh & Mie hlos
  - dominant contributions are SNR in each channel


#### Rayleigh-Brillouin spectrum and Aeolus response curves



### 3b. Level-2B input screening & feature finding





#### 3b. Level-2B hlos wind retrievals





#### 3b. Level-2B hlos retrieval - error estimates





#### 3b. Level-2B hlos retrieval - error estimates







### 3c. Future work

- > Quality Indicators
  - Highlighting doubtful L2B retrievals
    - More complicated atmospheric scenes from simulations + Airborne Demonstrator
- > Advanced feature-finding/optical retrievals
  - Methods based on NWP T & p introduce error correlations
- > Modified measurement weights
  - More weight to measurements with high SNR?
- Height assignment
  - In situations with aerosol and vertical shear



- 4. Distribution of L2BP software
- > Software releases issued by ECMWF/ESA
  - Details & timings to be determined
  - Probably via registration with ECMWF and/or ESA
  - Source code and scripts for installation
    - Fortran90, some C support
    - Developed/tested under several compilers
  - Suite of unit tests with expected test output
  - Documentation
    - Software Release Note
    - Software Users' Manual
    - Definitions of file formats (IODD), ATBD, etc.



### Conclusions

- > Expectations for ADM-Aeolus are high
  - On track for producing major benefits in NWP
    - Meeting the mission requirements for vertical resolution & accuracy
    - Extending to stratosphere, re-analysis
    - Our software available to NWP/science community
  - Combine with other observations
    - Height assignment for AMVs
    - Complement other cloud/aerosol missions
  - Related research
    - Background error specification



### 5.1 Prototype Level-2C Processing

- ✓ Ingestion of L1B.bufr into the assimilation system
  - L1B obs locations within
    ODB (internal
    Observation DataBase)
- ✓ Assimilation of HLOS observations (from L1B)
  - Corresponding analysis increments (Z100)





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# 5.2 Key assimilation operators

HLOS, TL and AD

- $\bullet H = u \sin \varphi v \cos \varphi$
- $\bullet dH = du \sin \varphi dv \cos \varphi$
- dH\* =  $(-dy \sin \varphi, -dy \cos \varphi)^T$
- Generalize to layer averages later
- Background error
  - Same as for u and v (assuming isotropy)
- Persistence or representativeness error
  - ♦ 10 to 20 m/s for technical development
- Prototype quality control
  - Adapt local practice for u and v



#### 5.3 L2BP integration within an assimilation system



#### 5.4 Overview data flow - standalone mode





### 5.5 Principal Guidance to Met Centres

- 1. How to install and test the standalone version
  - Source code, documentation, unix scripts and test data (EE format) supplied
  - Useful tool for inter-comparison purposes
- 2. Interface requirements for integrated-assimilation mode
  - Generation of auxiliary meteorological data
  - Wrapper module between "odb" and L2B processor used as a callable subroutine within assimilation.x
  - Both to occur during Screening
  - Facilitates assimilation of Aeolus data
  - Assimilation outputs at discretion of each met centre



# 1 Baseline L2BP Algorithm

Purpose of L2BP

- Produce L2B data from L1B data and aux met data
  - 50 km observations from ~ 1 km measurements
  - Error estimates and quality indicators
- Temperature and pressure corrections via met data
- Scene classification and selective averaging
- Design a portable source code for three processing modes
  - Integration at many met centres
  - → Reprocessing @ ESA (ECMWF-supplied met data)
  - → Testing in a range of environments
  - Simple to use, yet flexible to permit extensions

Auxiliary processing - prepares met data as L2BP input



#### Scene classification influences L2B output



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#### 1.3 ECMWF operational schedule

Processing of L1B 09-21Z starts at 02Z (D+1) "dcda-12utc"





- 1.4 Baseline architecture L2BP
- Auxiliary L2B processing (centre-dependent)
  - Profiles of temperature and pressure vs height
    - → At requested locations, full model vertical resolution
    - → L2BP will perform conversion to WGS84 coords
  - Extract from "first-guess fields" during "screening"
    - → Nearest time (within 15 mins at ECMWF)
    - → At ECMWF, vertical profiles and not slanted
    - → Currently one profile per observation
  - Pre-processing step
    - Standardize input for primary L2B processing
    - Align met data with L1B measurements in horizontal
    - Could be achieved via extrapolation or interpolation

- 1.5 Locations for computing aux met data
  - Obtain from geolocation information in real L1B data
  - Offset from the sub-satellite track
    - Example shows 30 mins x 50 km spacing along-track





### 1.4 L2BP - auxiliary pre-processing

 $\checkmark$  Collocation implemented, suitable for 1 met locn per BRC

□ Sensitivity study to guide extensions, eg interpolation code





### 1.5 L2BP - primary processing

- Primary processing (HLOS retrieval)
  - □ L1B product validation (mainly in Consolidation Phase)
  - ✓ Signal classification (+ further code from L2A study)
  - Assign weights to signals (+ further development)
  - □ Apply weights to a general parameter
    - ✓ lat & lon = L2B centre-of-gravity
    - temperature & pressure = Tref & Pref
  - □ HLOS temperature & pressure corrections
  - □ Error estimates, quality indices
  - □ Output in EE format



### 2 Future work

Key inputs from other activities

- L1B test datasets
- Cloud detection and scene classification
  - → algorithms/codes based on L2AP
- Details of temperature & pressure correction scheme
  - → ILIAD results & implementation (e.g. lookup table)
- Algorithms for
  - → HLOS error estimates & Quality indicators
- Check suitability of interfaces for many met centres
  - Basic concept ~ screening of radiosonde observations



#### Facts and figures for ADM-Aeolus

• ESA point of contact - Dr Paul Ingmann

#### • Mission Experts Division, ESA/ESTEC, The Netherlands

Orbit	Sun-synchronous	Dawn-dusk
- inclination & altitude	<b>97</b> °	408 km
Mass - total & "ALADIN" lidar component	1100 kg	450 kg
Transmitter - laser type & pulse energy	Nd:YAG, frequency tripled to 355 nm	150 mJ
- pulse repetition freq. & duty cycle	100 Hz	10 s every 28 s
Receiver - telescope diameter		1.5 m
- spectrometers	Fizeau (Mie)	Dual edge etalon (Rayleigh)
Average power demand	1400 W	
Launch date & mission lifetime	2008	3 years

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- 1 Baseline L2BP Algorithm
- Baseline architecture
  - ♦ HLOS retrieval TN2.2, Fig 2
  - ♦ Generation of aux met data TN2.2, Fig 1



- L1B BRCs processed independently (& possibly in parallel)
  - No communication of intermediate L2BP results
- L1B data arriving within met centre operational schedule
  - Met centre produces aux met data, L2B (and L2C)
- L1B data missing the ECMWF schedule
  - ECMWF produces aux met data
    - → at locations inferred from predicted flight tracks
  - ♦ L2B possible via re-processing



# 1.1 L2BP - Portability considerations

#### Common design accommodating three processing modes

	Met Centres	Operational (ECMWF)	Re-proc (ESRIN)
L1B data (input) in EE format	Received in	Received in NRT (~5h)	LTA/reprocessing
(or predicted orbit locations)	Q/NRT (30m-3h)		
Auxiliary meteorological input	Self-generated	Self-generated & sent	Oper available
(T & p profiles, EE/BUFR)		to LTA	(via LTA)
Primary L2BP code	Oper available	Oper	Oper available
Auxiliary parameter input files	Oper available	Oper	Oper available
L2B data output in EE format	Yes	Yes	Yes
L1B/L2B data in BUFR format	EE2BUFR	EE2BUFR	Not required
(for assimilation purposes)			

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### The ILIAD Study

- Why the ILIAD study ?
  - The L1 processing scheme proposed by the industry for Rayleigh winds does not take into account the impact of the pressure and the potential presence of Mie scattering.
  - Preliminary studies conducted by DLR (O. Reitebuch) and ESA (M. Endemann) suggested the impact of both exceed requirements on data quality.
- Objectives
  - Find a correction scheme.
- Study Team.
  - IPSL/LMD (P. Flamant, C. Loth), IPSL/SA (A. Garnier), ONERA/DOTA (A. Dolfi-Bouteyre), HOVEMERE (D. Rees), MF/CNRM (A. Dabas, M. L. Denneulin)



# ILIAD - Impact of P, T and backscatter ratio on Rayleigh Responses



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#### **ILIAD - Baseline Inversion Scheme**



### ILIAD - Simplified correction scheme

#### Based on a simplification of baseline inversion.

#### Two-step appraoch:

- 1. Inverse response  $R_R$  as if there were no Mie.
  - <u>Method</u>: Look-up in the 3D matrix  $\mathcal{F}_d(i, j, k)$  giving the inverse frequency (or velocity) for pressures  $P_i = P_0 + i\Delta P$ ,  $Tj = T_0 + j\Delta T$  and  $R_k = R_0 + k\Delta R$
  - <u>Output parameters</u>:
    - →  $V_r(P_{mod}, T_{mod}, \rho=1)$  where  $P_{mod}$  and  $T_{mod}$  are the pressure and temperature inside the sensing volume as predicted by the NWP model.
    - →  $dv_r/dP$ ,  $dv_r/dT$  and  $dv_r/dR$ , that is, the first order derivative of  $v_r$  with respect to P, T and the response  $R_R$ .
- 2. Correct from Mie contamination.
  - <u>Method</u>: First order, linear correction based on the estimation of dv<sub>r</sub>/dp



#### **ILIAD** - Practical implementation









#### Aeolus satellite layout

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#### ALADIN Structure and Optical Structural Thermal Model

ALADIN structure has been completed for OSTM and tested.

Mass-dummies have been integrated for OSTM: Power Laser Heads (PLH), Reference Laser Heads (RLH), and

Optical Bench Assembly (OBA)



#### ALADIN OSTM



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#### ALADIN Laser Cooling System







#### Data simulations for ADM-Aeolus Yield (%age of data meeting mission requirements) at 5 & 1 km

- 5 km: 75% of Rayleigh have accuracy < 2 m/s (also 15% Mie not shown)
- 1 km: 66% of Mie have accuracy < 1 m/s (aerosol & cloud returns)
- Adequate transmission through overlying cloud



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### ADM-Aeolus data simulations - comparison with radiosondes/mission spec

♦ Aeolus median like obs error assigned operationally to radiosondes



## ADM-Aeolus data simulations - Effects of model cloud cover (2)



# Assimilation of prototype ADM-Aeolus data

Quality Control for Aeolus data

- Most QC parameters taken from conventional wind obs
  - Background errors & quality control thresholds (BgQC+VarQC)
- Aeolus-specific Background Quality Control (recommended option)
  - Capping of observation error in bg departure classification Set B = (obs-bg) / ES(obs-bg), accept obs iff abs(B) < 4. In standard BgQC for Aeolus, ES = (σ₀² + σ₀²)<sup>1/2</sup>.
    Aeolus option: ES = (s₀² + σ₀²)<sup>1/2</sup>, where s₀ = min(σ₀, 2.5 ms<sup>-1</sup>)
- Testing with LITE period, LIPAS-simulated Level-2B data
  - Gaussian + non-Gaussian errors (instrument bias, input wind bias)
  - Operational model (Cy26r1) at full/reduced resolution, ERA40/NoSSMI



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#### Quality Control Examples: Std + Aeolus-optional QC for DWL -- active

# Radiosonde U-wind



## Option improves departure statistics



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