

Assimilation of AIRS & IASI at ECMWF

Andrew Collard, ECMWF

Acknowledgements to Tony McNally, Richard Engelen & Rossana Dragani



Overview

- **Introduction**
- **Assimilation Configuration**
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 - Cloud Detection
- **IASI First Guess Departures**
- **AIRS & IASI Forecast Impacts**
- **Challenges**
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 - Cloud
 - Data Compression
- **“Trace” Gases**
- **Conclusions**

Introduction



What are Advanced Infrared Sounders?

- **Infrared Spectrometers with high spectral resolution and thousands of channels.**
- **Allows sounding of the atmosphere with improved vertical resolution and accuracy.**
- **All such instruments are interferometers (except for the NASA/EOS Atmospheric Infrared Sounder (AIRS) which is a grating spectrometer).**



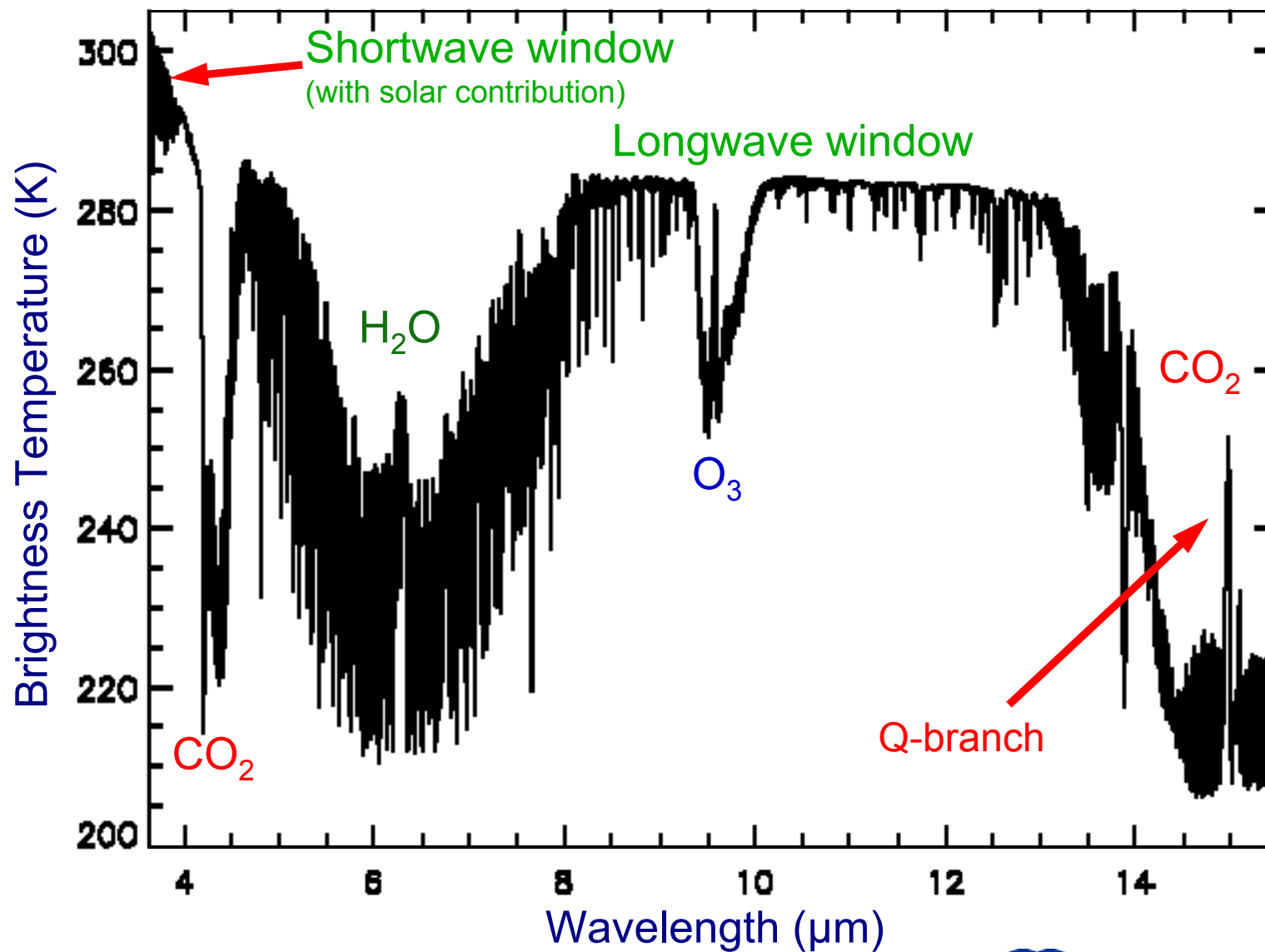
Current and Future High-Spectral Resolution InfraRed Sounders

Instrument/ Satellite/ Launch	No. of Channels	Spectral Range	Spectral Resolution	IFOV	Type/ Orbit
AIRS/ Aqua(EOS-PM)/ May 2002	2378	650~2760cm ⁻¹	~1cm ⁻¹	13.5km	Grating Spectrometer/ Polar
IASI/ MetOp/ October 2006	8461	645~2760cm ⁻¹	0.5cm ⁻¹	12km	Interferometer/ Polar
CrIS/ NPOESS/ ??? ????	1400	635~2450cm ⁻¹	1.125- 4.5cm ⁻¹	12km	Interferometer/ Polar
GIFTS/ ??????/?????	1724	685-1130cm ⁻¹ 1650-2250cm ⁻¹	0.6cm ⁻¹	4km	Imaging Interferometer/ Geostationary
HES/ GOES-R/ 2012	?	650-1200 [1650-2150cm ⁻¹ or 1210-1740cm ⁻¹] 2150-2250	0.6cm ⁻¹	4-10km	Interferometer?/ Geostationary

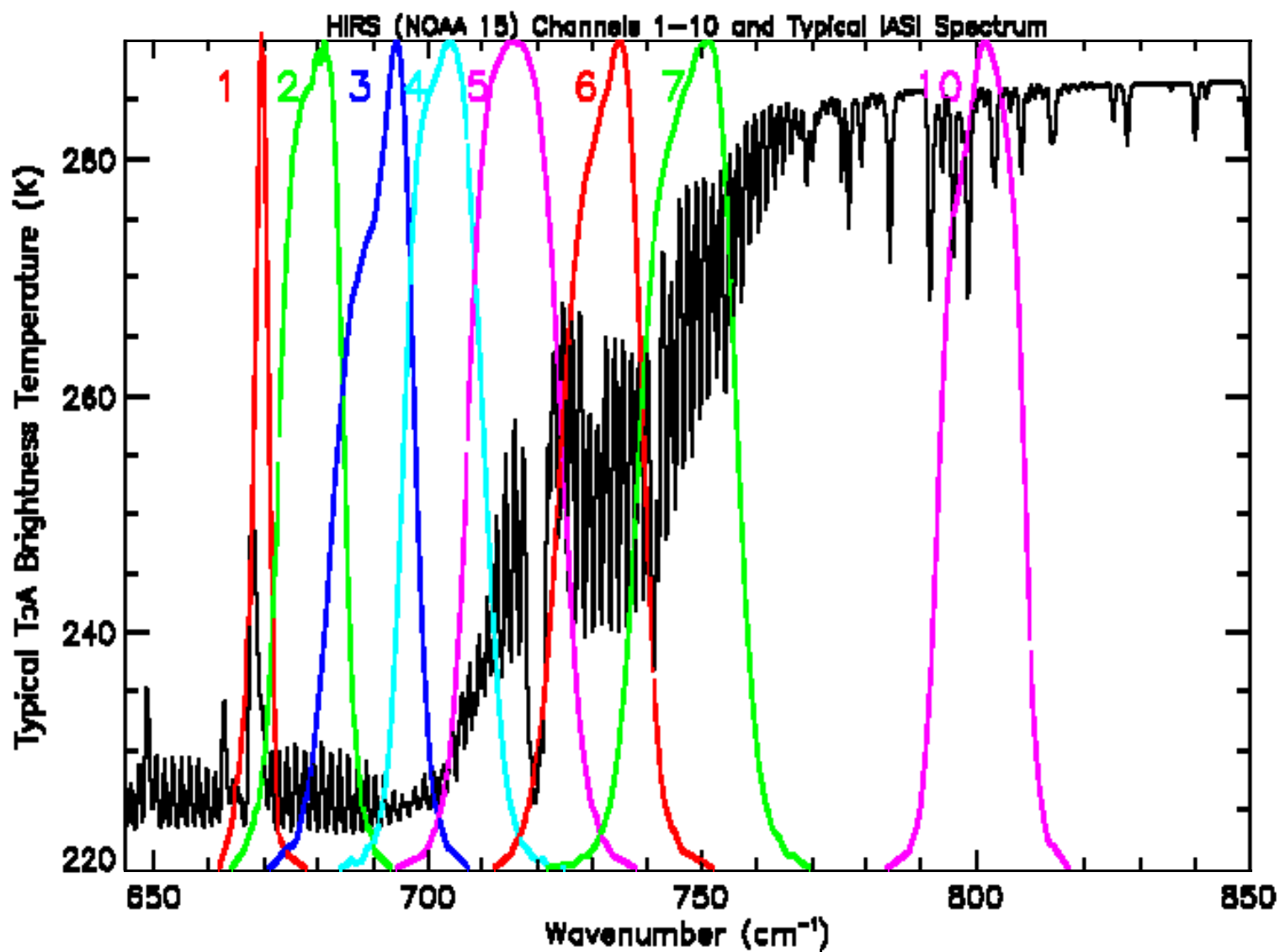
Other Examples of Interferometers in Space

- **The following have been used for atmospheric sounding but (for various reasons) their observations have not been assimilated into NWP models:**
 - **IRIS on NIMBUS 3 & 4 (1969-70)**
 - **IRIS on Mariner 9 (Mars, 1971)**
 - **IRIS on Voyager 1 & 2 (Giant Planets, 1979-89)**
 - **IMG on ADEOS (1996-97)**
 - **CIRS on Cassini (Saturn, 2004-)**
 - **PFS on Mars Express (Mars, 2003-)**
 - **TES on EOS-AURA (limb sounder, 2004-)**

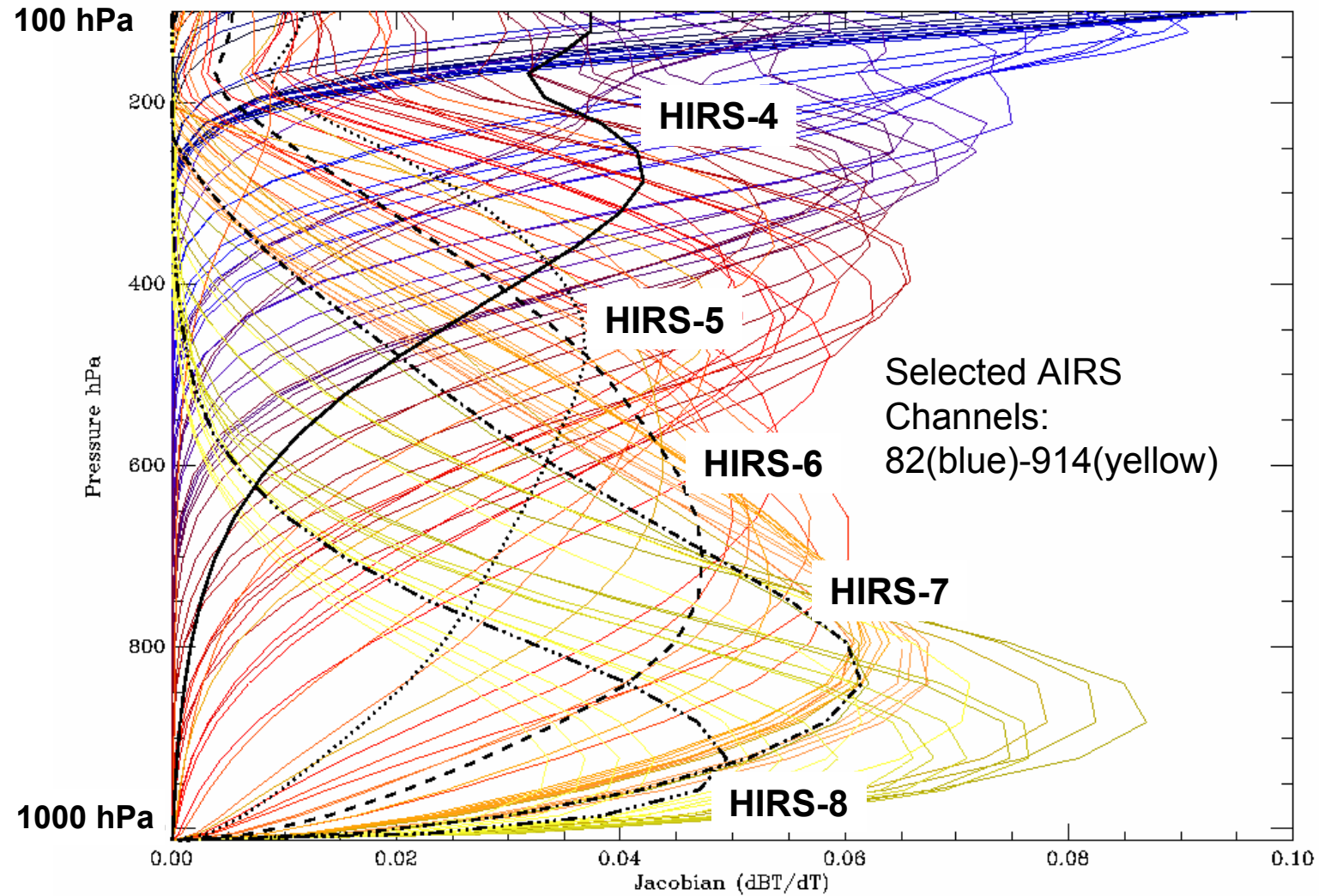
An IASI Spectrum



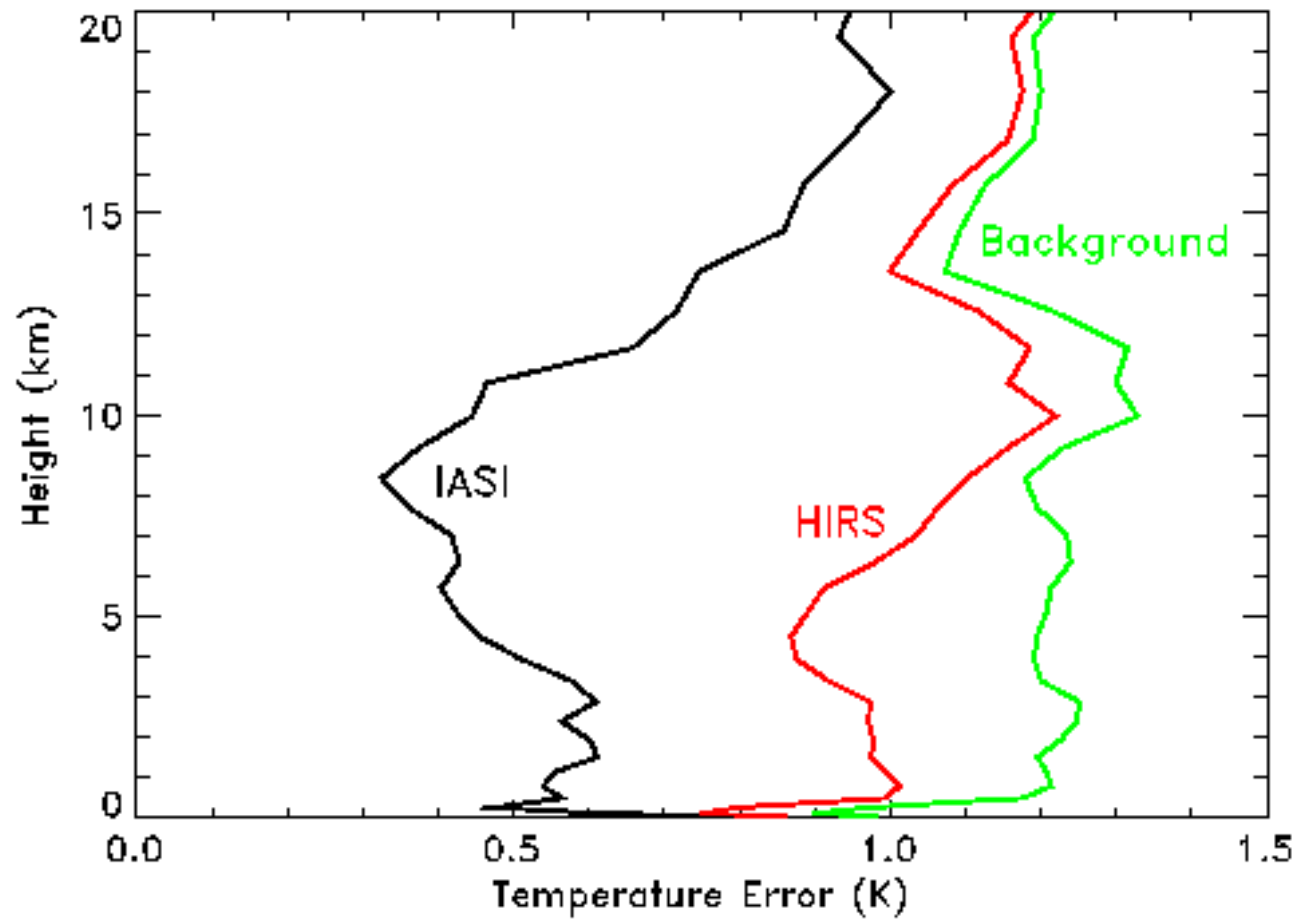
IASI vs HIRS: The Thermal InfraRed



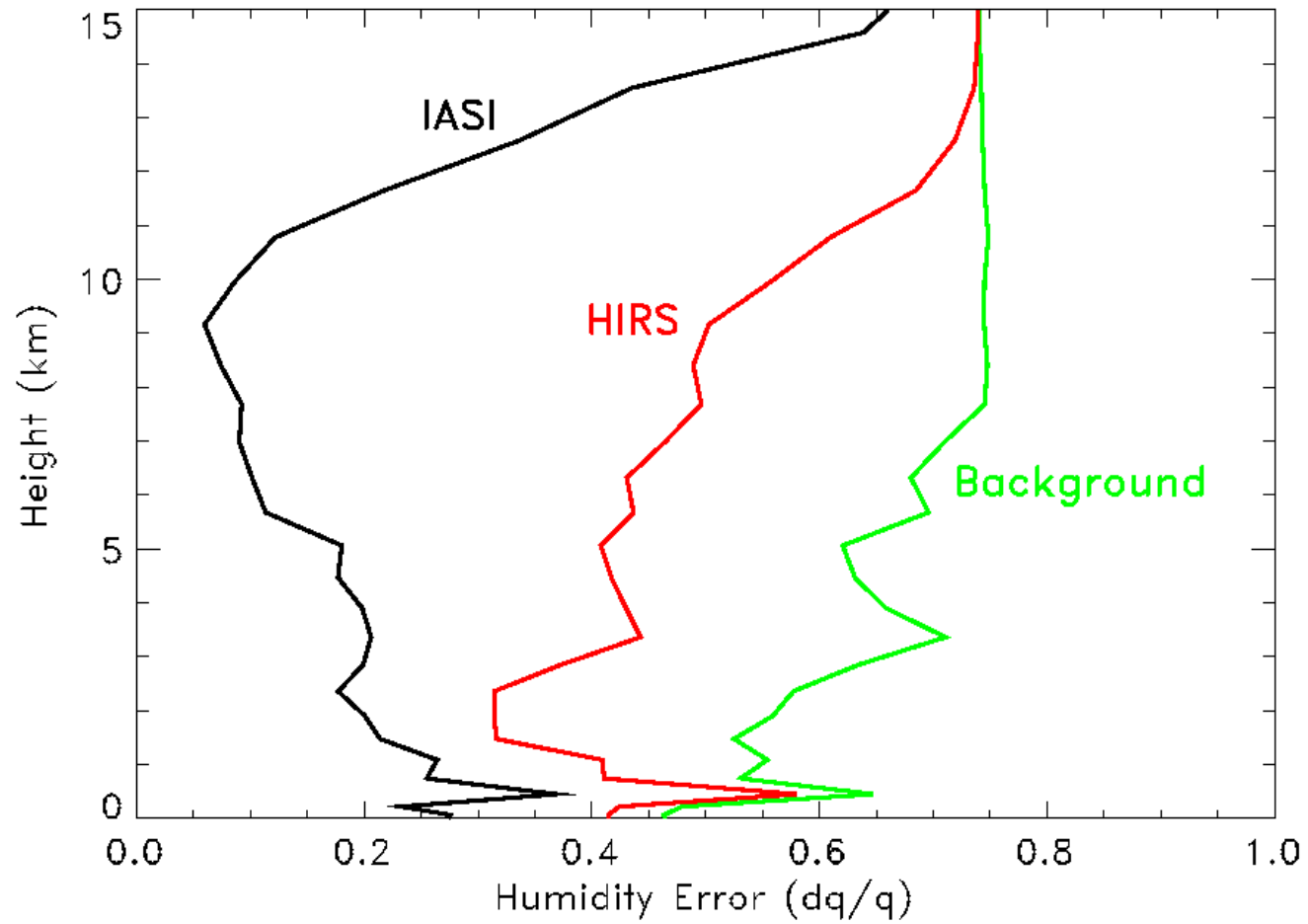
AIRS vs HIRS Jacobians in the $15\mu\text{m CO}_2$ band



HIRS vs IASI: Temperature Retrieval Accuracy

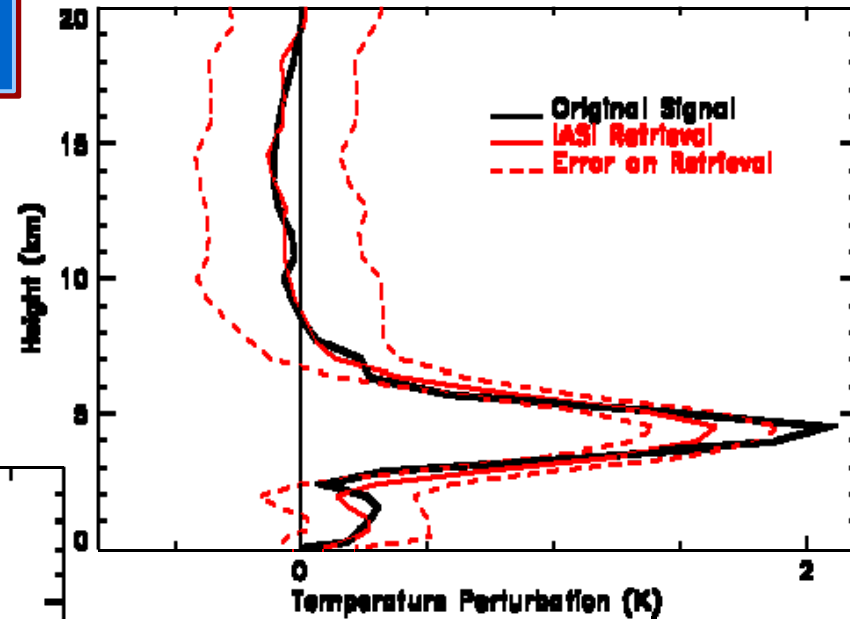


HIRS vs IASI: Humidity Retrieval Accuracy

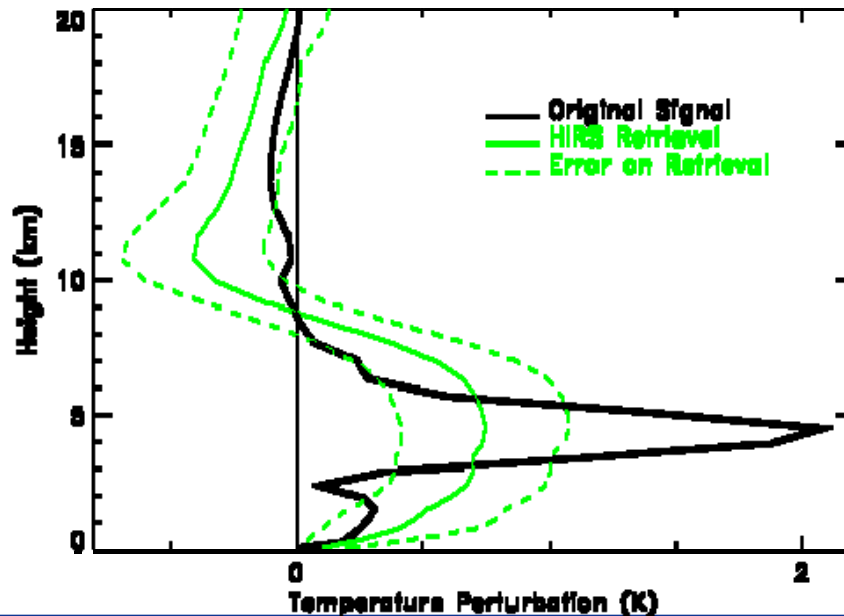


HIRS vs IASI: Response to Important Atmospheric Structure

IASI



HIRS



Response to a structure the observation of which would have improved the forecast of the reintensification of Hurricane Floyd over SW France and SW England on 12th September 1993. (Rabier et al., 1996)

Assimilation Configuration



Current Operational Configurations

AIRS

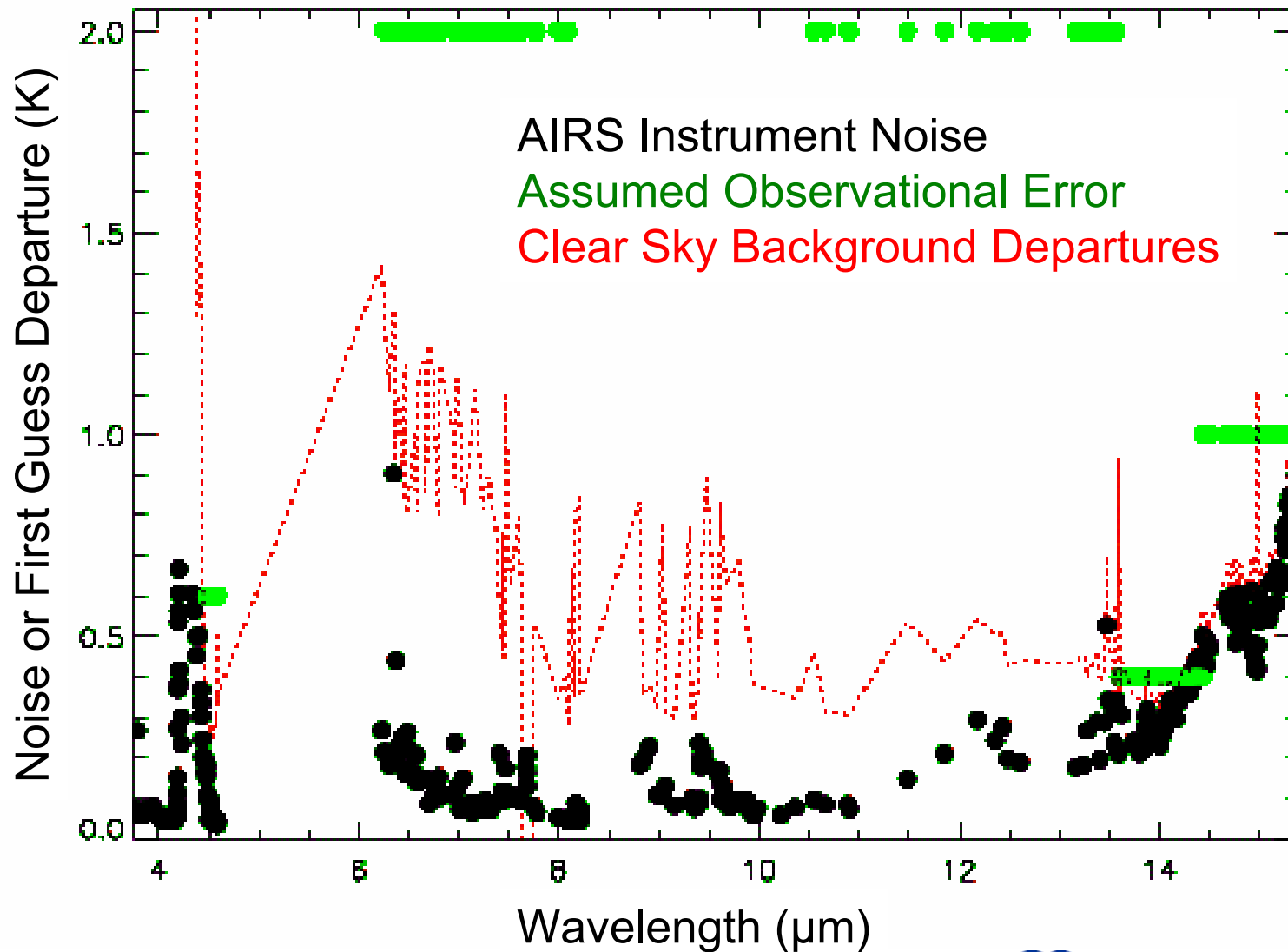
- Operational at ECMWF since October 2003
- 324 Channels Received in NRT
- One FOV in Nine
- Up to 155 channels may be assimilated (CO₂ and H₂O bands)

IASI

- Operational at ECMWF since 12th June 2007
- 8461 Channels Received in NRT
- All FOVS received; Only 1-in-4 used
- 366 Channels Routinely Monitored
- Up to 168 channels may be assimilated (CO₂ band only)



Assumed Noise for AIRS & IASI Assimilation



Assimilation Configuration: Channel Selection



Why Select Channels?

- **The volume of IASI data available is such that we do not have the computational resources to simulate and assimilate all these data in an operational timeframe**
- **Not all channels are of equal use when assimilated into an NWP system**
- **We choose channels that we wish to monitor (often with a view to future use)**
- **We choose a subset of these channels which we actively assimilate**



AIRS and IASI Channel Selection

- **The 324 AIRS channels distributed by NOAA/NESDIS were chosen based on inspection of Jacobian widths and expected noise levels. (Suskind, Barnett & Blaisdell, 2003).**
- **All IASI channels are distributed to European Users via EUMETCAST. Distribution of IASI radiances via GTS is for 300 channels chosen according to Collard (2007).**
- **At ECMWF, for IASI we use the 300 channels above plus a further 66 channels....**

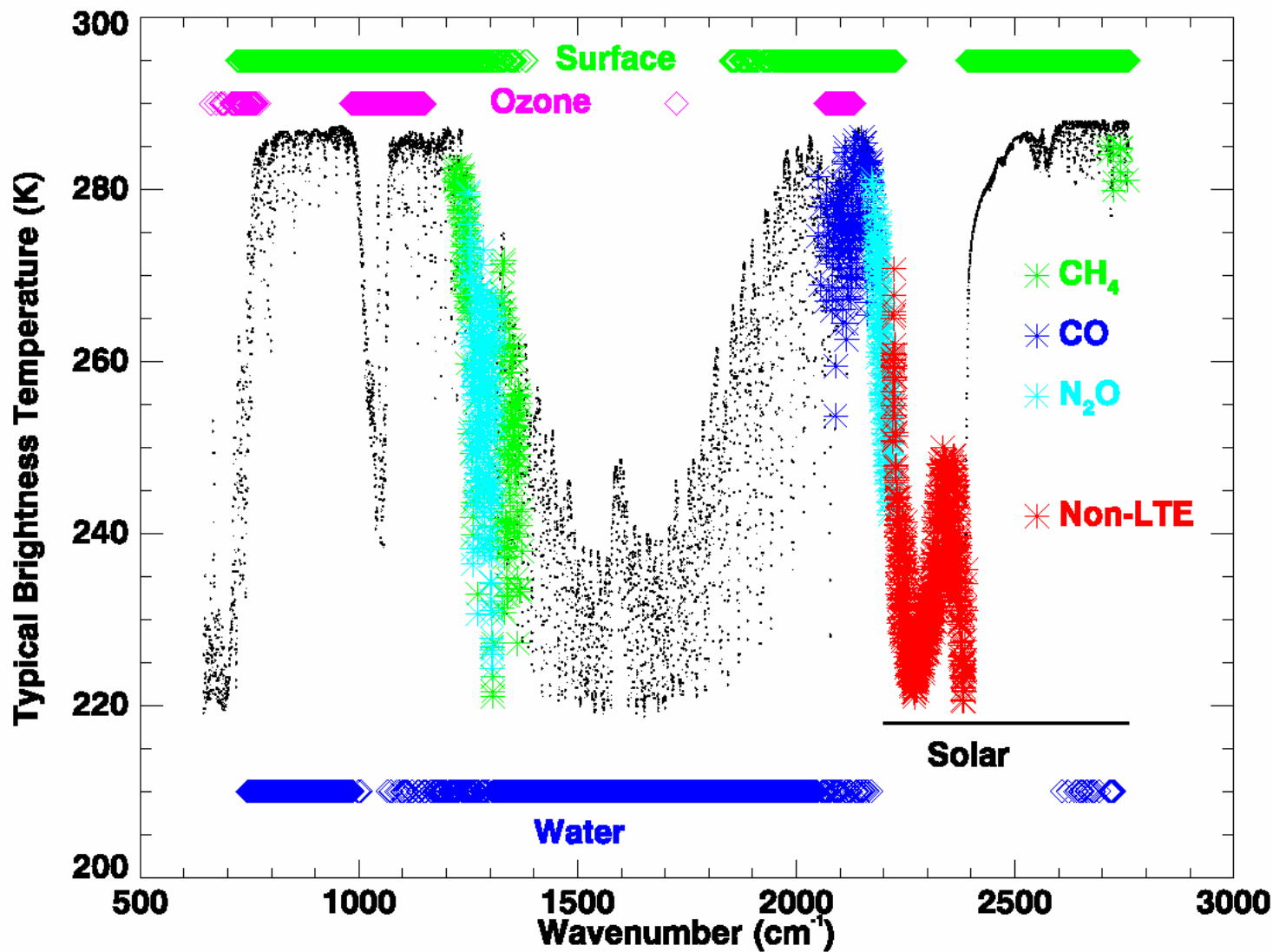
These are the channels that are routinely monitored – not all are actively assimilated (see later)

IASI Channel Selection

- **Pre-screen channels**
 - Ignore channels with large contribution from un-assimilated trace gases.
- **Use the channel selection method of Rodgers (1996)**
 - Iterative method which adds each channel to the selection based on its ability to improve a chosen figure of merit (in this case degrees of freedom for signal).
 - Determine the channels which contribute most information to a number of atmospheric states and view angles.
 - Use multiple runs to reduce the effect of non-linearity and to focus on particular species.
- **Add extra channels that the Rogers method cannot choose**
 - E.g. Cloud detection channels.



Pre-screened channels



Selected Channels (1)

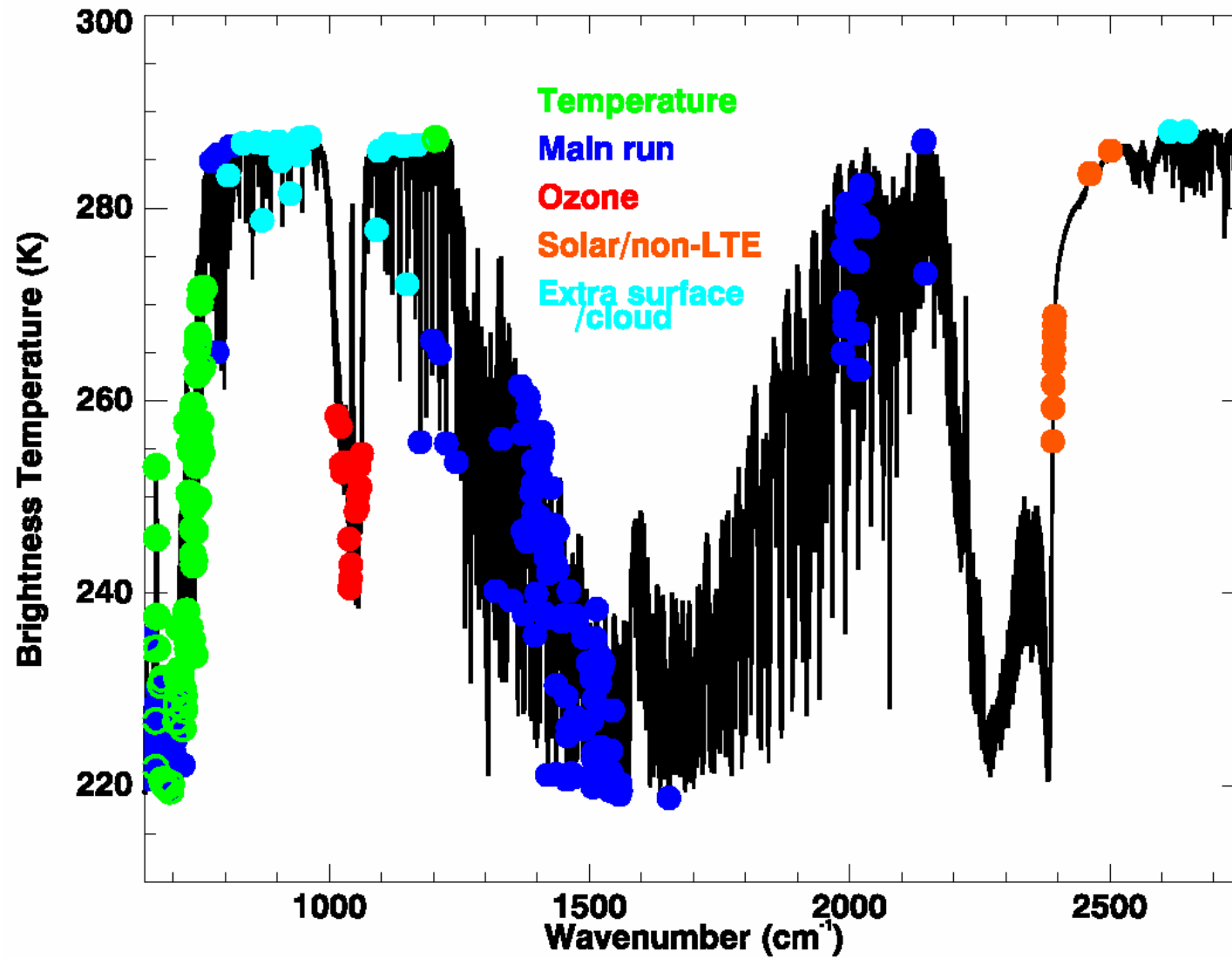
- 30 channels chosen from 15 μ m CO₂ band considering temperature assimilation only
- 36 channels from 707-760cm⁻¹ region – found to be particularly important when assimilating AIRS.
- 252 channels considering temperature and water vapour together
- 15 ozone channels
- 13 Channels in the solar-affected shortwave region

In ECMWF selection only:

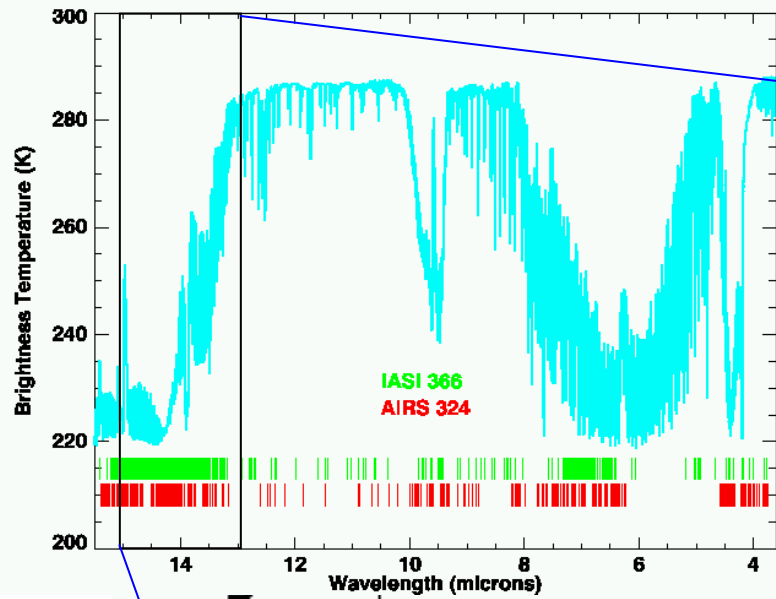
- 22 channels used for monitoring (HIRS analogues and requested by CNES)
- Another 44 channels in the 707-760cm⁻¹ region



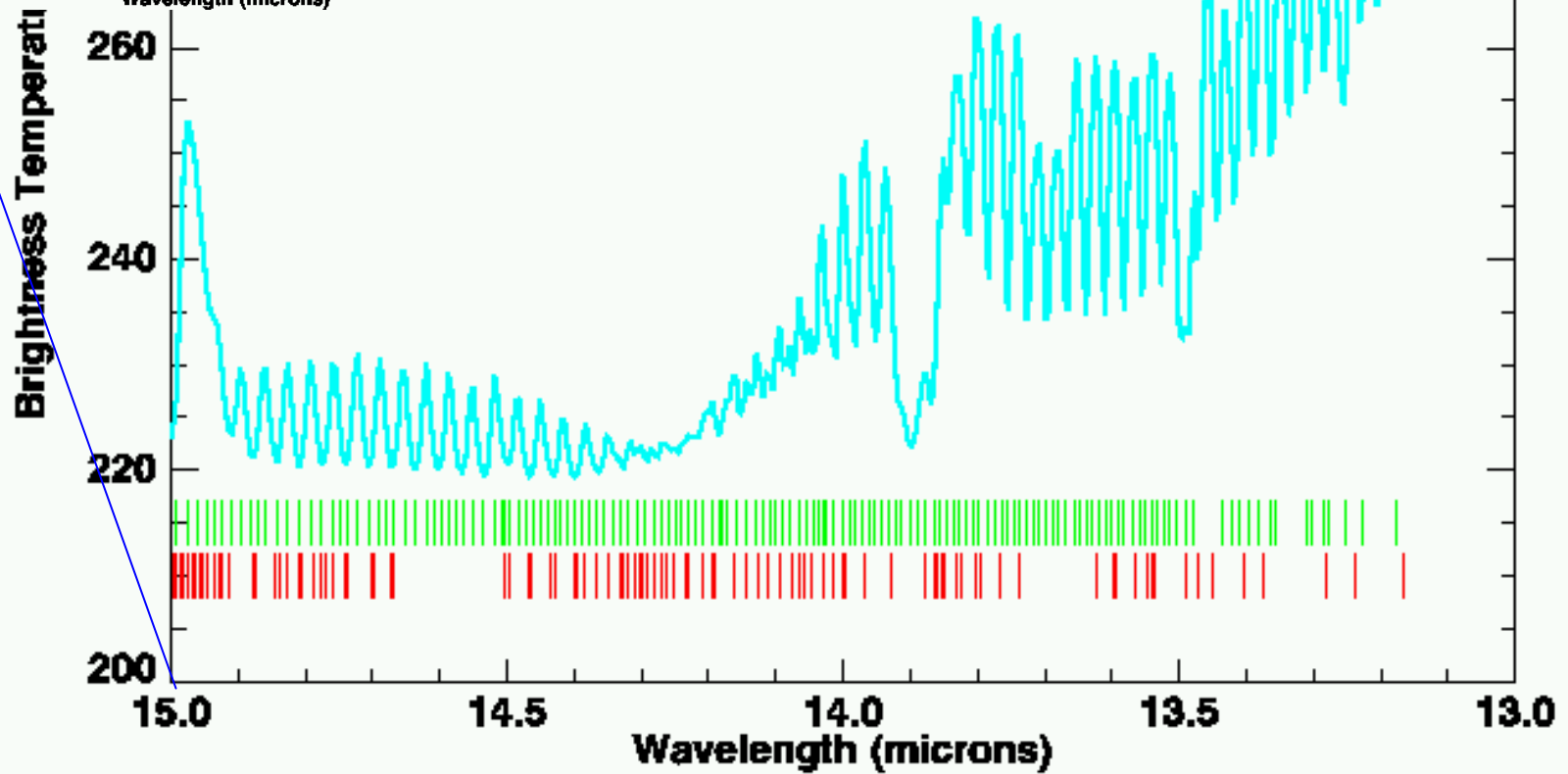
Selected Channels (2)



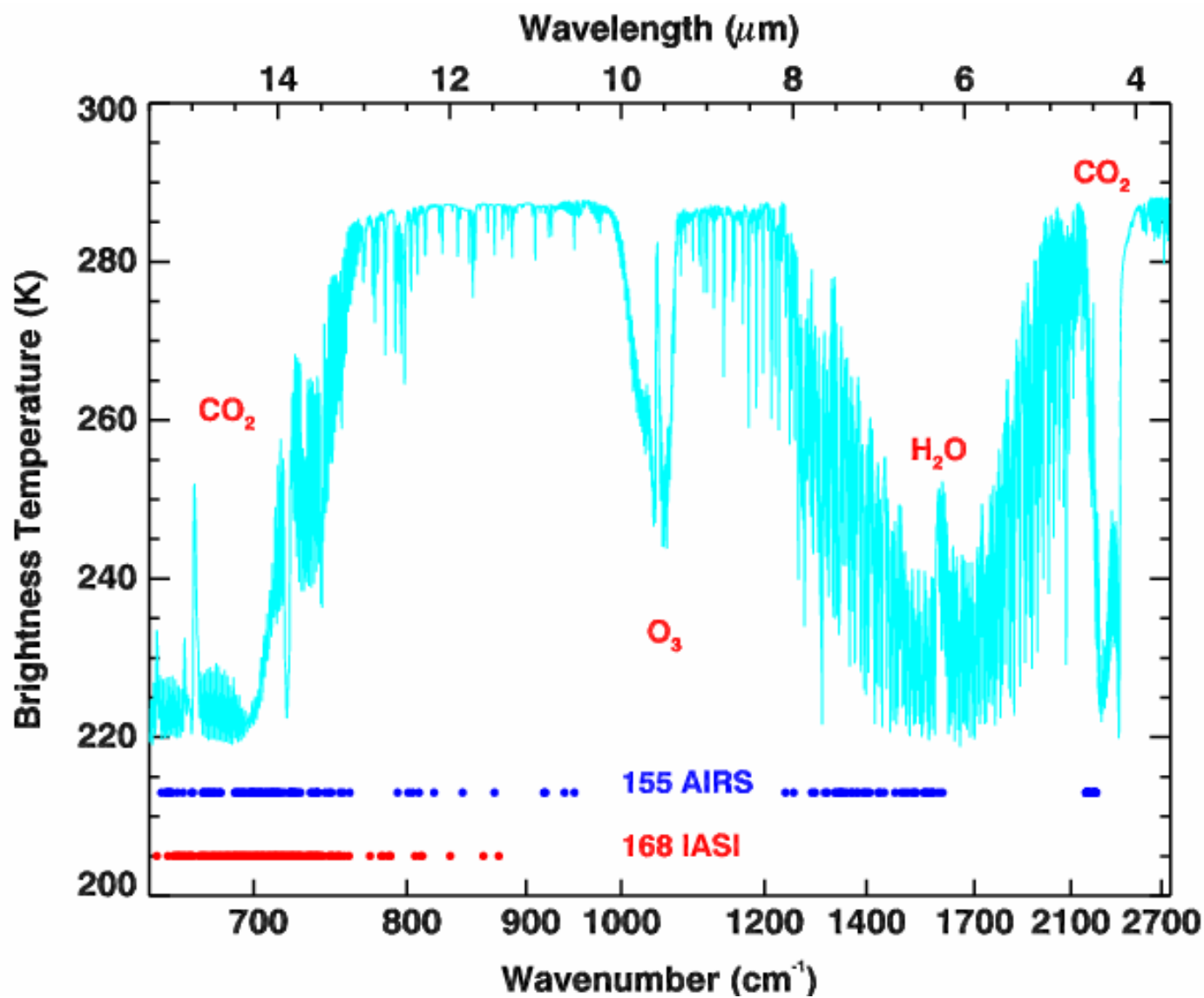
AIRS 324 vs IASI 366



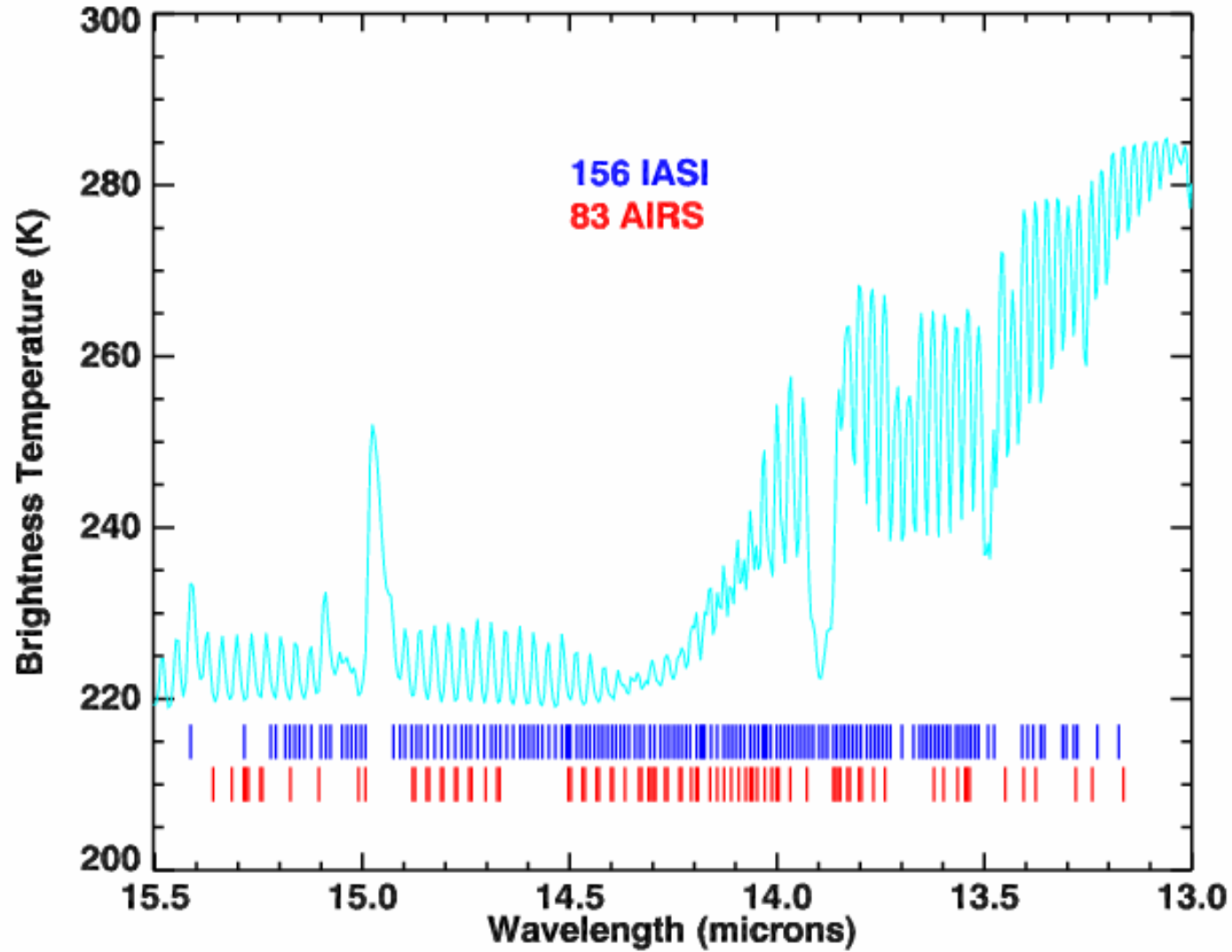
IASI 366
AIRS 324



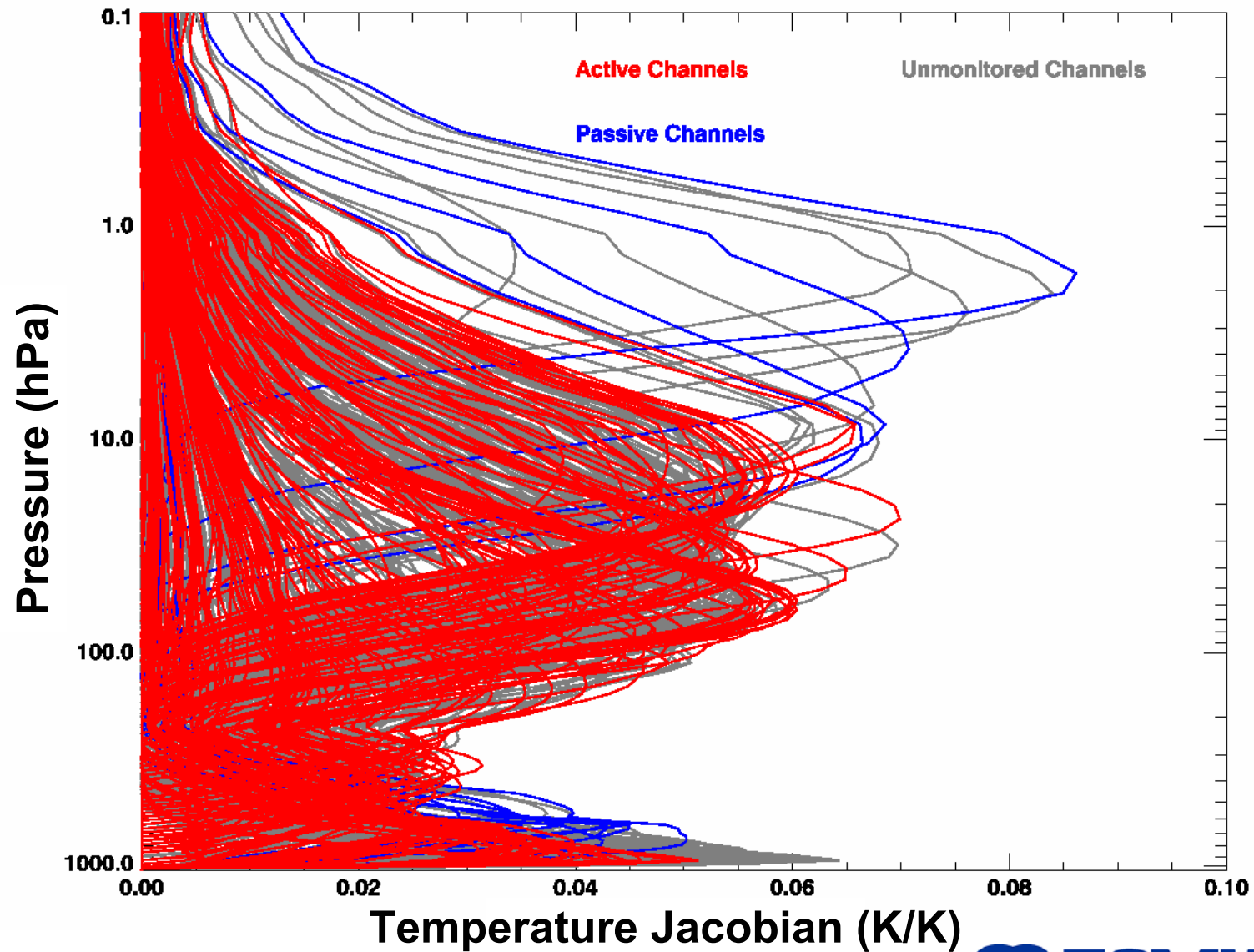
Comparison of Actively Assimilated Channels (1)



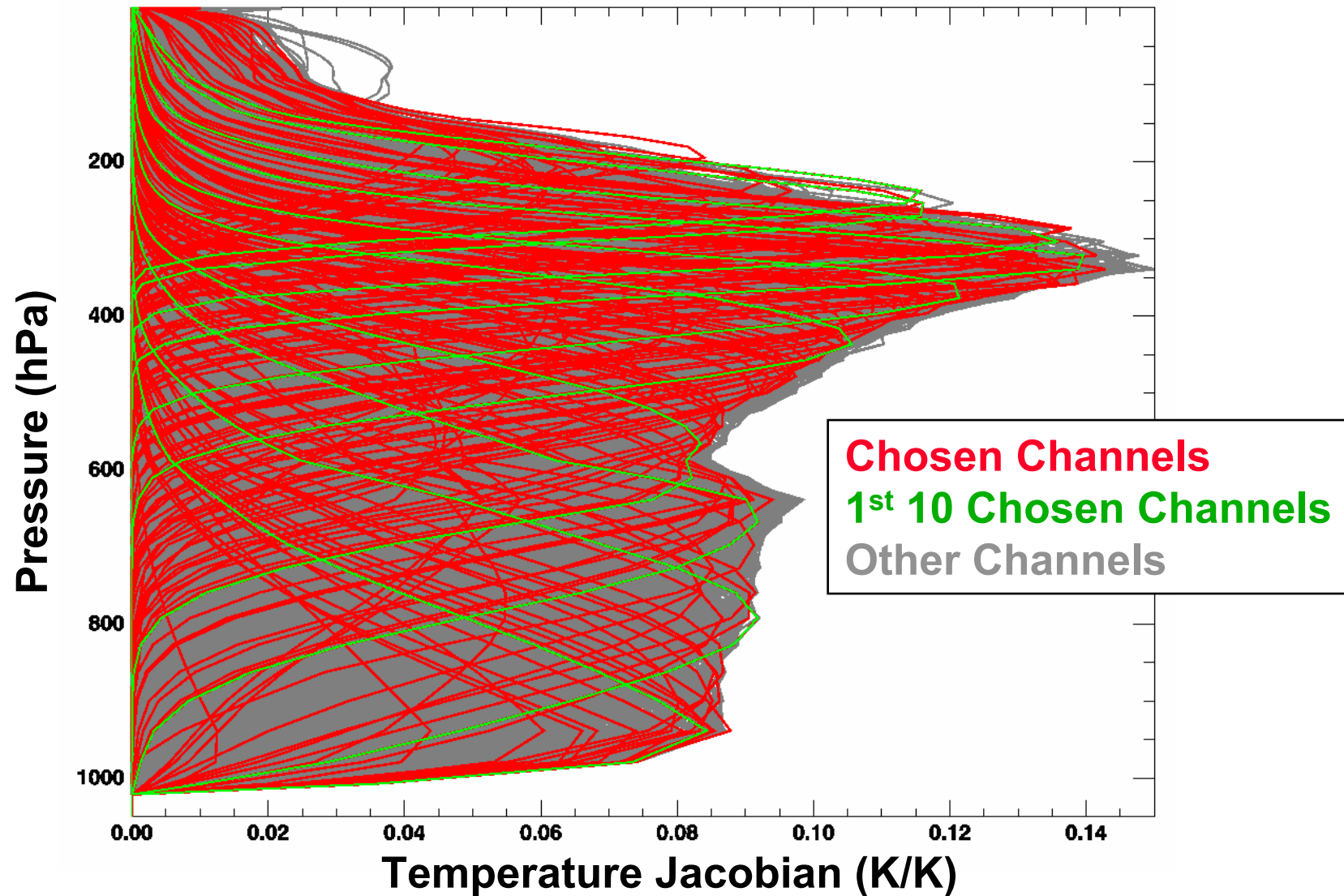
Comparison of Actively Assimilated Channels (2)



Jacobians of 15 μm CO₂ Band



Jacobians of 6.3 μm H₂O Band

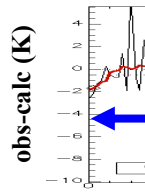


Assimilation Configuration: Cloud Detection



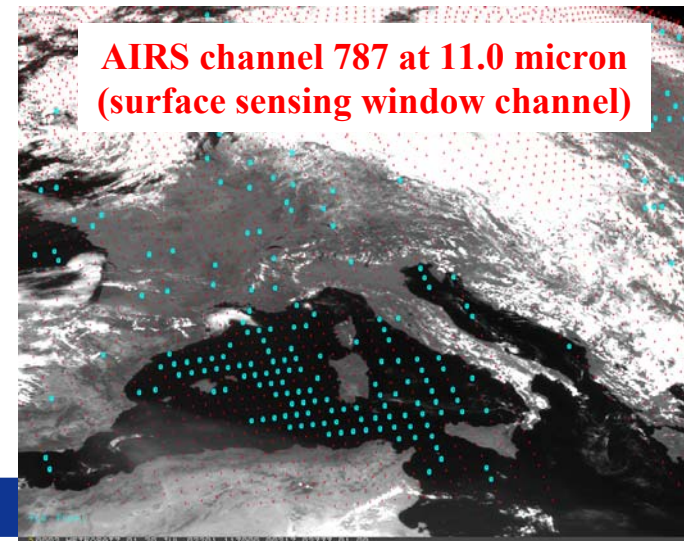
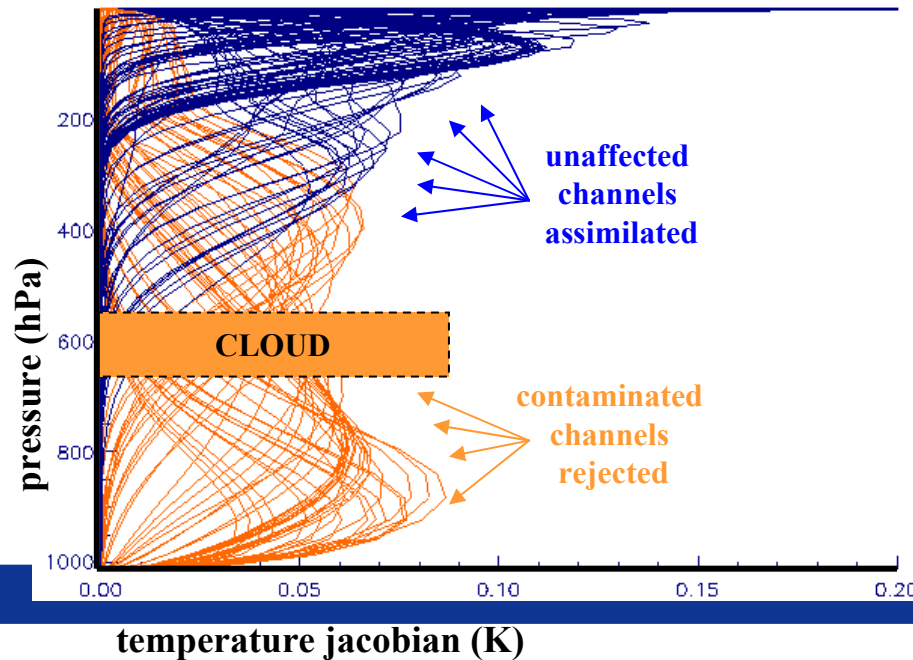
Cloud detection scheme for Advanced Sounders

A non-linear pattern recognition algorithm is applied to departures of the observed radiance spectra from a computed clear-sky background spectra.

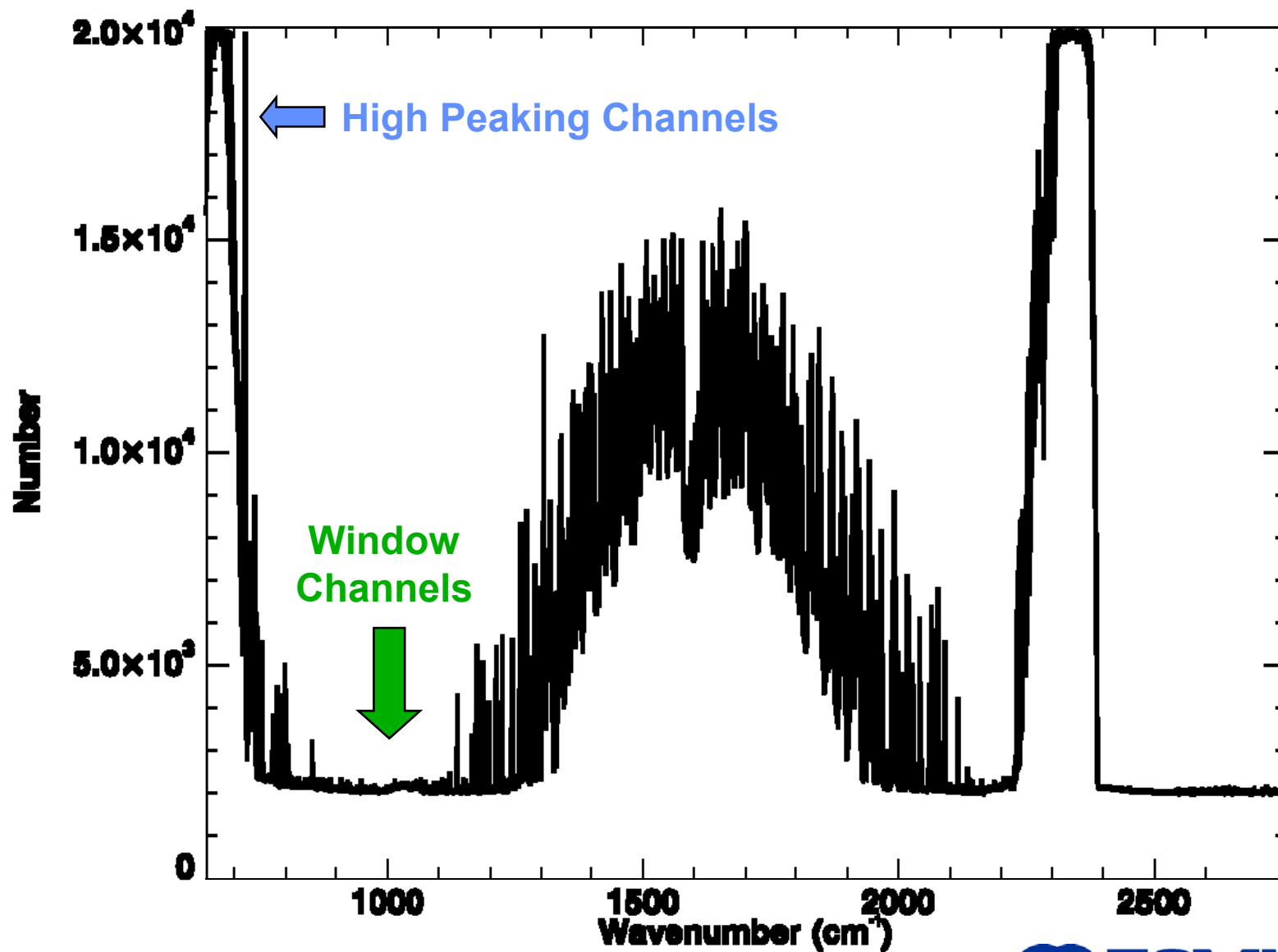


The large number of AIRS or IASI channels allows improved measurement of the cloud-top height compared to HIRS

This identifies contaminated data and allows contaminated channels to be rejected



Number of Clear Channels

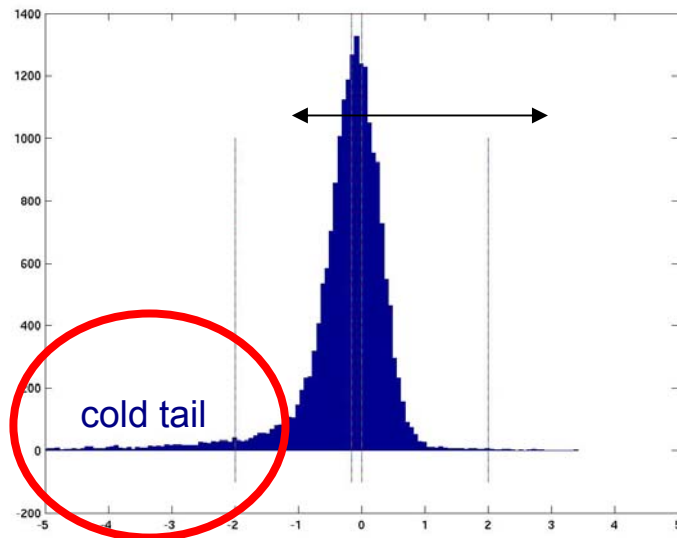


Cloud Detection Software is Available

- **Cloud detection has been re-written to allow greater portability and to allow cloud detection of IASI**
- *It is available for all to use from the NWPSAF*
- **<http://www.metoffice.gov.uk/research/interproj/nwpsaf/>**

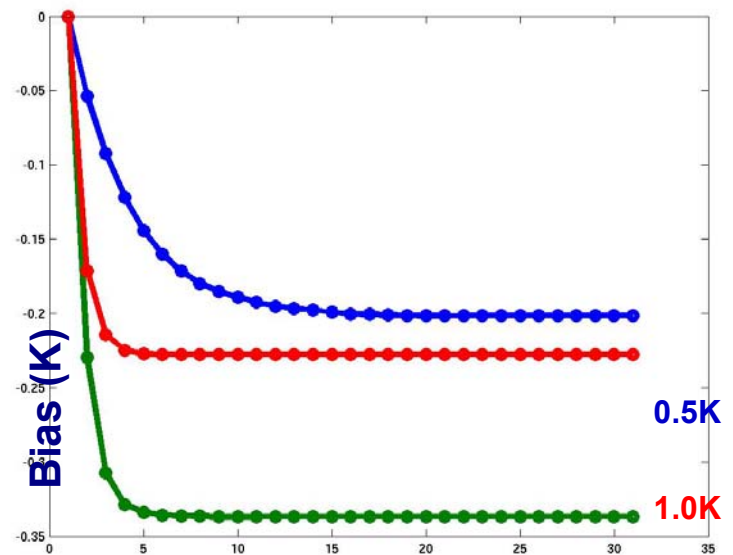


Adaptive bias correction and QC

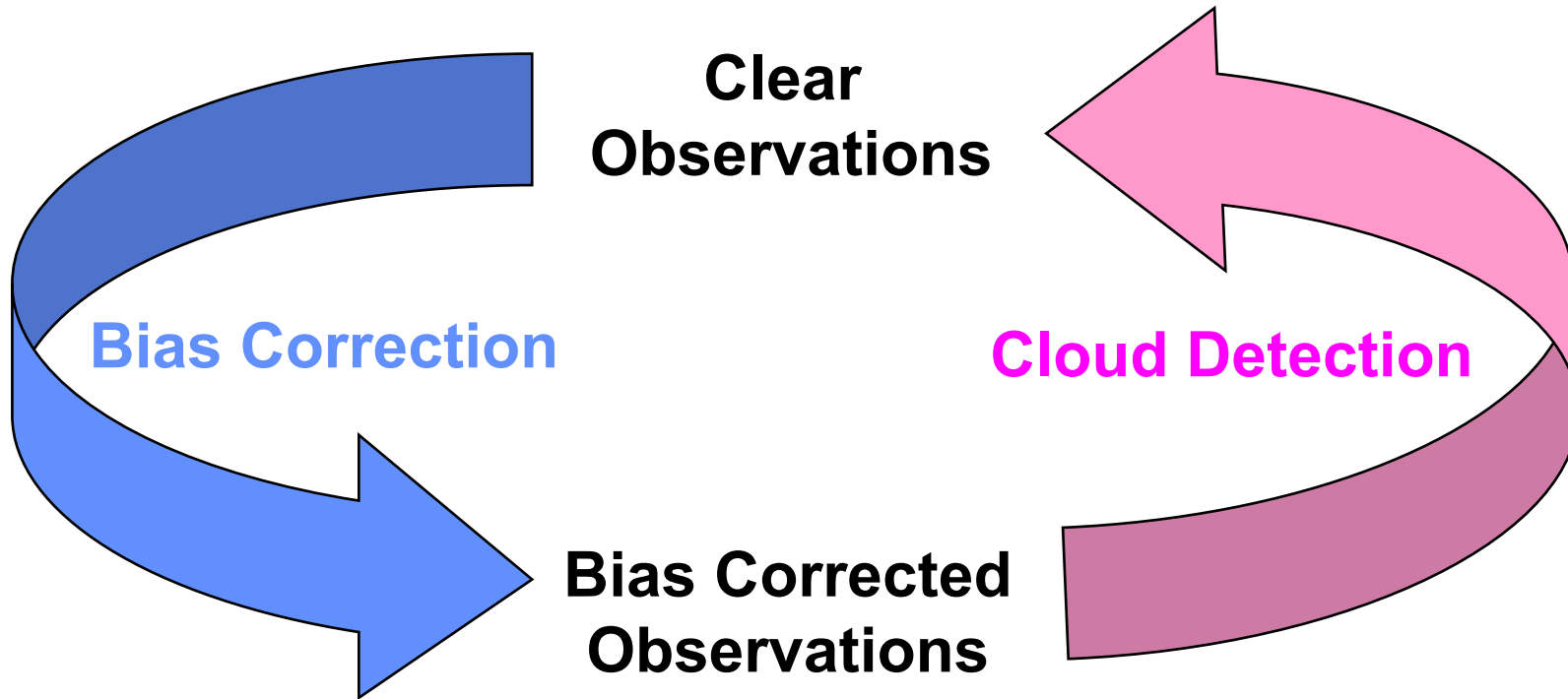


A typical distribution of (Obs-Calc) departures has a cold / warm tail due to residual cloud contamination. A boxcar QC window is often applied to remove the tail before estimating the bias.

However, successive applications of this (as in adaptive bias correction) leads to a “dragging” of the mean by the cold tail. The speed and size of the drag depends on the number of iterations and the size of the boxcar window QC.



Cloud Detection and Bias Correction Interact



IASI First Guess Departures



Looking at First Guess Departures

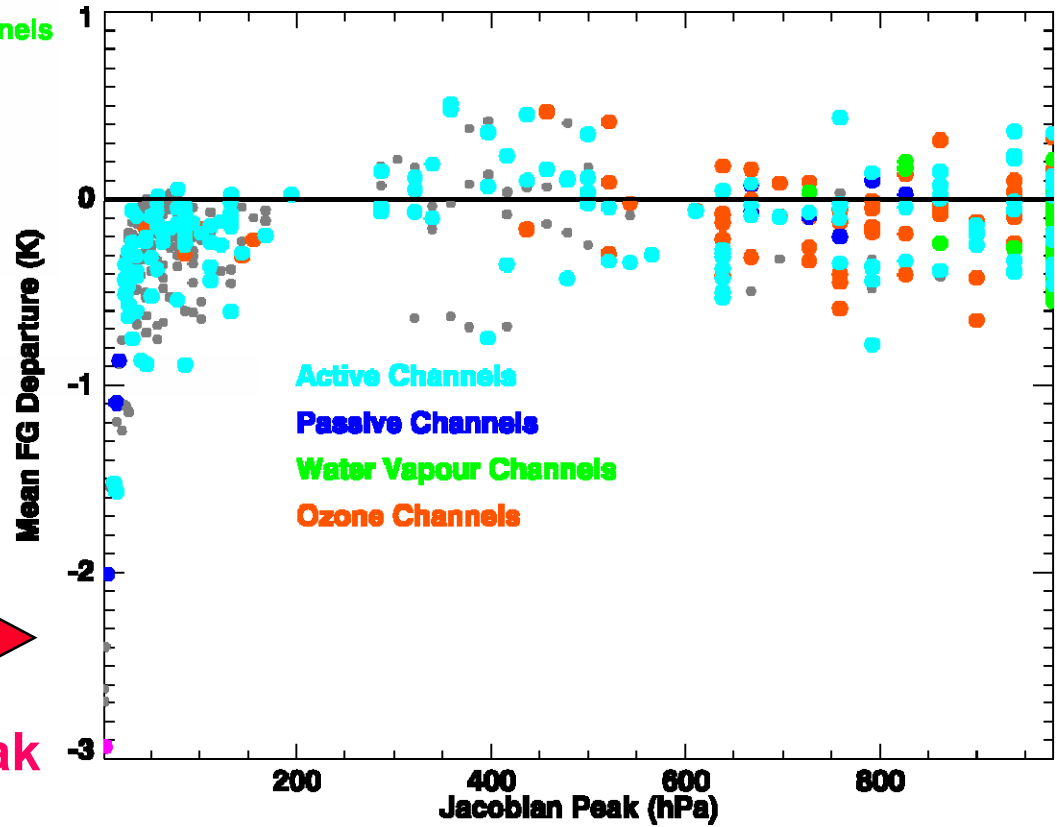
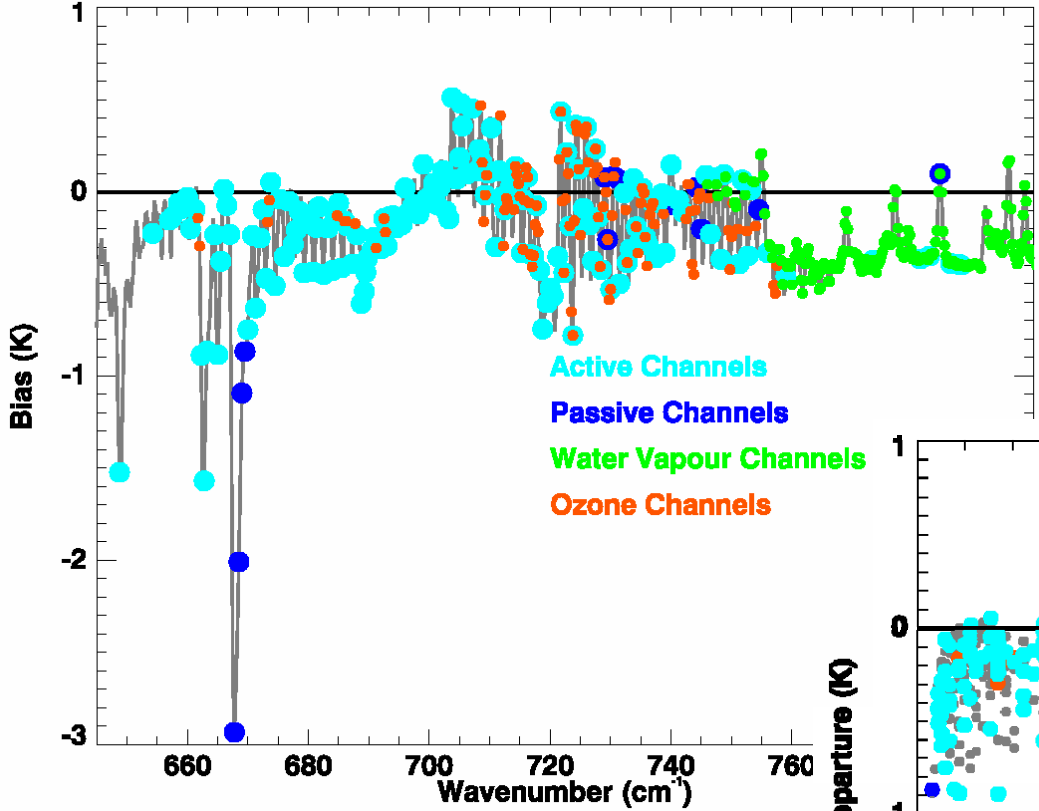
- **Observed Radiances minus Radiances Predicted from Short Range Forecast from Previous Cycle**
- **First Guess Departures drive the increments**

In the following slides:

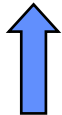
- **Clear-sky first guess departures**
- **The cloud detection uses the operational bias-correction**
- **The first-guess departures are NOT bias-corrected**



First Guess Departure Biases in 15 μm CO₂ Band



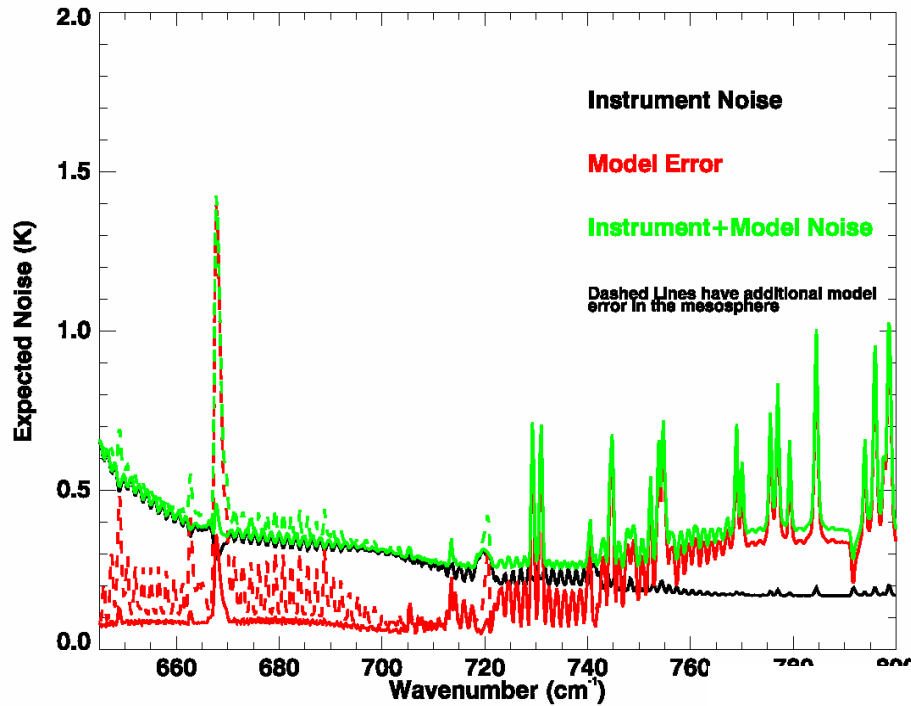
Ordered by
Wavenumber



Ordered by
Jacobian Peak
Pressure



First-Guess Departure Standard Deviations in 15 μm CO₂ Band



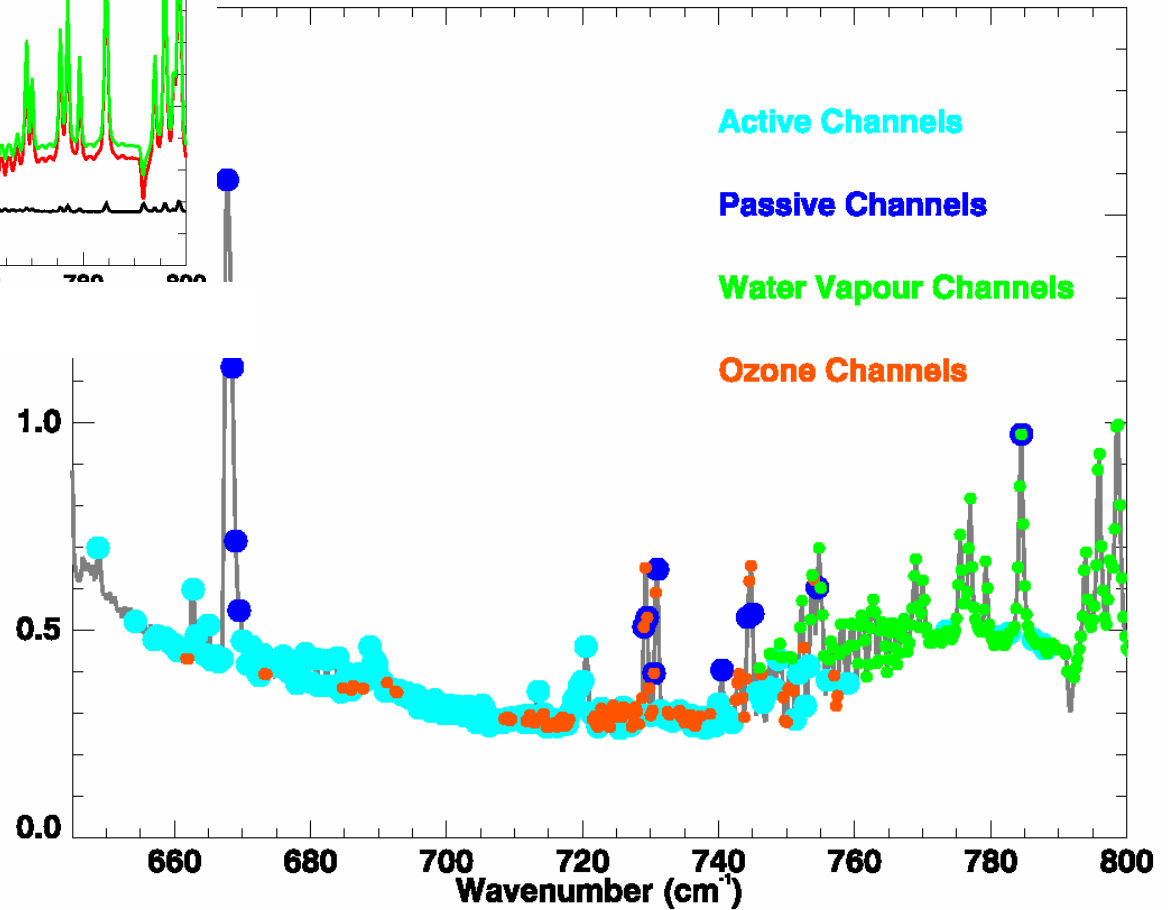
Calculated Std. Dev.



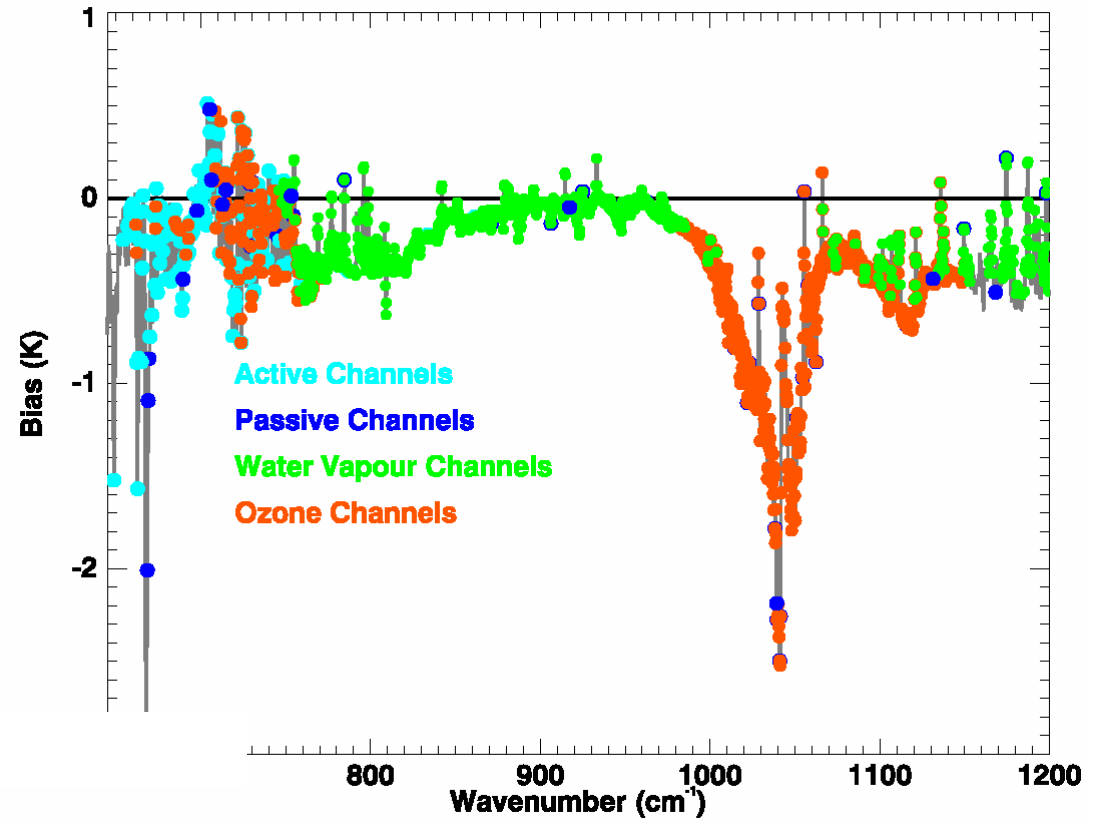
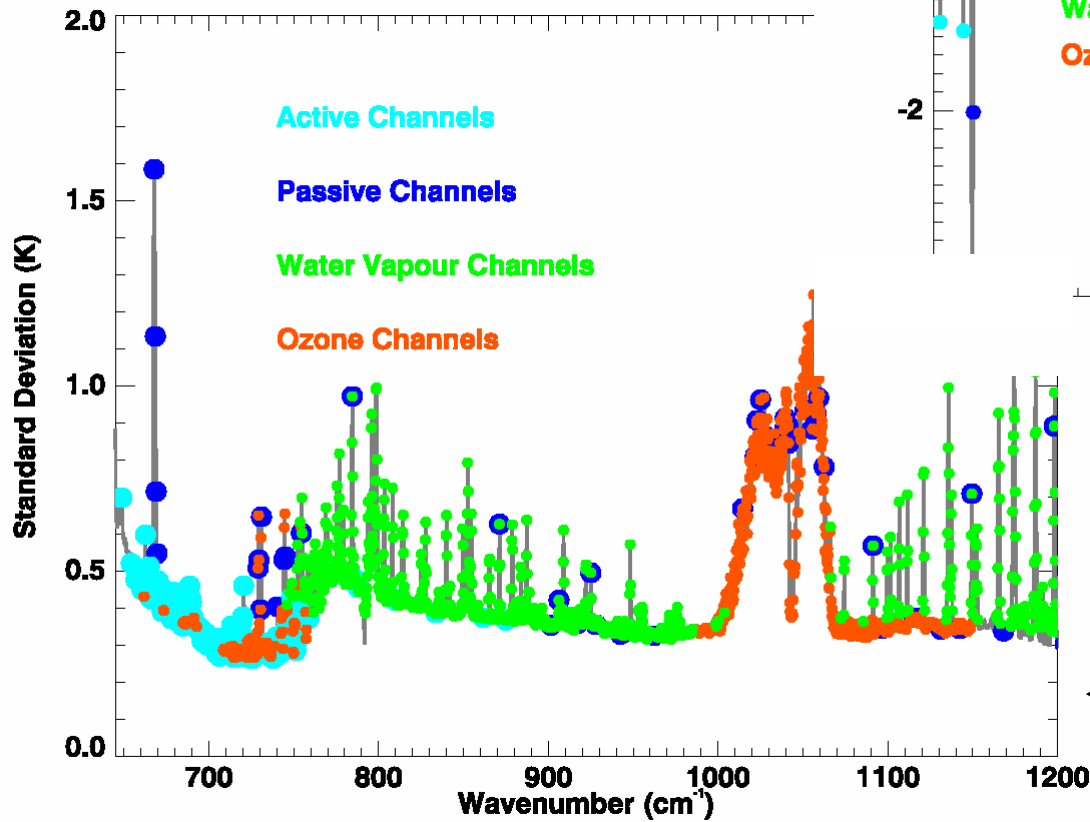
Observed Std. Dev.



Standard Deviatric



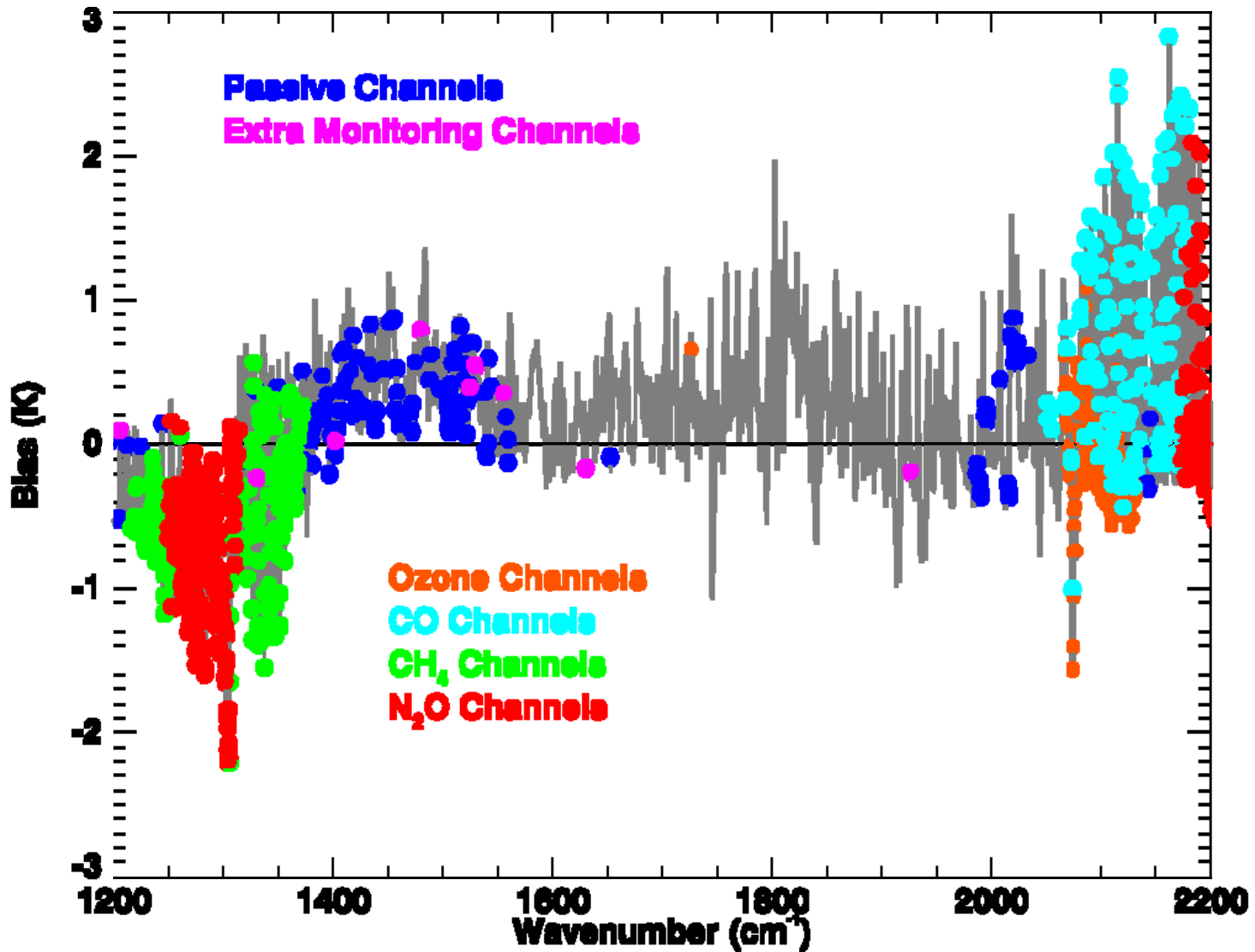
First Guess Departure Standard Deviations and Biases in the Longwave Window



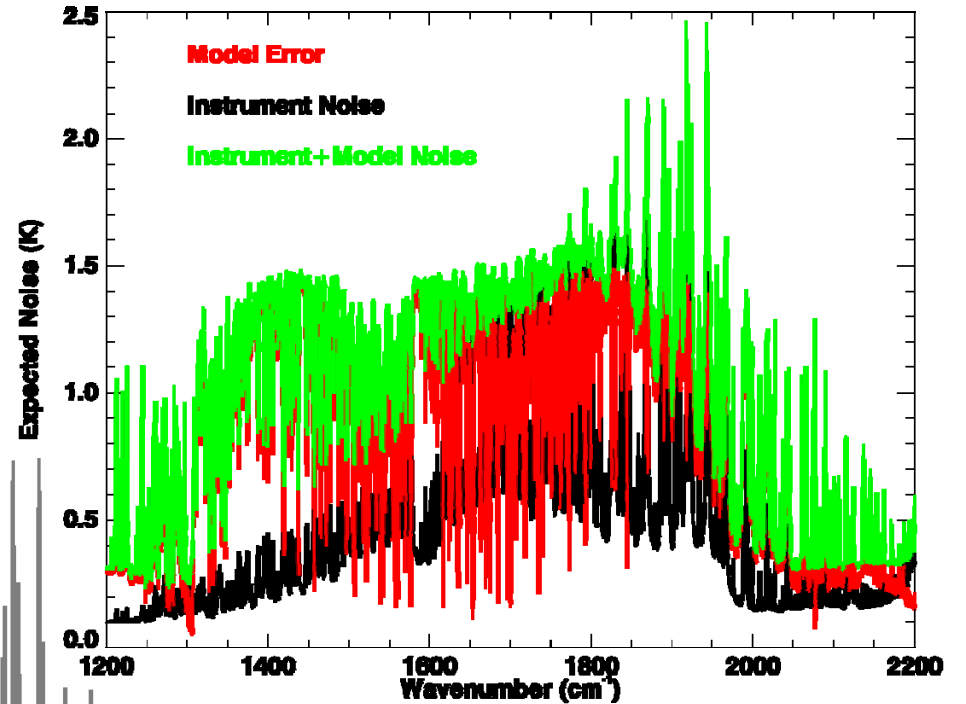
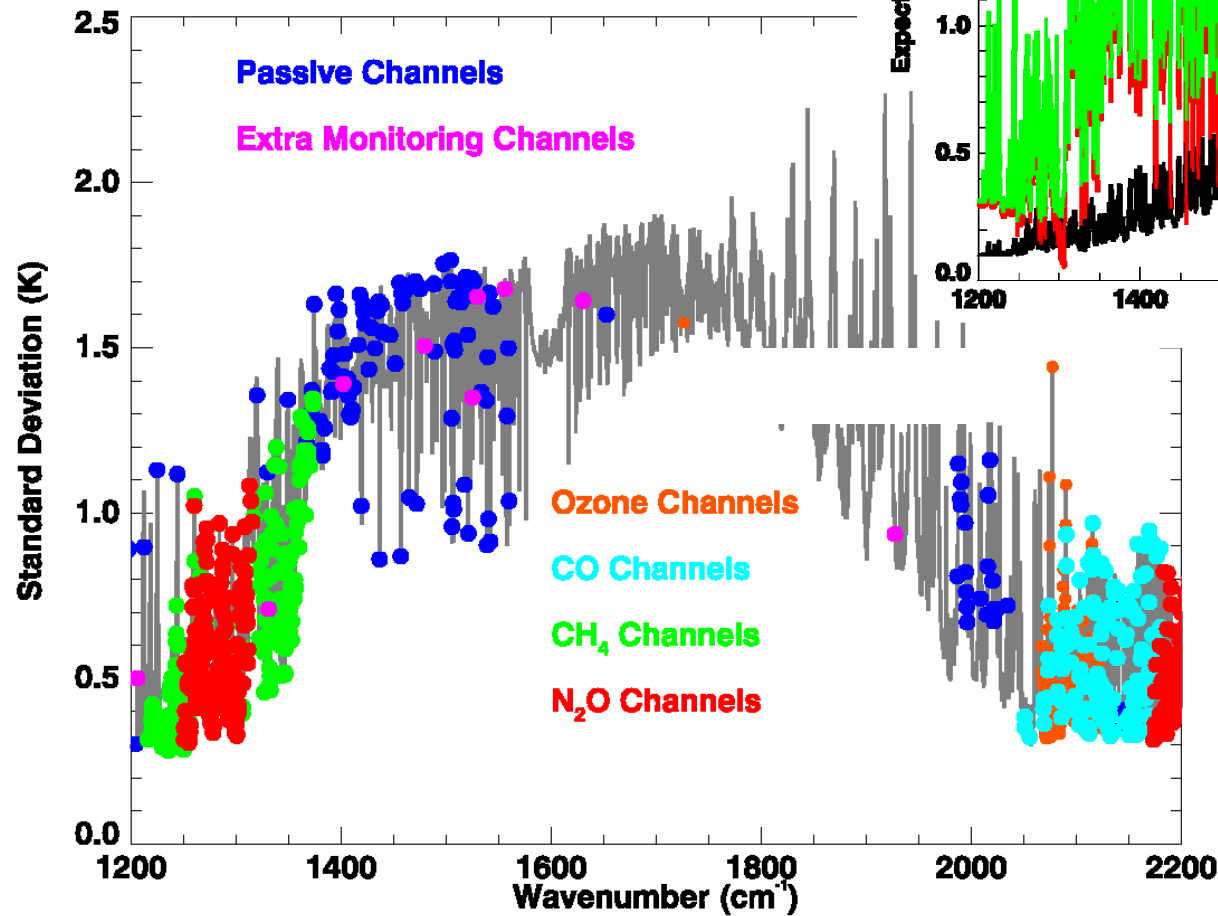
↑ Bias

← Standard Deviation

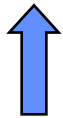
First-Guess Departure Biases in Water Band



First -Guess Departure Standard Deviations in Water Band



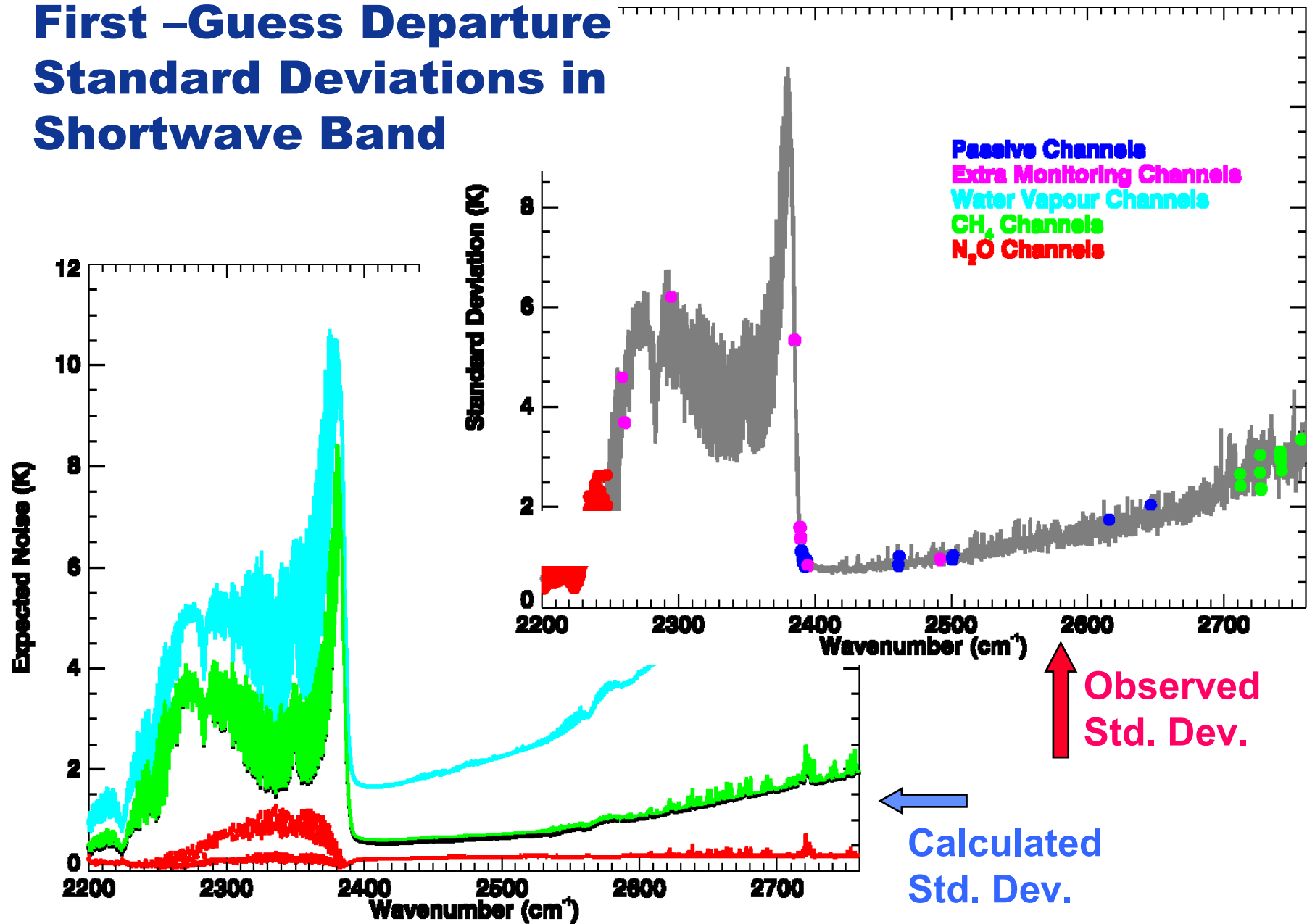
Calculated
Std. Dev.



Observed
Std. Dev.



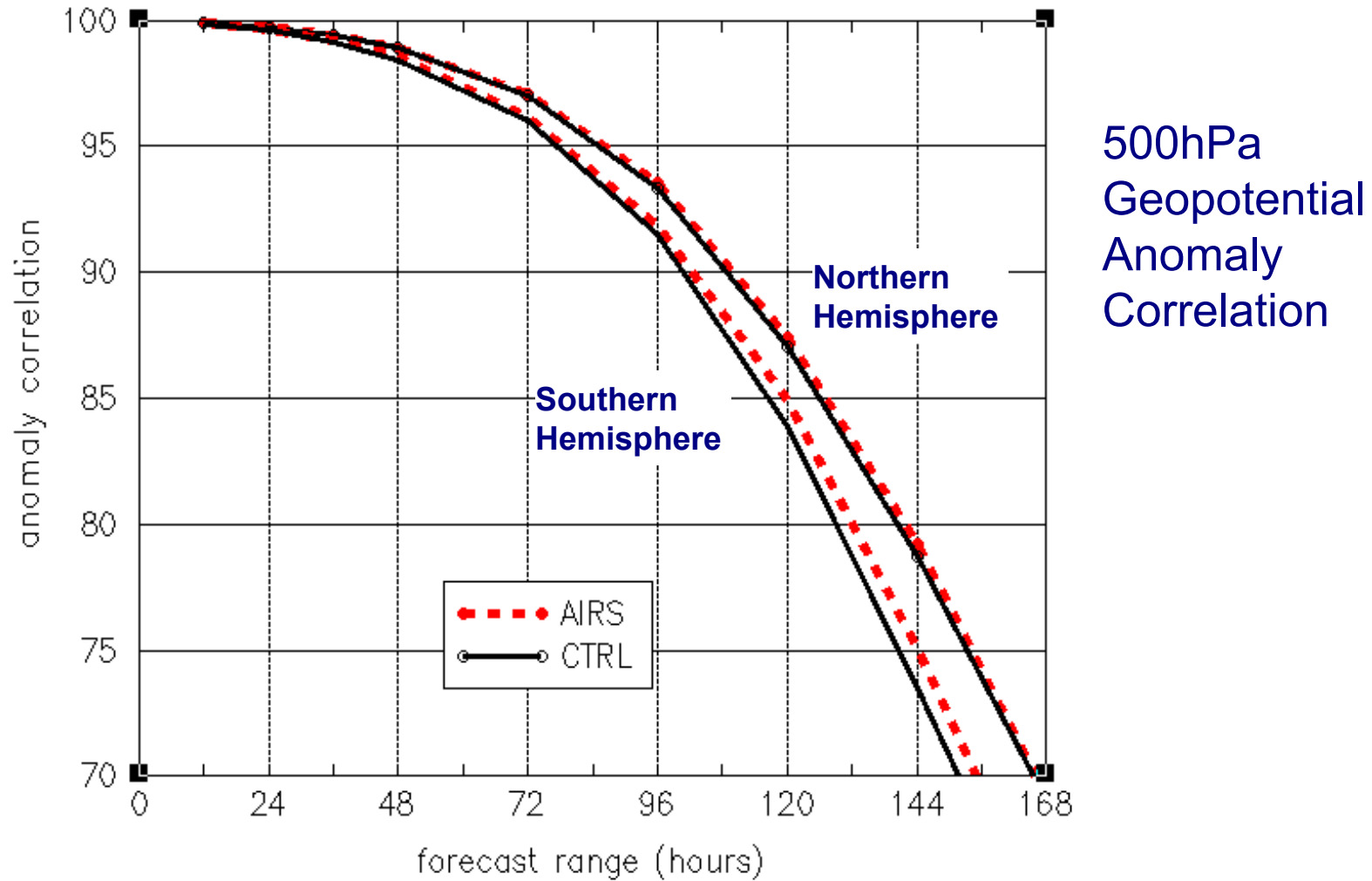
First -Guess Departure Standard Deviations in Shortwave Band



AIRS & IASI Forecast Impacts



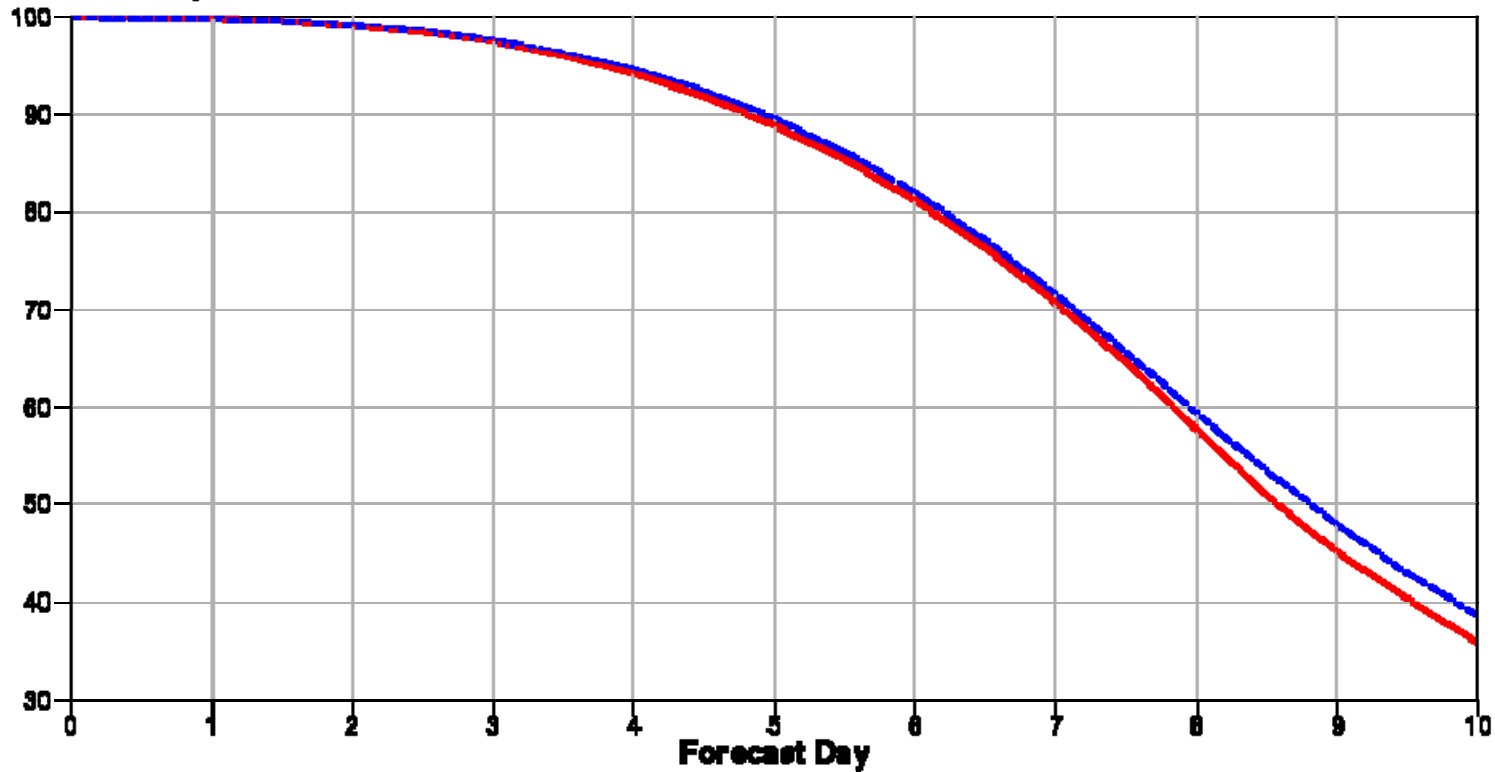
AIRS Impact at ECMWF



IASI Impact on SH Geopot. AC

Mean curves
500hPa Geopotential
Anomaly correlation forecast
S.hem Lat -90.0 to -20.0 Lon -180.0 to 180.0
Date: 20070308 00UTC to 20070505 00UTC
Mean calculation method: standard
Population: 55 (averaged)

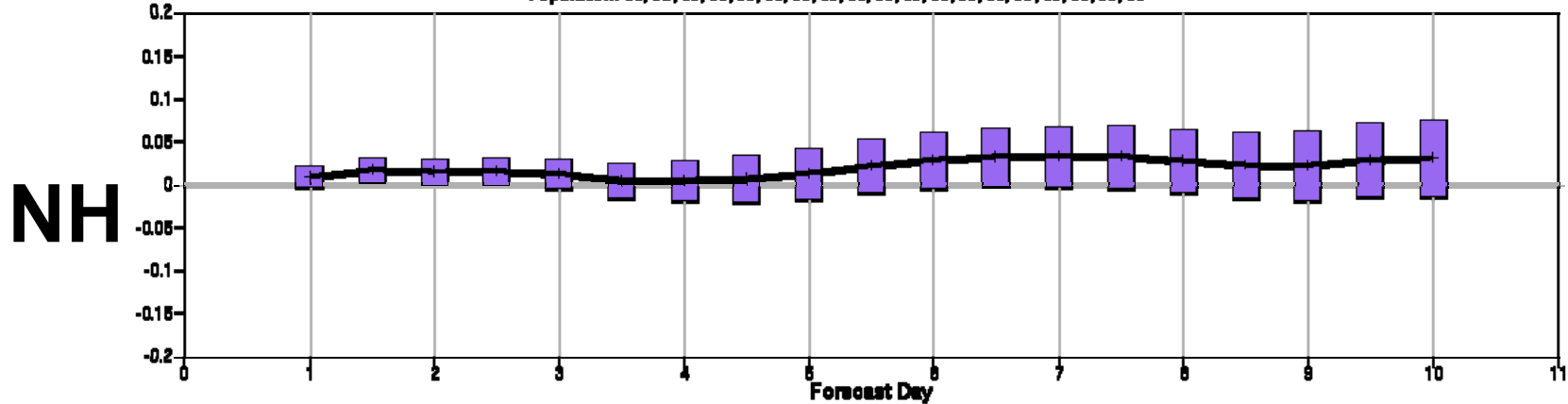
— No IASI
- - With IASI



IASI Forecast Scores Again: 500hPa Geopot. AC

control normalised evto minus evng
Anomaly correlation forecast
N.hem Lat 20.0 to 80.0 Lon -180.0 to 180.0
Date: 20070308 00UTC to 20070606 00UTC
600hPa Geopotential 00UTC
Confidence: 90%

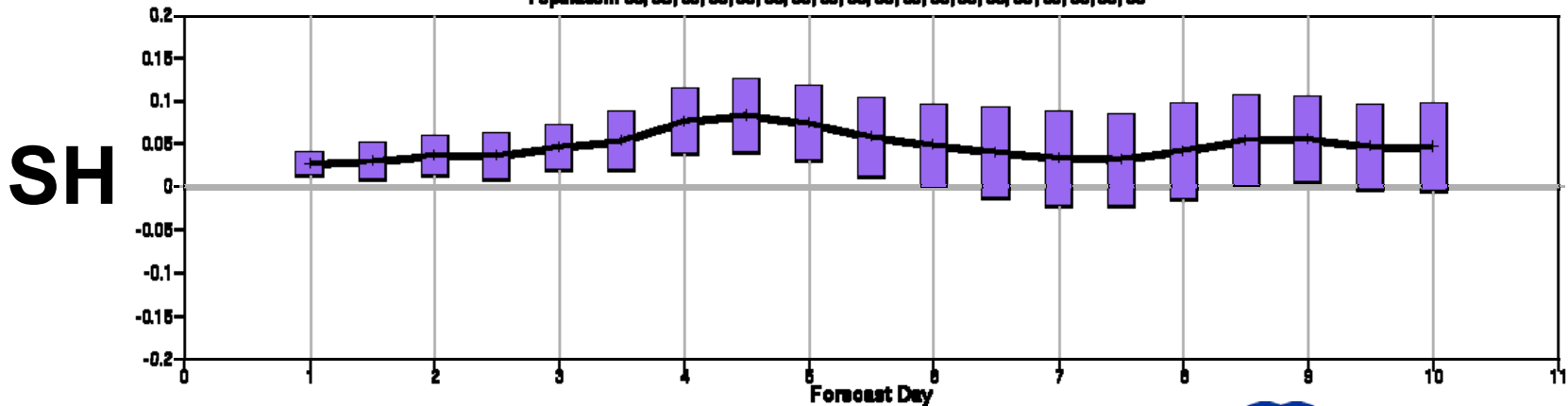
Population: 68, 68



↑
IASI
Better

control normalised evto minus evng
Anomaly correlation forecast
S.hem Lat -80.0 to -20.0 Lon -180.0 to 180.0
Date: 20070308 00UTC to 20070606 00UTC
600hPa Geopotential 00UTC
Confidence: 90%

Population: 68, 68

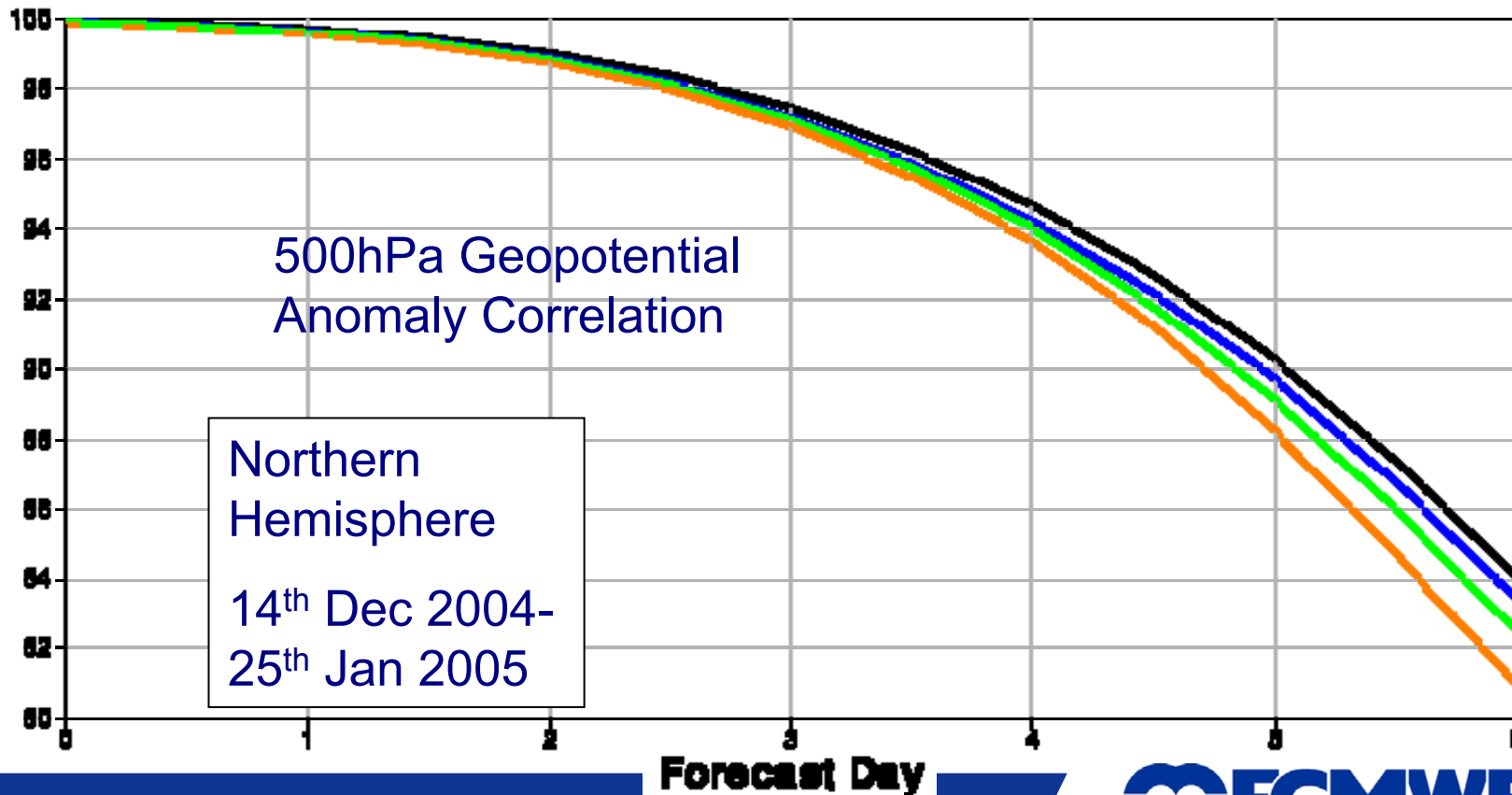


↓
IASI
Worse

AIRS Impact at ECMWF in Context – N. Hemis.

Mean curves
500hPa Geopotential
Anomaly correlation forecast
N.hem Lat 20.0 to 90.0 Lon -180.0 to 180.0
Date: 20041214 00UTC to 20050125 12UTC
Mean calculation method: standard
Population: 88 (averaged)

— control
— ref (conv + east winds)
— ref + 3xamsu-a
— ref + 1xaira



AIRS Impact at ECMWF in Context – S. Hemis.

Mean curves

500hPa Geopotential

Anomaly correlation forecast

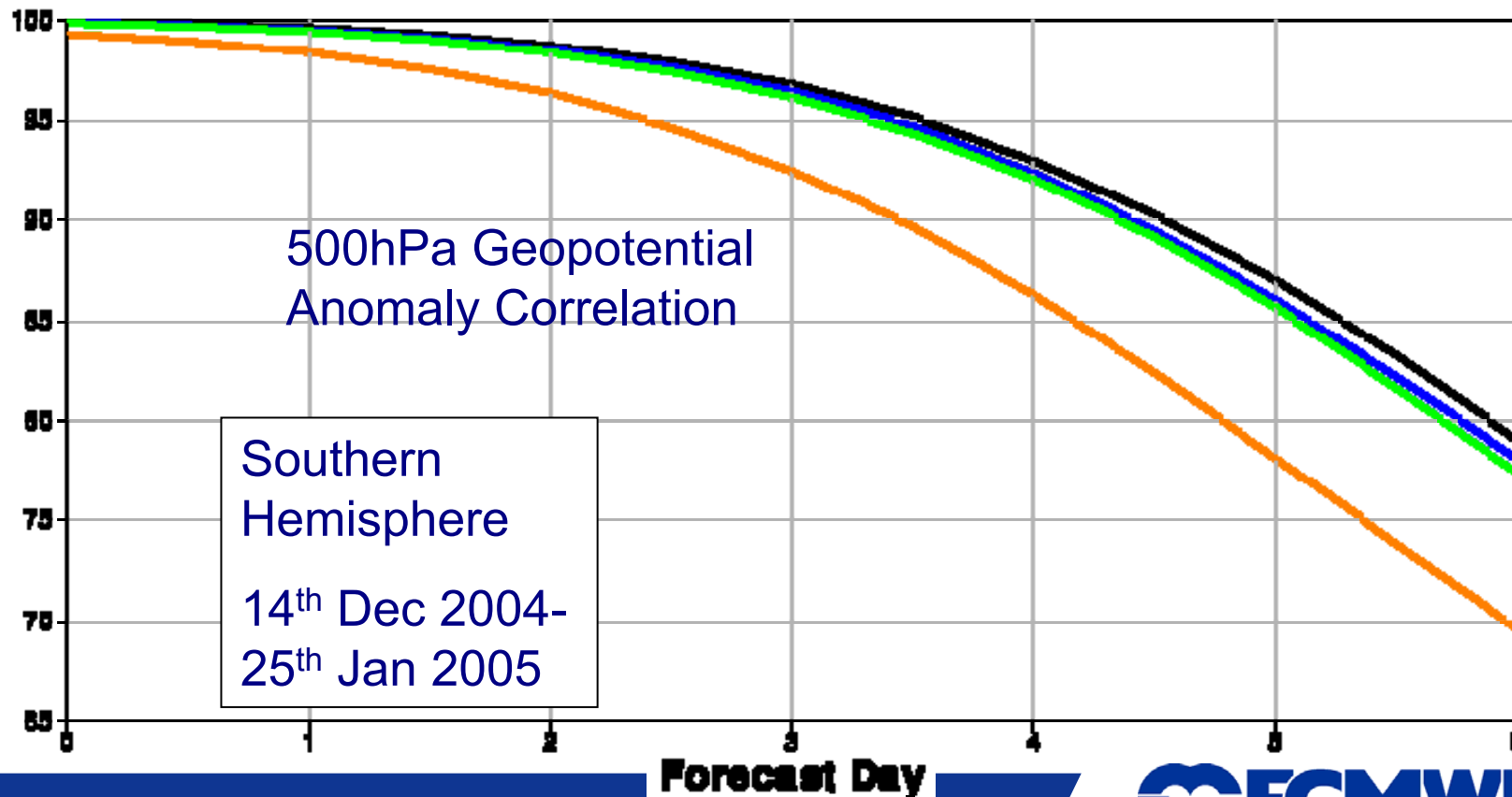
S.hem Lat -90.0 to -20.0 Lon -180.0 to 180.0

Date: 20041214 00UTC to 20050125 12UTC

Mean calculation method: standard

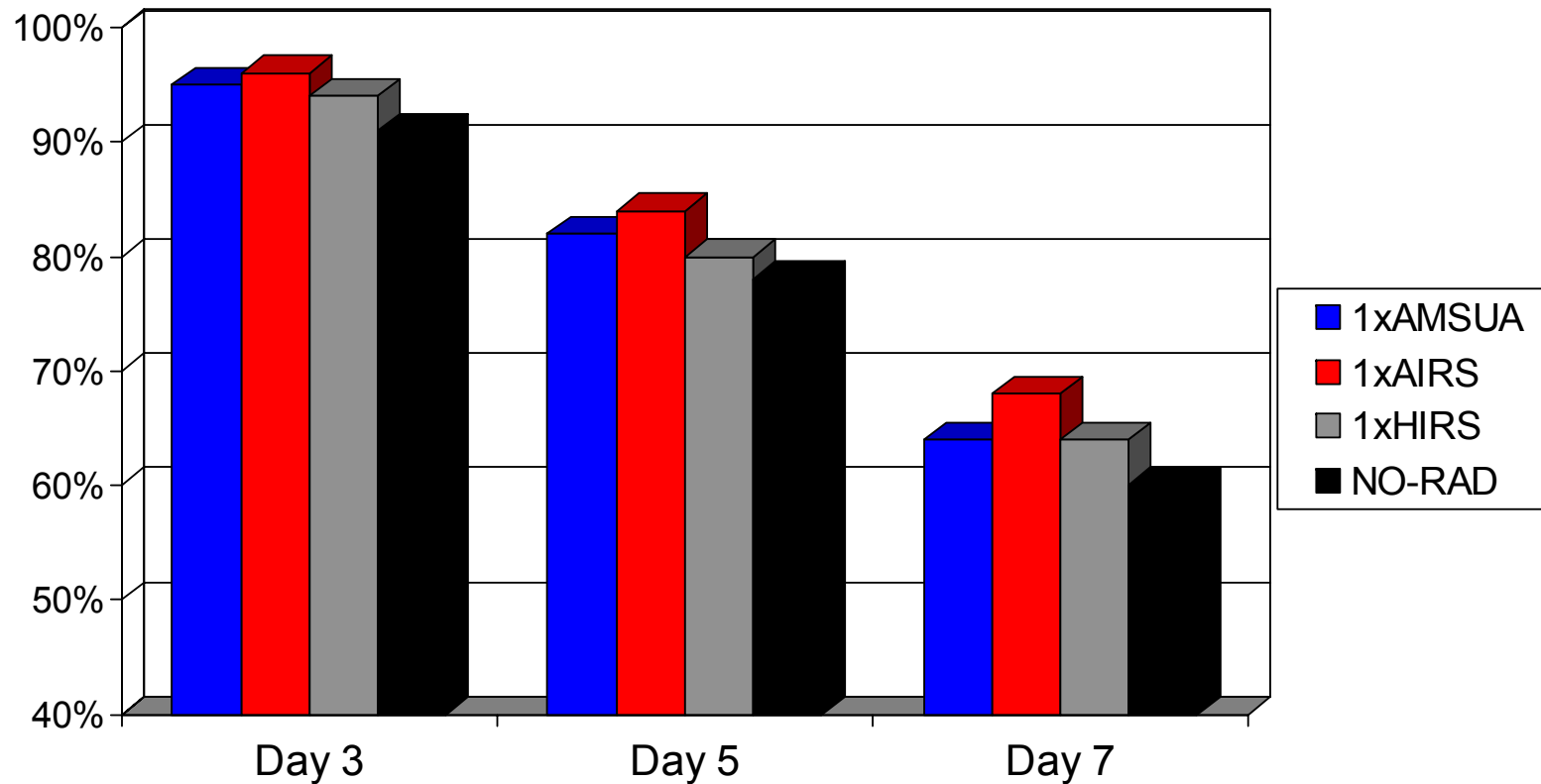
Population: 88 (averaged)

- control
- ref (conv + east winds)
- ref + 3xamsu-a
- ref + 1xaira



Single instrument experiments

Anomaly correlation of 500hPa height for the **Southern Hemisphere**
(average of 50 cases summer and winter 2003 verified with OPS analyses)



Challenges



Water Vapour

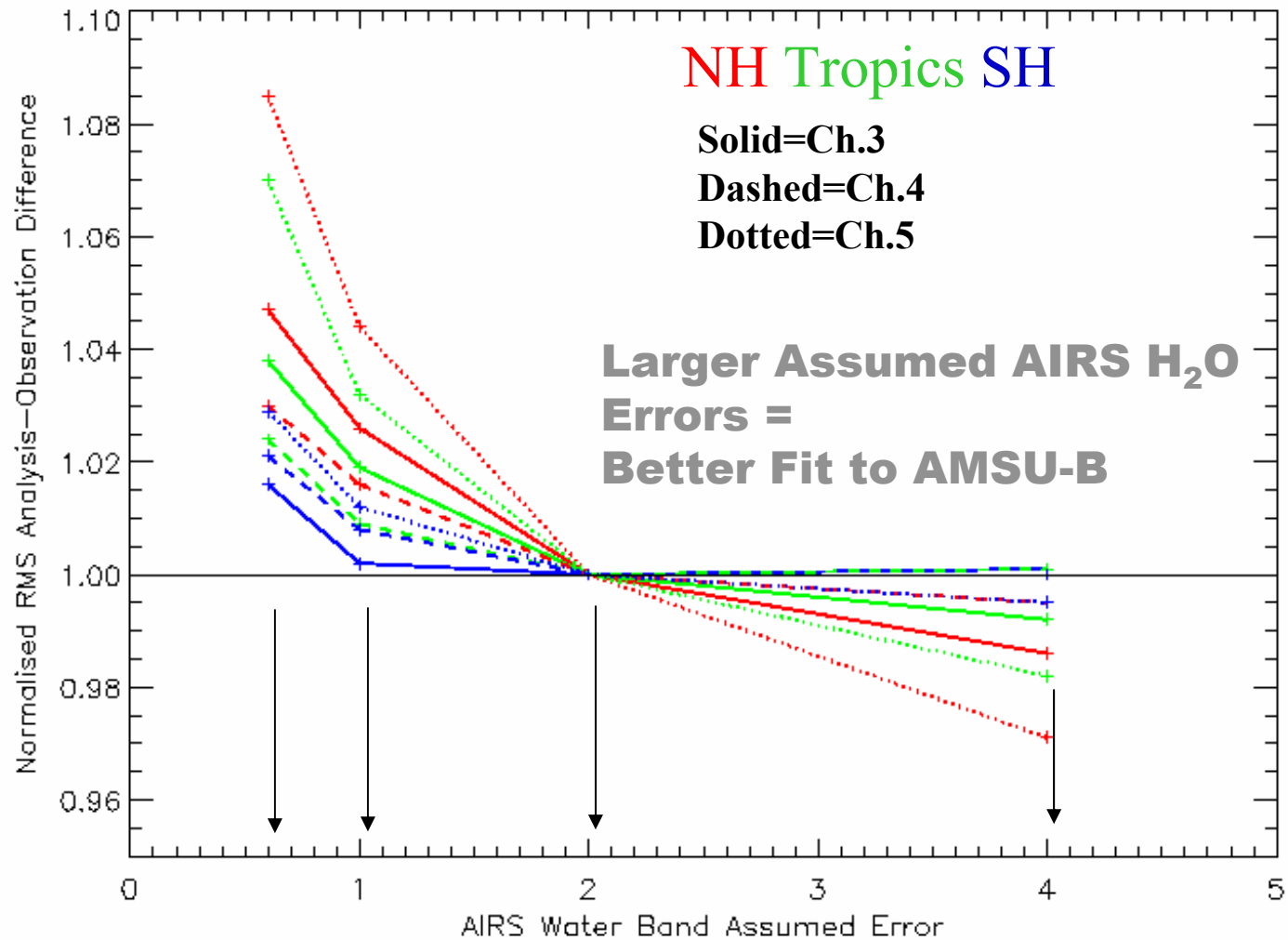


Use of Water Vapour Channels at ECMWF

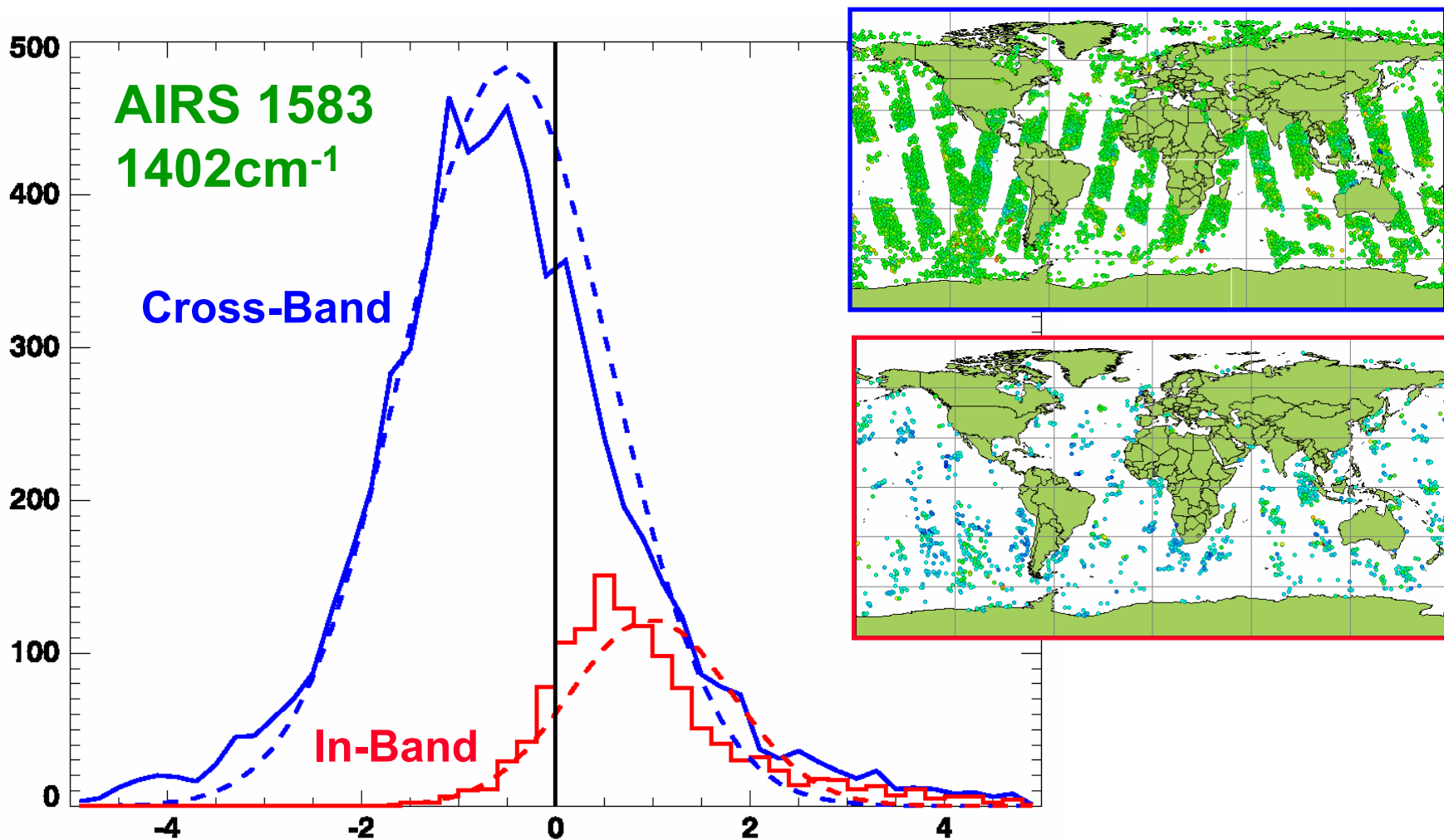
- **We get a small positive impact from using the water vapour channels**
- **We use a cloud detection scheme that uses the first guess departures in the water band itself**
 - The signal from water vapour can mimic cloud
 - The resulting clear channels also tend to be those where water vapour departures are smallest
- **We also assume 2K observation errors.**



O-A Stats for NOAA-16 AMSU-B



In-band vs Cross-Band Cloud Detection



But....

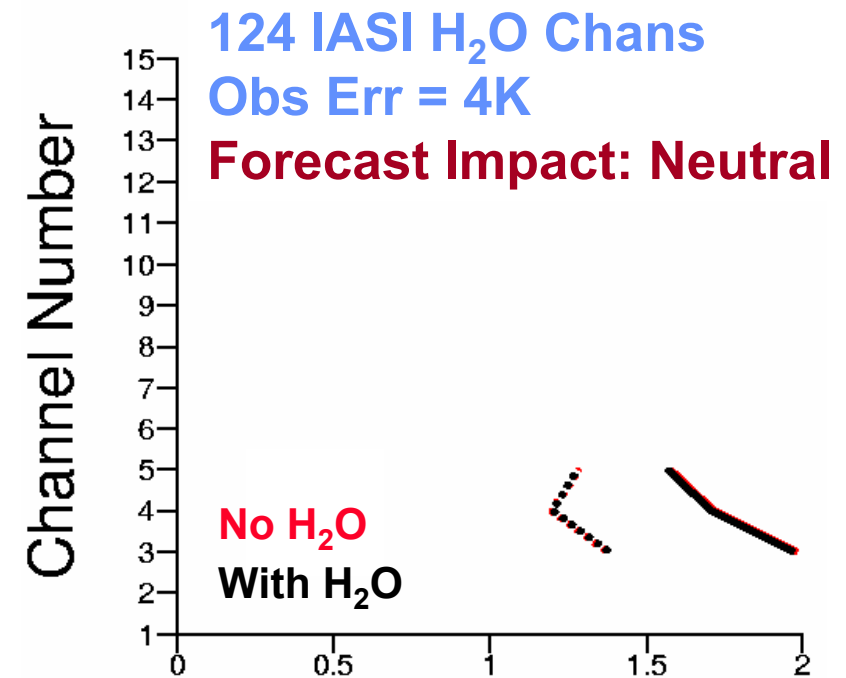
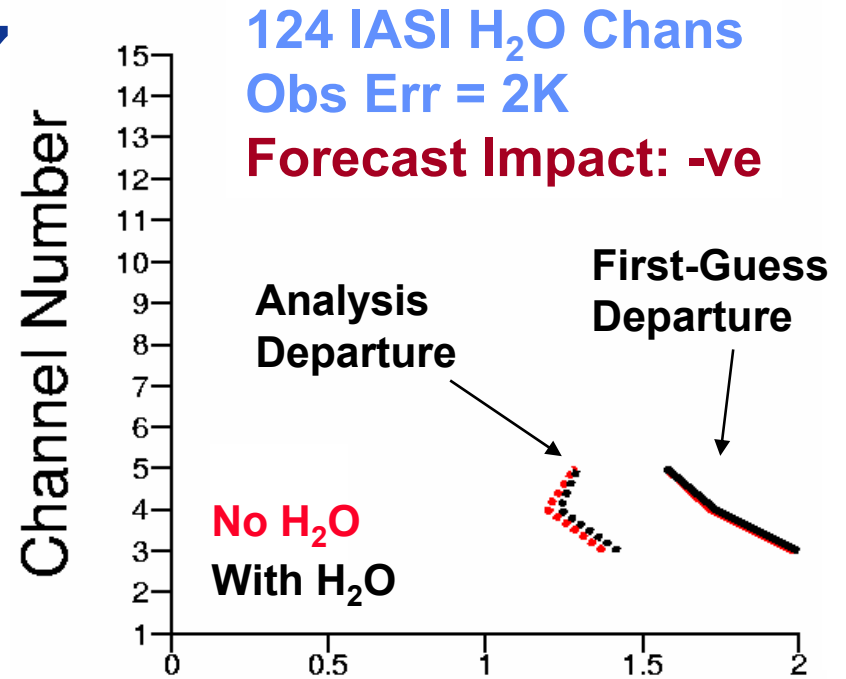
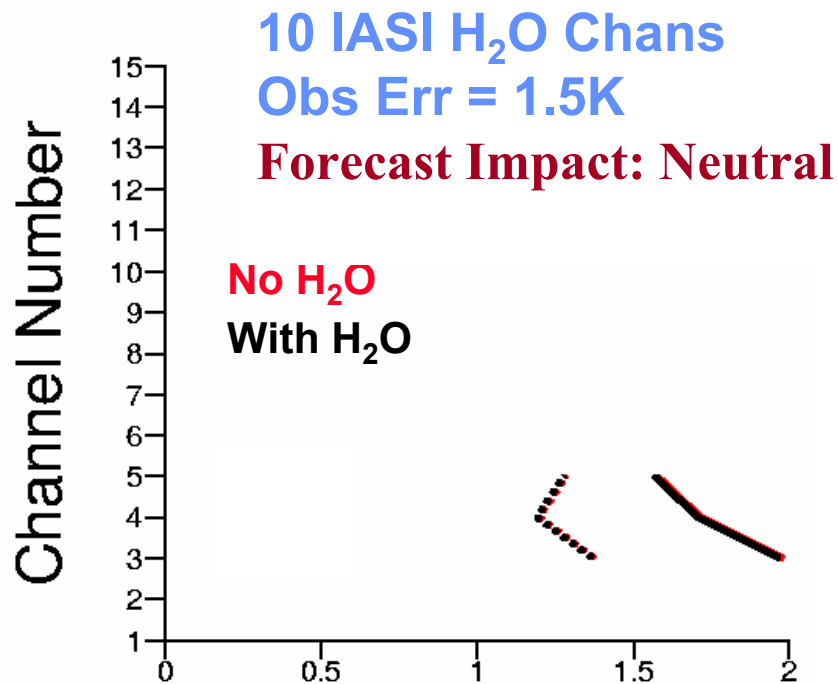
- **In-band H₂O cloud detection with 2K assumed observation errors give small but positive impact**
- **Cross-band H₂O cloud detection gives worse impact than in-band unless assumed errors are > 6K!**
- **Water observation errors for AIRS are ~0.2K!**

For IASI we have a similar story...



Std. Dev. of SH NOAA-17

With IASI using cross-band cloud detection, a 2K error will degrade fit to AMSU-B unless many fewer channels are used.



Some Water Discussion (1)

- The water vapour channels have temperature sensitivity which is highly dependent on the water vapour profile.
- It is possible that water vapour signal is causing erroneous temperature increments
- By removing the feedback of temperature information from the water vapour channels, it has been shown that this is not the case.



Some Water Discussion (2)

- **We have to greatly inflate water vapour errors to avoid degrading the model**
 - This is because of the large number of channels with error correlations between them (including bias)
- **By assuming greatly increasing the water vapour observation errors we are negating the influence of inter-channel differences that allow us greater vertical resolution**
 - Can we do a better job?

Cloud



Cloud

- **Cloud in the field of view can greatly affect our ability to use IR radiances**
- **Studies have show that the most important areas to measure for accurate forecasts often have cloud**
- **We need to identify strategies to deal with cloud**



Dealing with Cloud

- **Use only clear fields of view**
 - Low (~5%) yield
- **Use only channels unaffected by cloud**
 - Low yield in lower tropospheric channels
- **Cloud clearing**
 - Simulate a clear observation by using multiple fields of view and assume that only cloud fraction changes between them
- **Simultaneous retrieval of cloud optical properties**
 - These observations are rich in cloud information

Used now
operationally

Under
Investigation

Data Compression



Data Compression

- **Advanced IR sounder radiances contain a lot of information (~30pieces) ...**
- **...but there are two orders of magnitude more channels.**
- **Hence there is a large amount of redundancy**
- **How can we use these data more efficiently?**



Why is data compression important?

- **Very large data volumes need to be communicated in near-real time (e.g., EUMETSAT to NWP centres)**
- **Simulation of spectra (needed for assimilation) is costly**
- **Assimilation is costly**
- **Data storage**



Efficient use of channels

- **All channels:**
 - 1000s of Channels
 - Not very efficient
- **Selected Channels:**
 - 50-1000 Channels
 - Simplest method
 - What we currently use
 - Throws away a lot of information
- **Selected Channels + Grouping (“Superchannels”):**
 - Reduced Number of Channels
 - Lower noise per channel used
 - Weighting Functions are Less Sharp?

Efficient use of channels (contd.)

● Assimilating Retrievals:

- The old way
- We can pass a smaller number of variables to the 3D/4DVar stage
- No expensive RT modelling required at 3D/4DVar stage
- Two main issues:
 - Inter-level correlations
 - *A priori* data

● Principal Components and Reconstructed Radiances...



Spectral data compression with PCA*

The complete AIRS spectrum can be compressed using a truncated principal component analysis (e.g. 200PCAs v 2300 rads)

Leading eigenvectors (200,say)
of covariance of spectra from
(large) training set

$$\mathbf{p} = \mathbf{V}^T (\mathbf{y} - \bar{\mathbf{y}})$$

Mean spectrum

Original Spectrum

- To use PCs in assimilation requires an efficient RT model to calculate PCs directly

- PCs are more difficult to interpret physically than radiances

N.B. This is usually performed in noise-normalised radiance space

This allows data to be transported efficiently

Spectral data compression and de-noising

The complete AIRS spectrum can be compressed using a truncated principal component analysis (e.g. 200PCAs v 2300 rads)

Leading eigenvectors (200,say)
of covariance of spectra from
(large) training set

Reconstructed
spectrum

$$\mathbf{p} = \mathbf{V}^T (\mathbf{y} - \bar{\mathbf{y}})$$

Mean spectrum

Original Spectrum

$$\mathbf{y}_R = \bar{\mathbf{y}} + \mathbf{V}\mathbf{p}$$

N.B. This is usually performed in noise-normalised radiance space

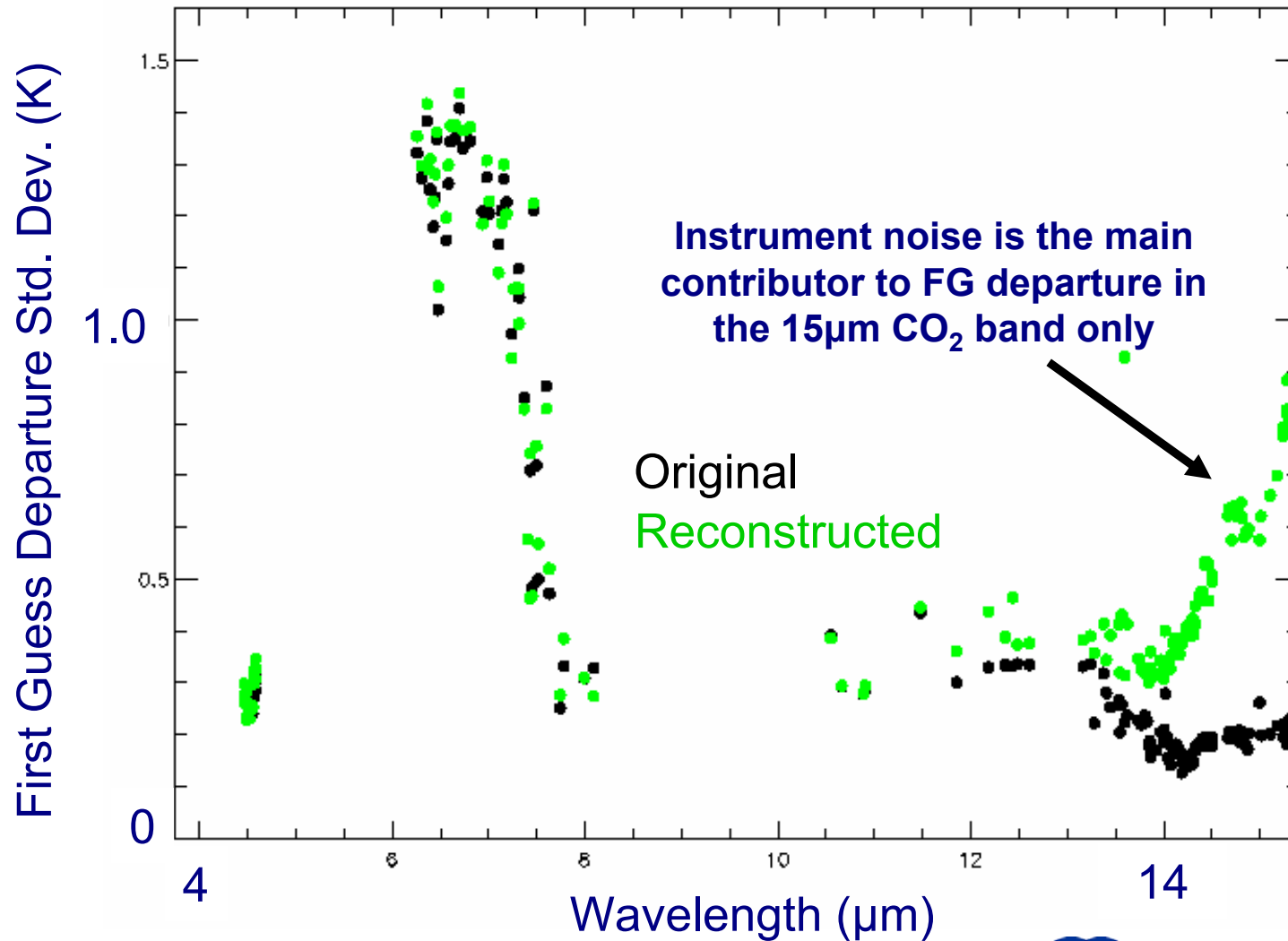
Each reconstructed channel is a linear combination of all the original channels and the data is significantly de-noised.

If N PCs are used all the information is contained in N reconstructed channels (theoretically)

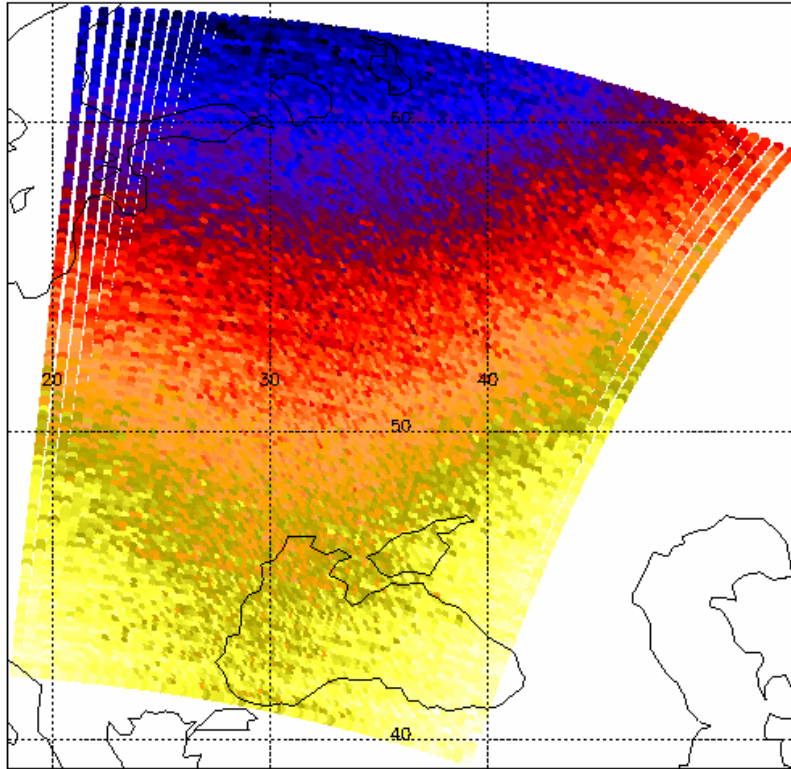
AIRS Reconstructed Radiances

- Data are supplied in near-real time by NOAA/NESDIS in the same format as the “real” radiances.
- The same channels are supplied, except some “popping” channels are missing
- Based on 200 PCs
- QC Flag supplied

First Guess Departures for AIRS are Reduced

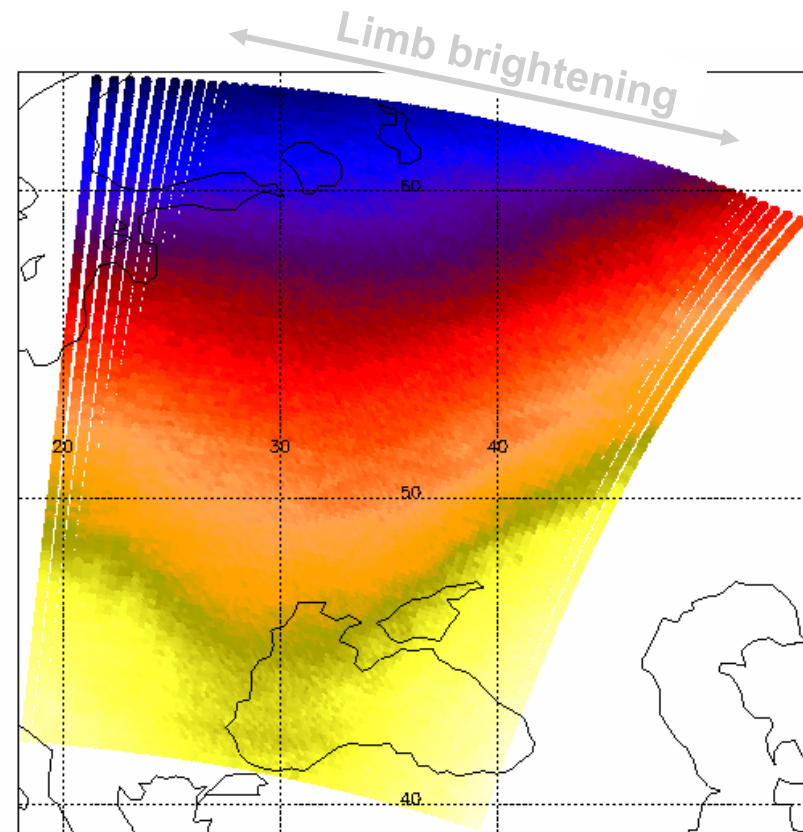


Spatial Denoising

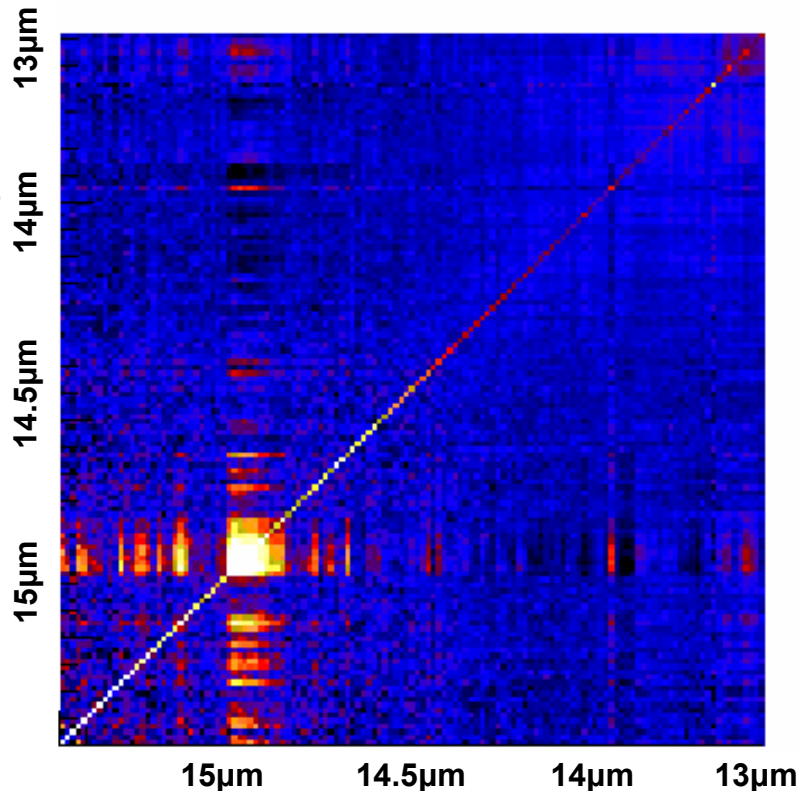


Original

AIRS Channel 99
(674.4cm⁻¹, 14.83μm, ~15hPa) **“Denoised”**



A look at Reconstructed Radiances' Errors

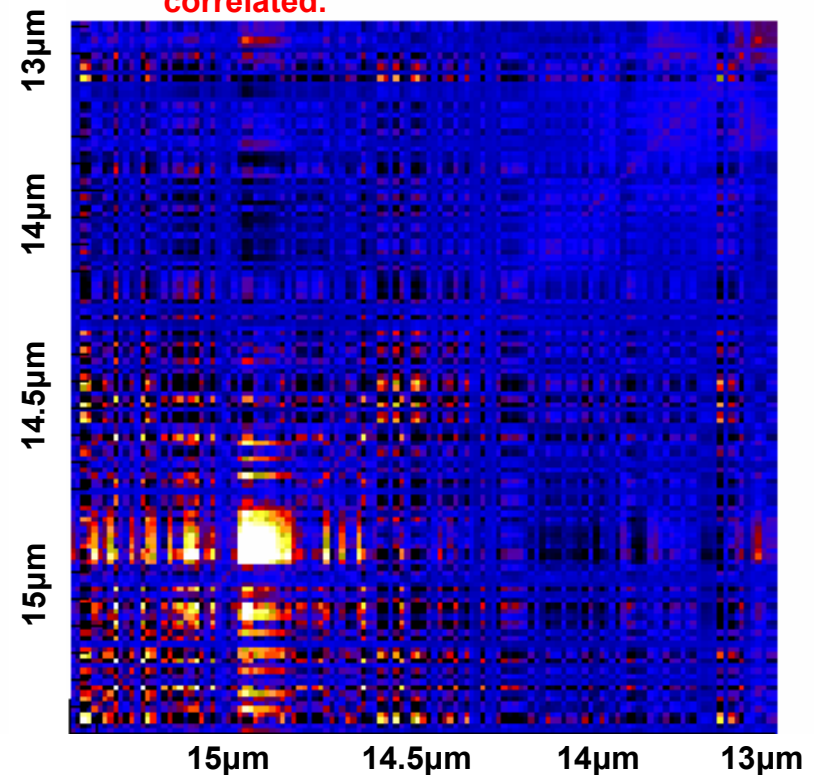


Original Radiances

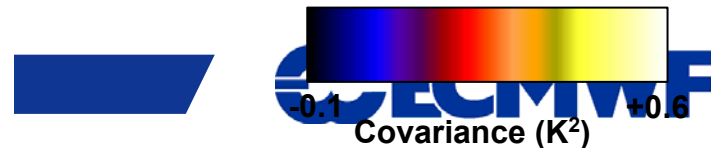
Instrument noise is dominant and diagonal. Correlated noise is from background error

Reconstructed Radiances

Instrument noise is reduced (std. dev. is approximately halved) but has become correlated.



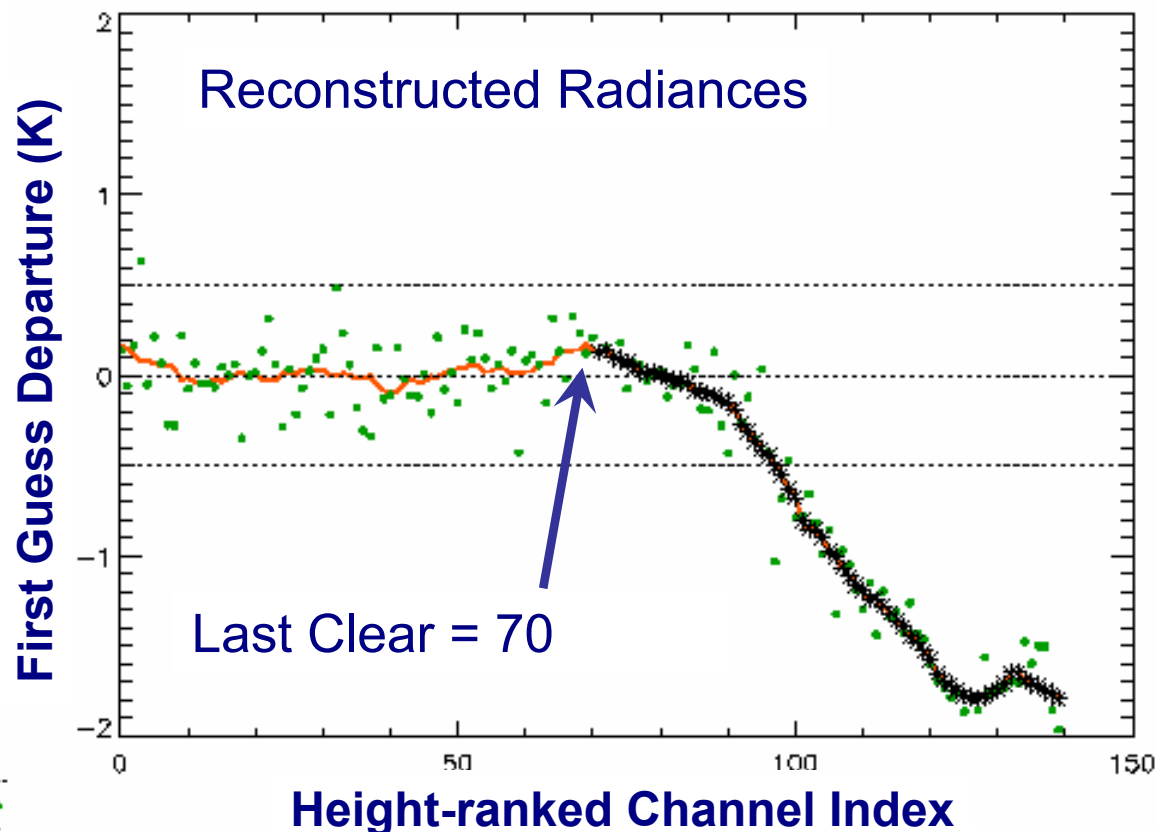
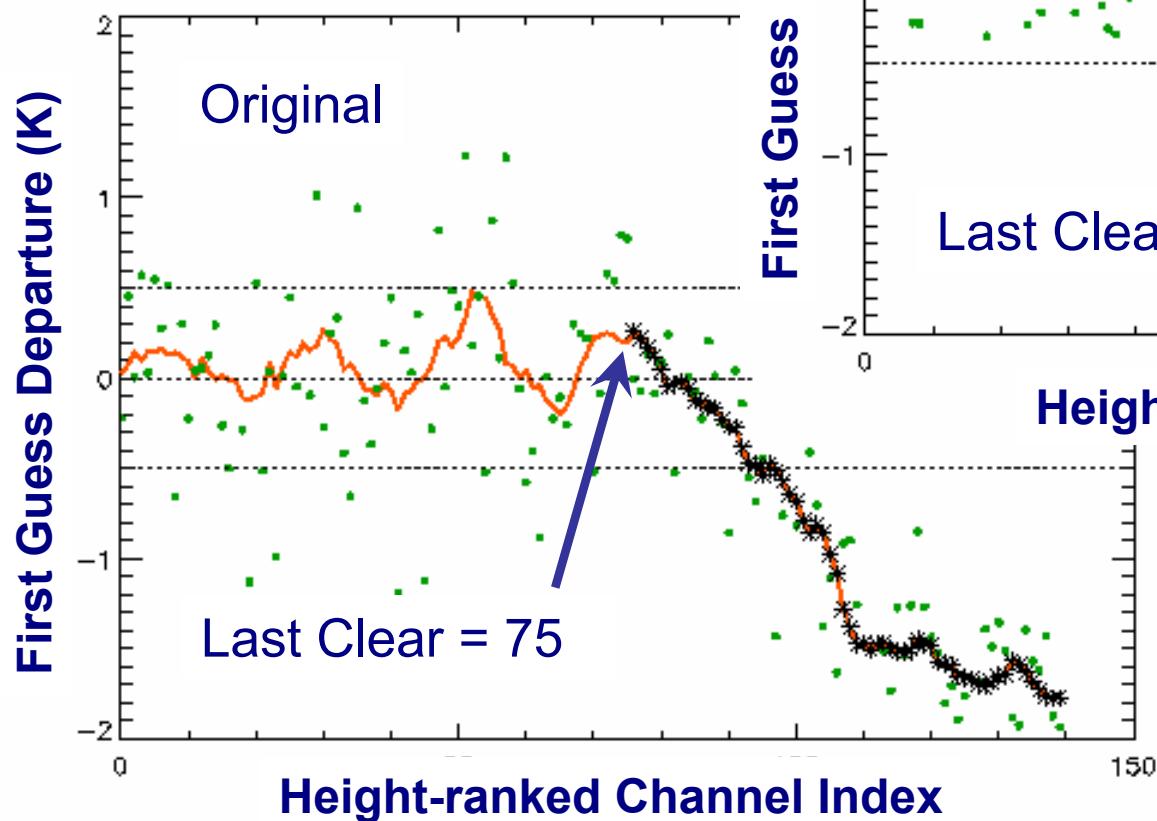
Covariances of background departures for clear observations in 15 μm CO₂ band



Improvements in Cloud Detection

ECMWF Scheme:

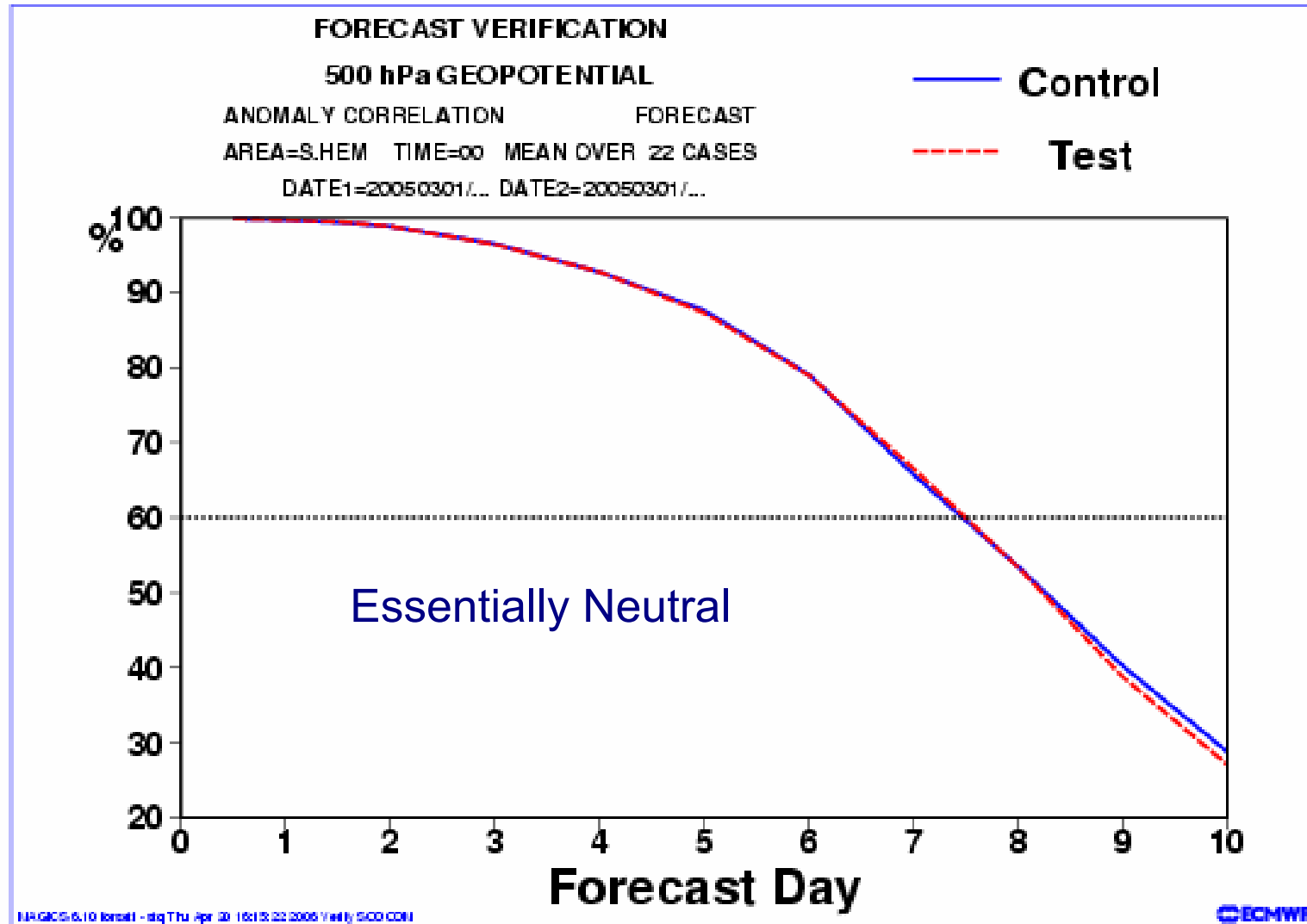
- Ranks Channels Height
- Applies low-pass filter
- Tests for non-zero gradient



< 2% of Channels are flagged differently for RR vs Normal radiances



Forecast Impact of Reconstructed Radiances

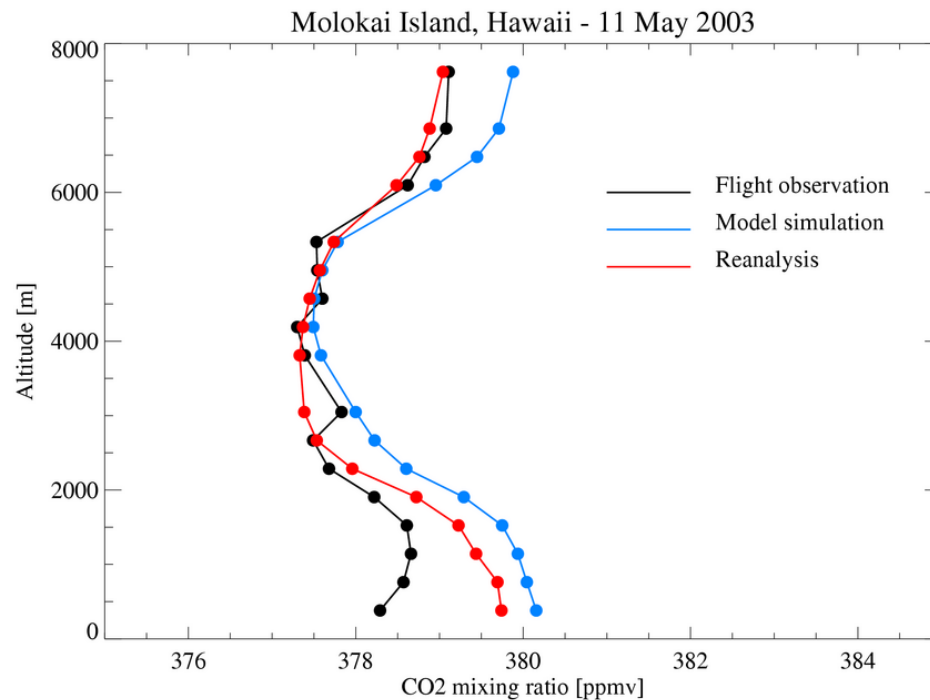


“Trace” Gases



Using AIRS in CO₂ data assimilation

AIRS observations are used for CO₂ data assimilation within the GEMS project. Although the signal is small, it does improve the fit to independent aircraft observations as shown in the figure. In the next few months IASI will be implemented in the CO₂ assimilation as well.

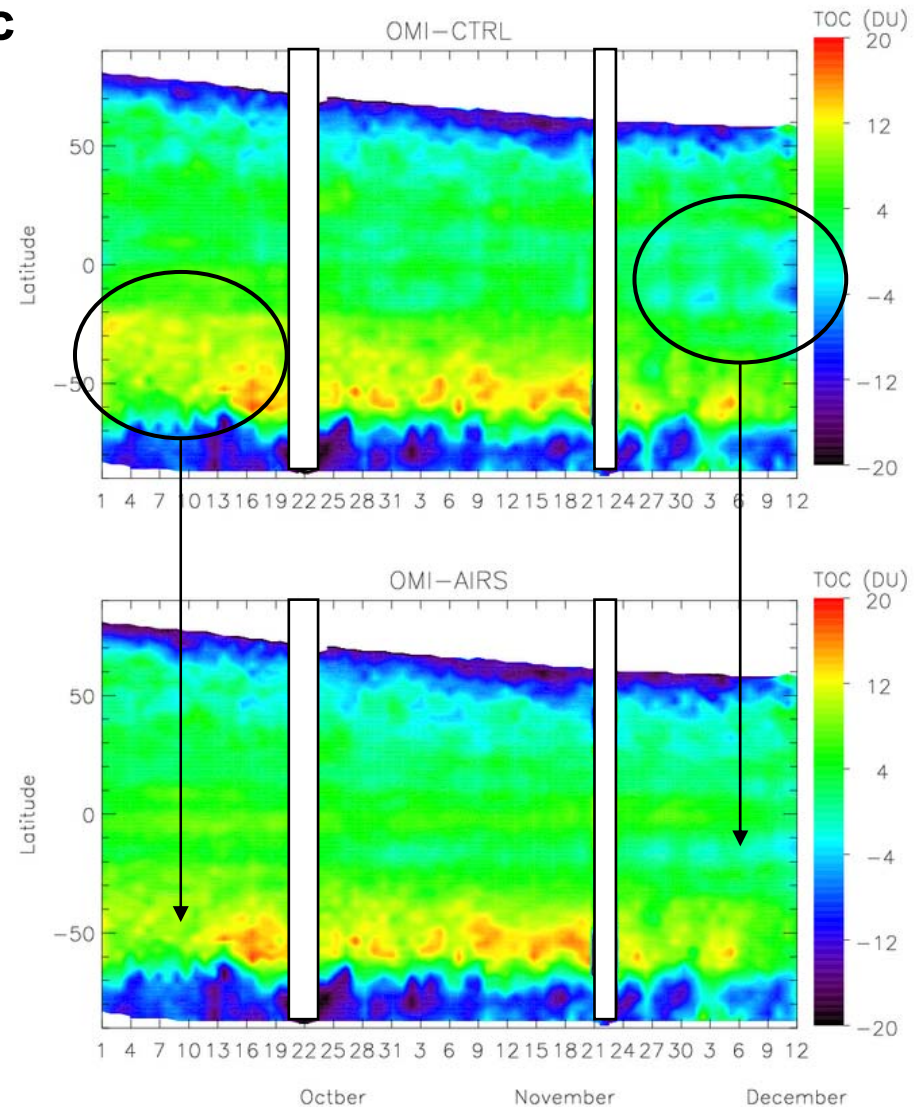
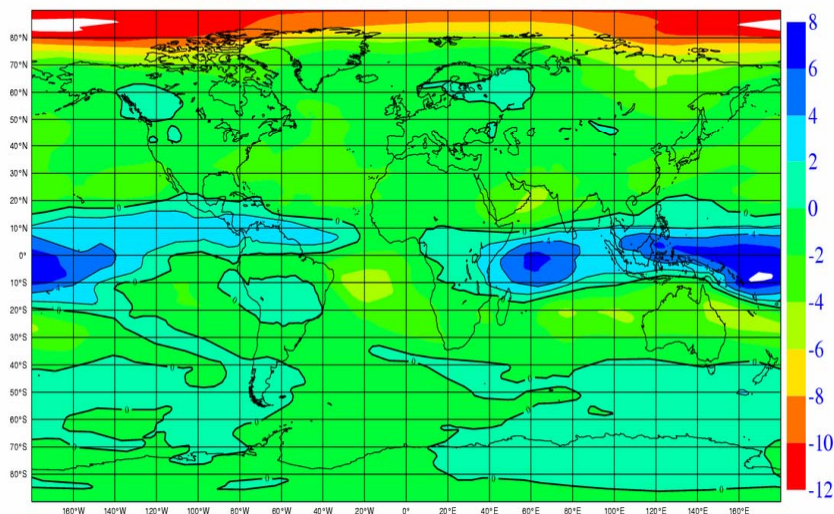


The flight data over Hawaii were provided by Pieter Tans, NOAA/ESRL.

Assimilation of AIRS O₃-sensitive IR channels

- Two exp (T159L91), 15 Sep–14 Dec
 - CTRL: Op. set up
 - AIRS: CTRL +36 ch. assimilated in [1003-1286] cm⁻¹
- Radiances over land blacklisted.
- TCO is reduced in the tropics, increased at HL in the NH

Temporal mean total column ozone difference (CTRL-AIRS) in DU
01 Oct 2006 00UTC - 14 Dec 2006 18UTC



Courtesy Rossana Dragani

Conclusions

- AIRS and IASI have been operational at ECMWF since October 2003 and June 2007 respectively
- IASI and AIRS have demonstrated positive impacts on the ECMWF NWP model and form an important part of the assimilation system
- To make better use of the full dataset we need to address:
 - Water vapour assimilation
 - Assimilation in cloudy areas
 - Efficient use of more of the spectrum
 - Use over land

~~Time for Lunch~~

Coffee?

