



# Estimation of atmospheric CO<sub>2</sub> concentrations through the data assimilation of AIRS radiances in the ECMWF 4D-Var system

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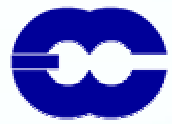
Reading, United Kingdom

Many thanks to Phil Watts, Frédéric Chevallier, Tony McNally, and many others at ECMWF.



# Outline

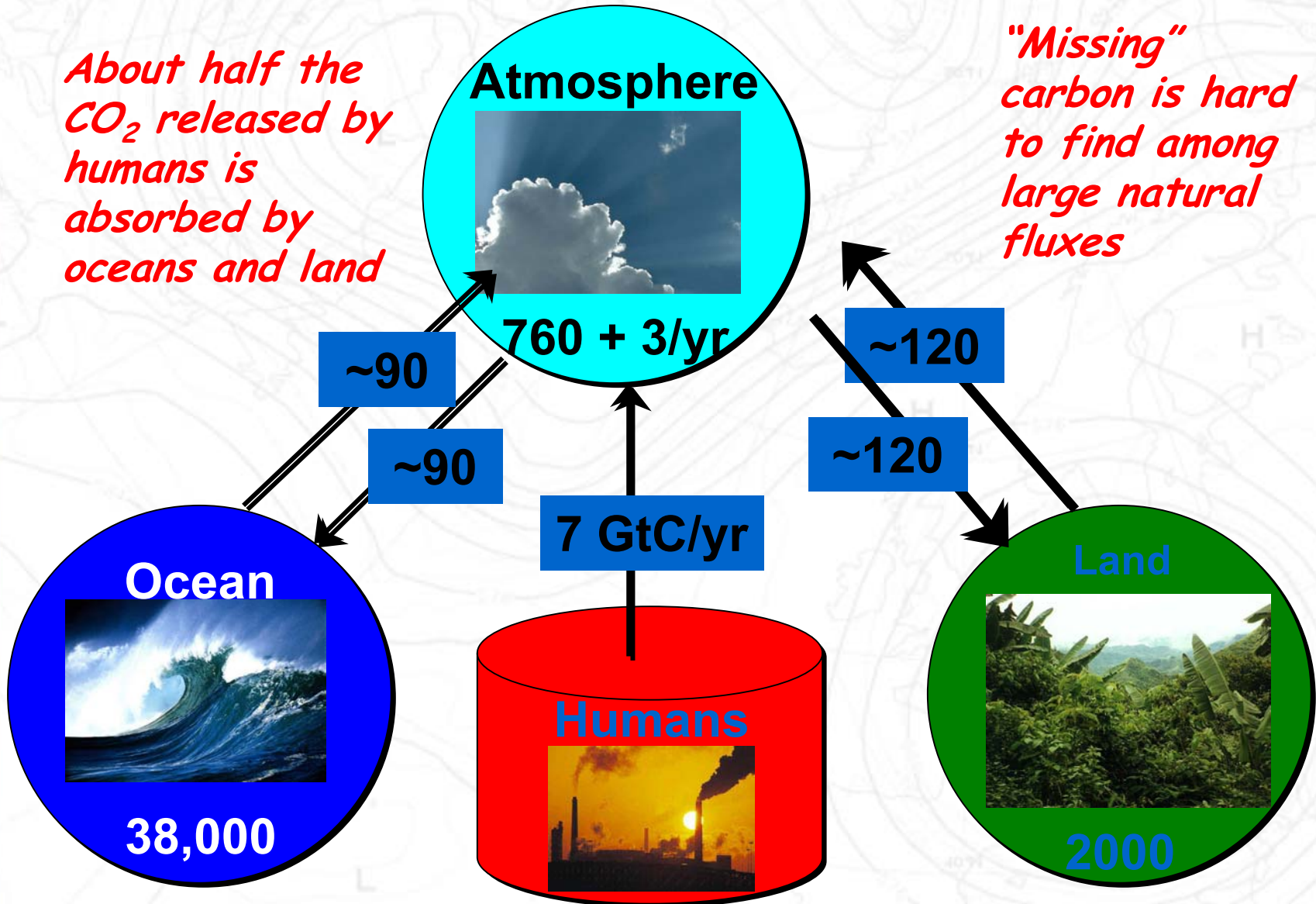
- Why  $\text{CO}_2$  data assimilation?
- Some sensitivity studies
- Description of  $\text{CO}_2$  data assimilation system
- Tropospheric results
- Comparisons with independent AIRS retrievals and model simulations
- Separation of signals ( $\text{CO}_2$ , T, and  $\text{H}_2\text{O}$ )
- Validation efforts
- Conclusions



# Global Carbon Cycle

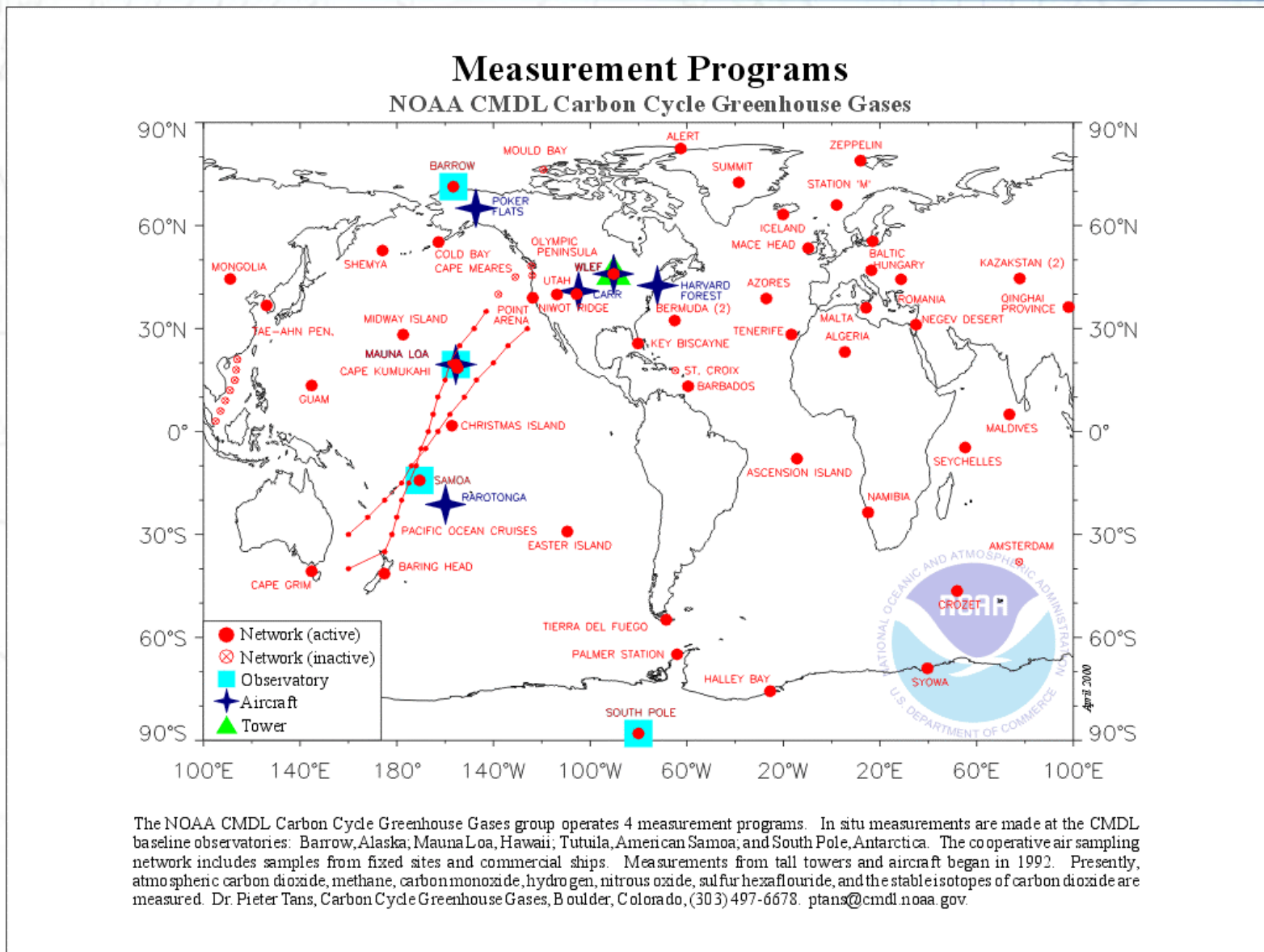
*About half the CO<sub>2</sub> released by humans is absorbed by oceans and land*

*"Missing" carbon is hard to find among large natural fluxes*



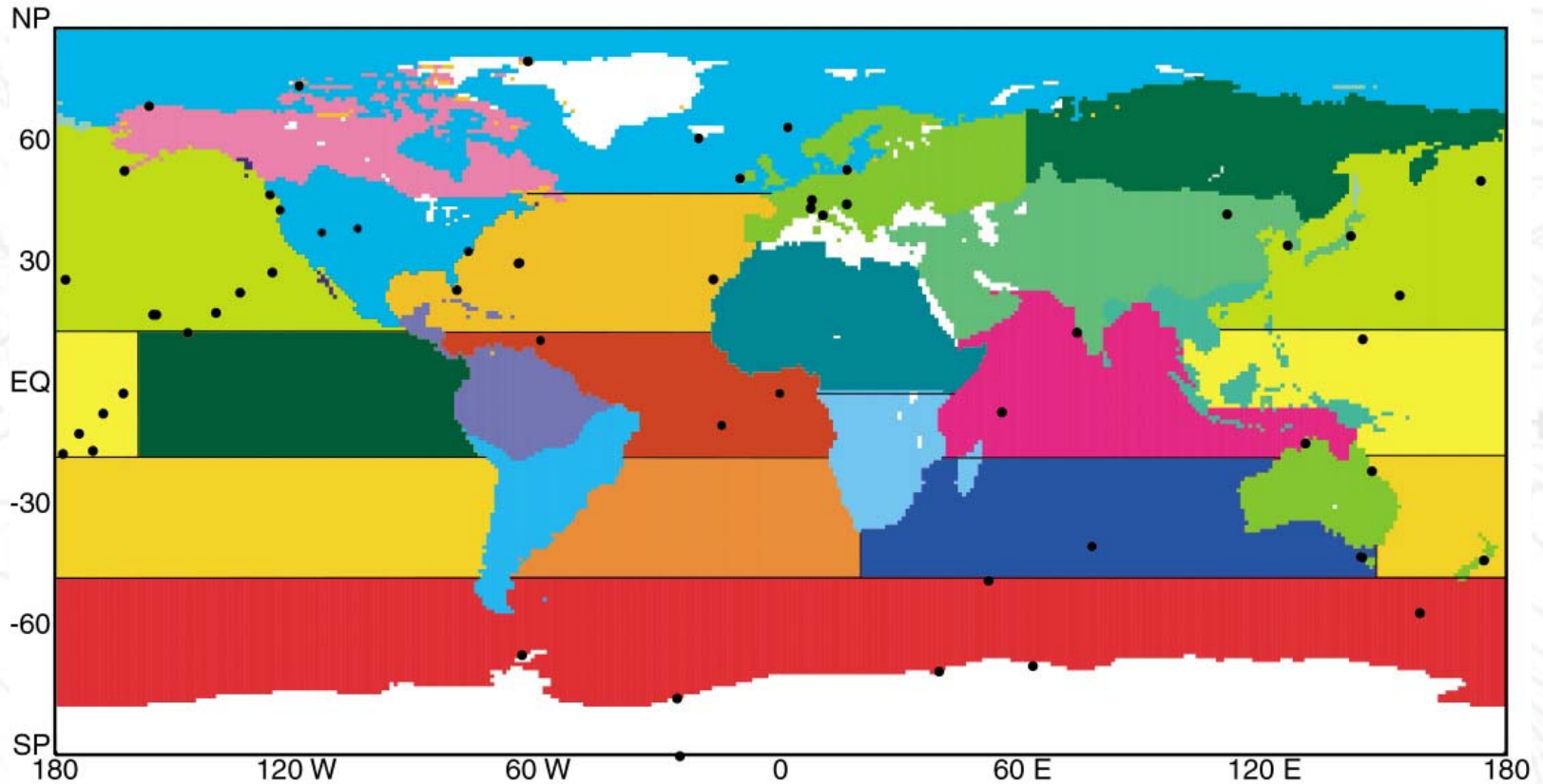


# Current NOAA surface flask network





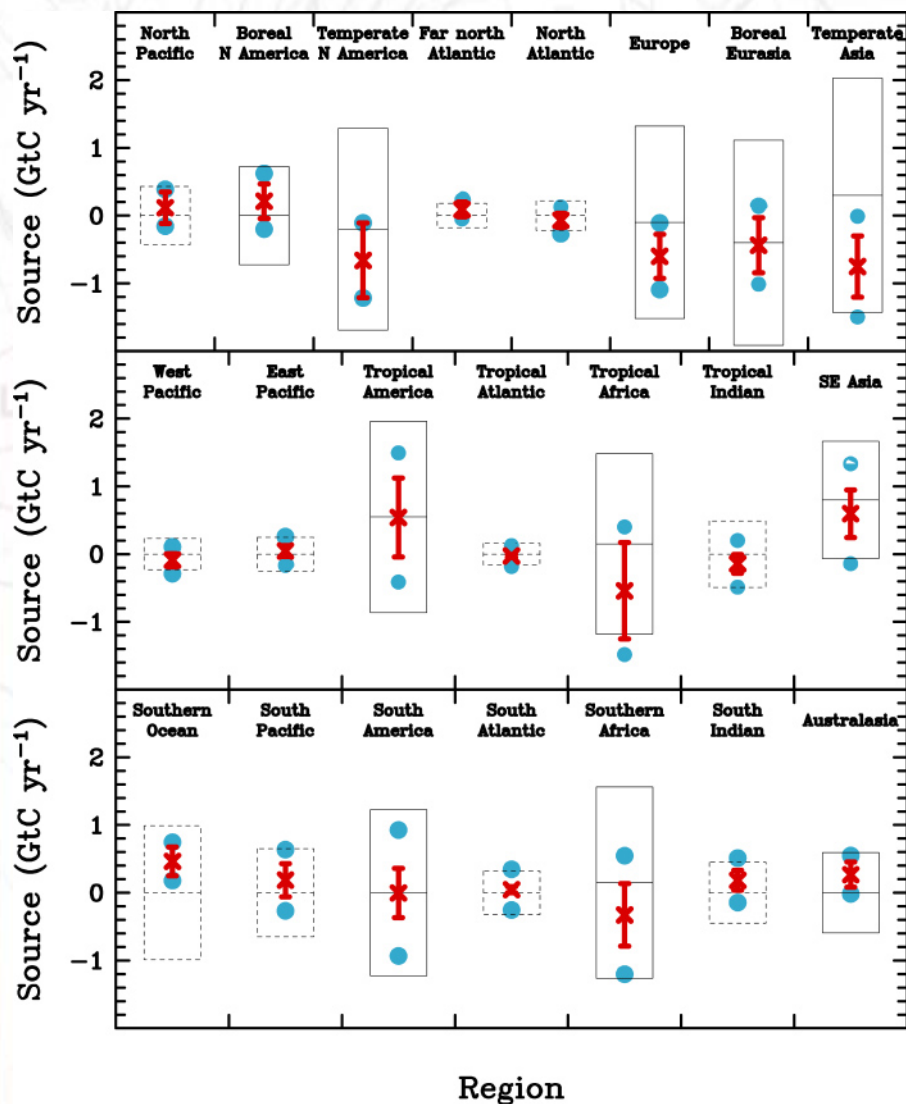
# Synthesis Flux Inversion



Observations from about 75 flask stations are inverted to surface flux estimates in 22 basis regions. Flux patterns within these regions are prescribed, as are fluxes due to anthropogenic emissions and basic natural processes.



# Synthesis inversion results



- Red 'x' indicates mean flux across 15 models
- Blue circles indicate mean a posteriori uncertainty ('within' model error)
- Red error bars indicate model spread ('between' model error)
- 'Within' model uncertainty larger than 'between' model uncertainty for most regions

• Current inversion system is data limited!

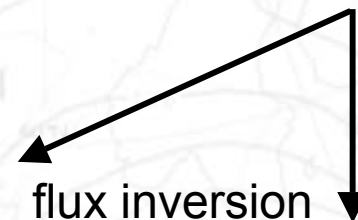
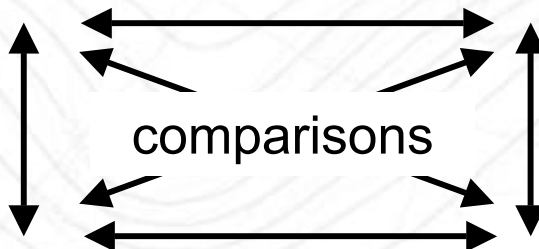
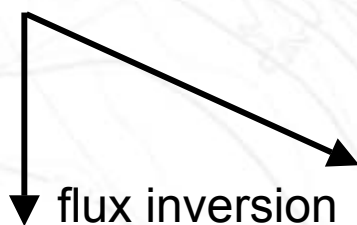
From Gurney et al. (2002)



# The COCO Project

**ECMWF**  
CO<sub>2</sub> data assimilation

**LMD-Paris**  
CO<sub>2</sub> neural network retrieval

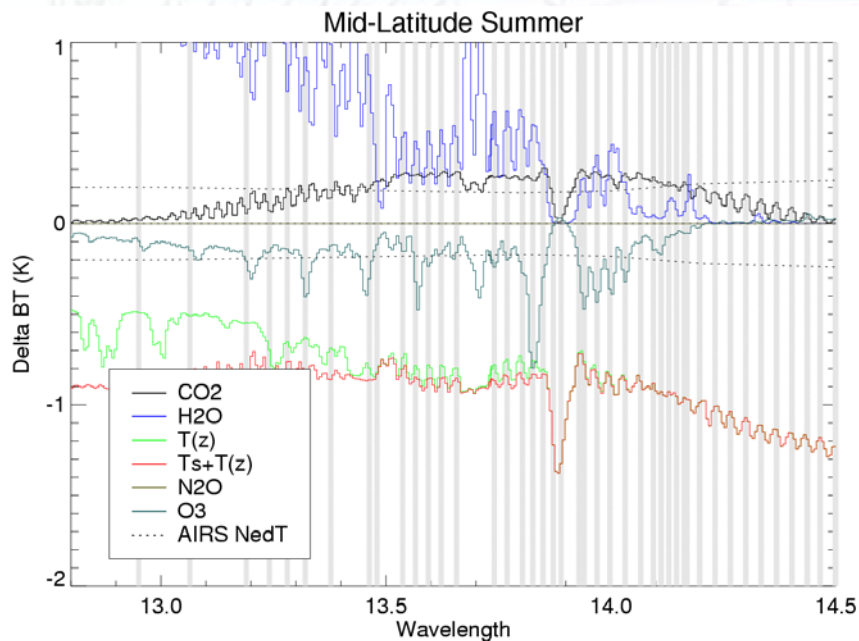


**LSCE-Paris**  
Model simulations  
Flux inversions

**MPI-Jena**  
Model simulations  
Flux inversions  
Coordination



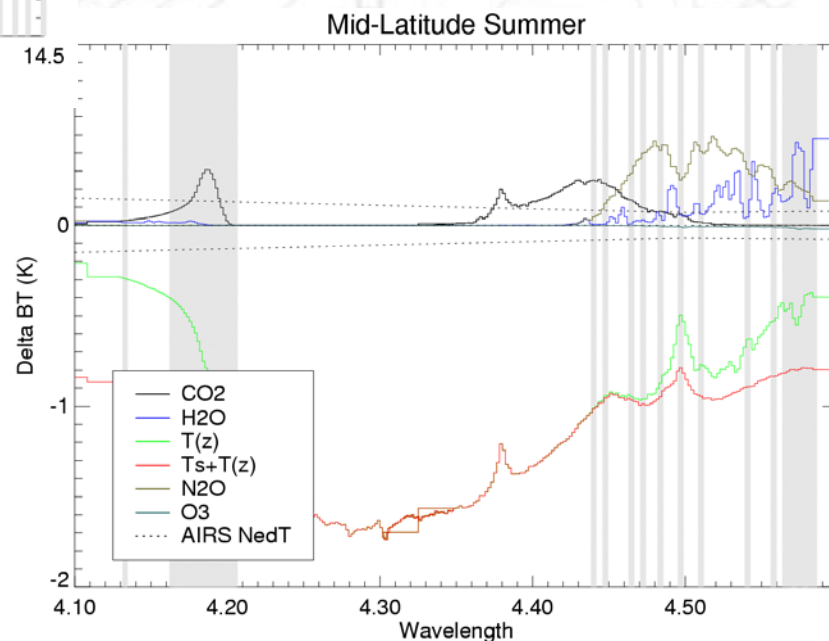
# AIRS Channel Sensitivity



$T$ ,  $T_s$ ,  $H_2O$ , and  $O_3$  perturbations are based on forecast model error.

$CO_2$  and  $N_2O$  perturbations are based on seasonal variability.

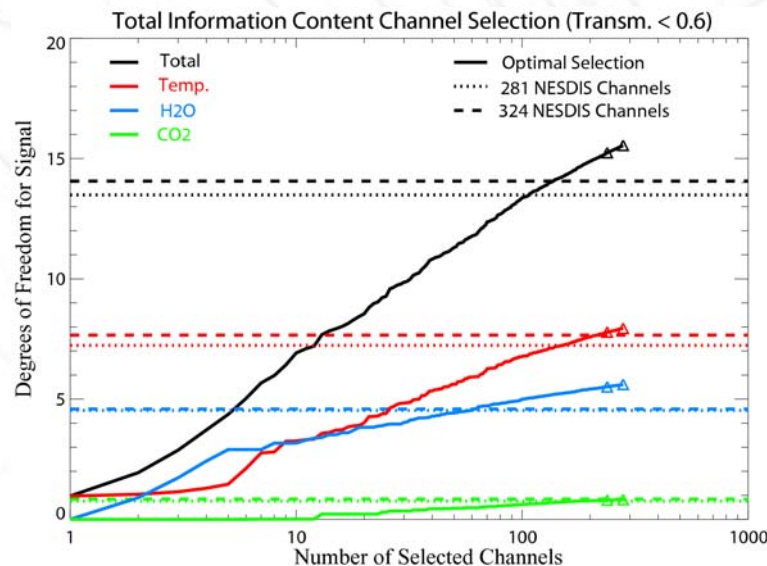
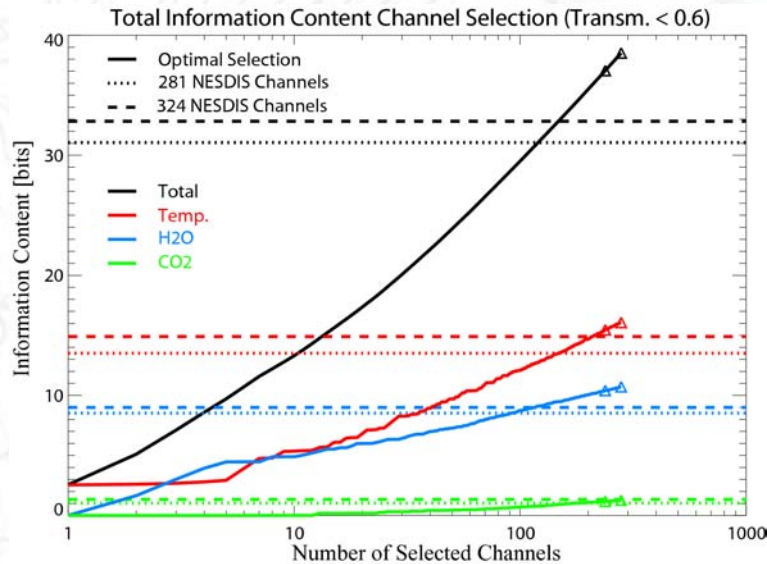
Without the spectral correlation in the absorption spectra,  $CO_2$  would be completely concealed by the other variables.







# Effects of channel selection



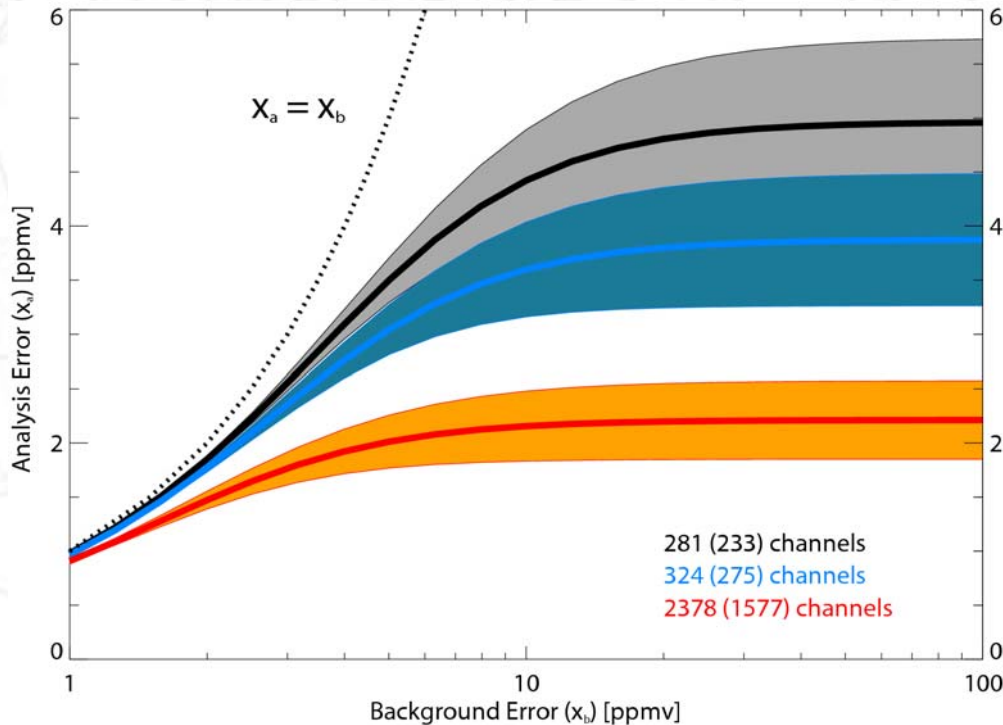
A channel selection purely based on the ECMWF background covariance information could retrieve more information with the same number of channels than the NESDIS selection.

However, this is very model specific. The NCEP forecast model would probably generate a different selection that is equally justifiable.

The added CO<sub>2</sub> channels, selected by LMD, provide more CO<sub>2</sub> information per channel than the optimal selection, because the latter is dominated by T and q.



# CO<sub>2</sub> Error limits

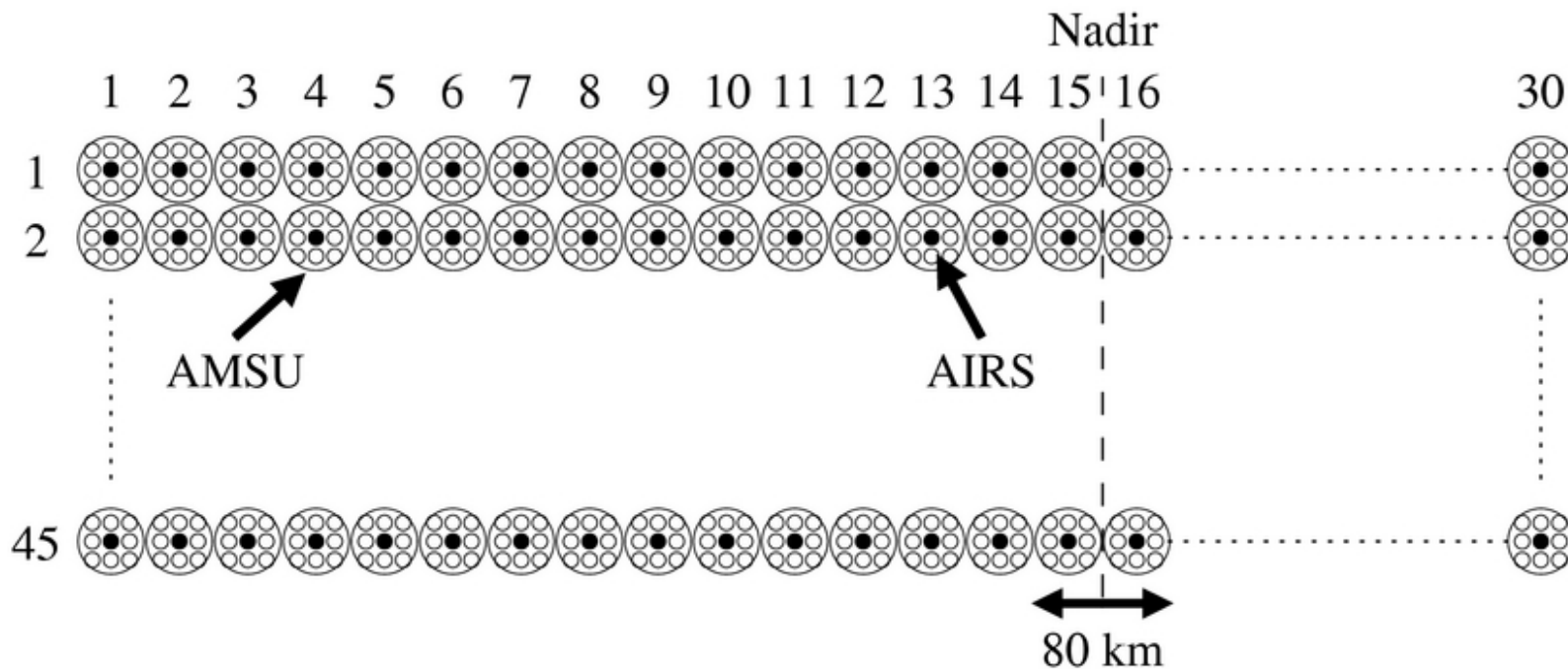


Notice the large improvement by the extra CO<sub>2</sub> channels compared to the original 281 channels.

Based on 1D-Var simulations we see that when CO<sub>2</sub> is retrieved as a column-averaged mixing ratio, the analysis error reaches a limit that depends on the number of spectral channels and on the specified observational error. The shaded areas around the mean show the variability due to various atmospheric profiles.



# AIRS data usage at ECMWF



For the  $CO_2$  data assimilation 1 out of every 9 AIRS footprints is used. No additional thinning is used in contrast to the operational set-up. This was done to ensure as many observations with low or no cloud cover as possible.

Only the long-wave  $CO_2$  band is used for these initial experiments to avoid problems with some of the other parts of the spectrum.

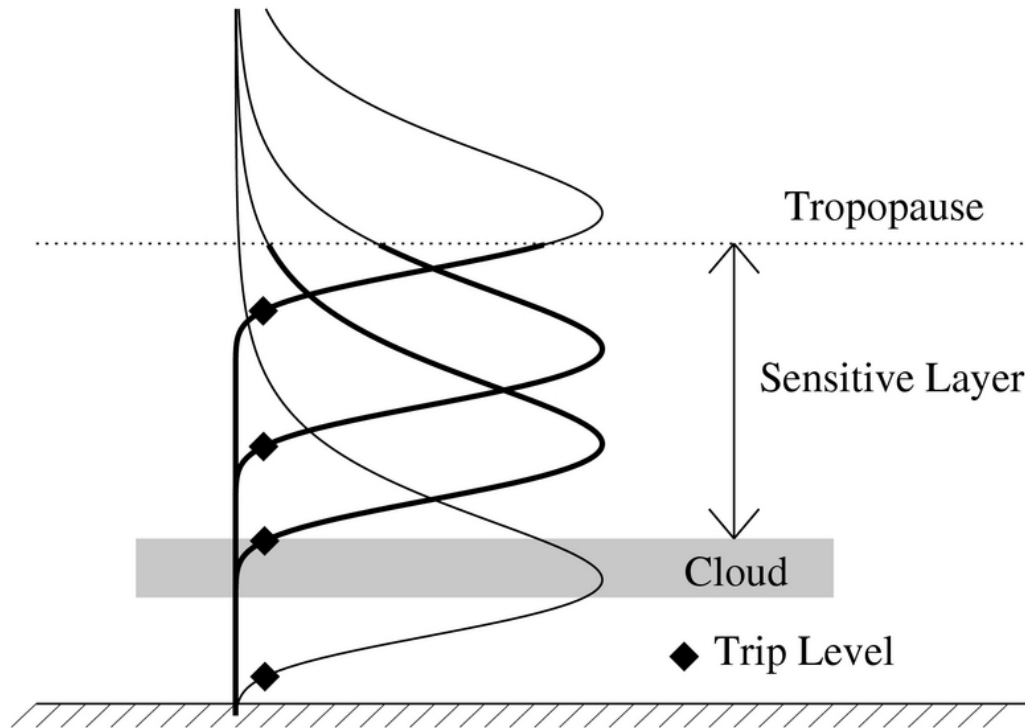


# Description of $CO_2$ assimilation system

- $CO_2$  is currently treated as a so-called 'column' variable within the 4D/3D-Var data assimilation system.
- This means that  $CO_2$  is not a model variable and is therefore not moved around by the model transport.
- For each AIRS observation location a  $CO_2$  variable is added to the control (minimisation) vector. The  $CO_2$  estimates therefore make full use of the 4D/3D-Var fields of temperature, specific humidity and ozone.
- The  $CO_2$  variable itself is limited to two column-averaged mixing ratios (1 for the troposphere and 1 for the stratosphere) with fixed profile shapes, but a variable tropopause.
- Zonal mean, monthly averaged background values estimated from flask observations are used with a background error of 30 ppmv.



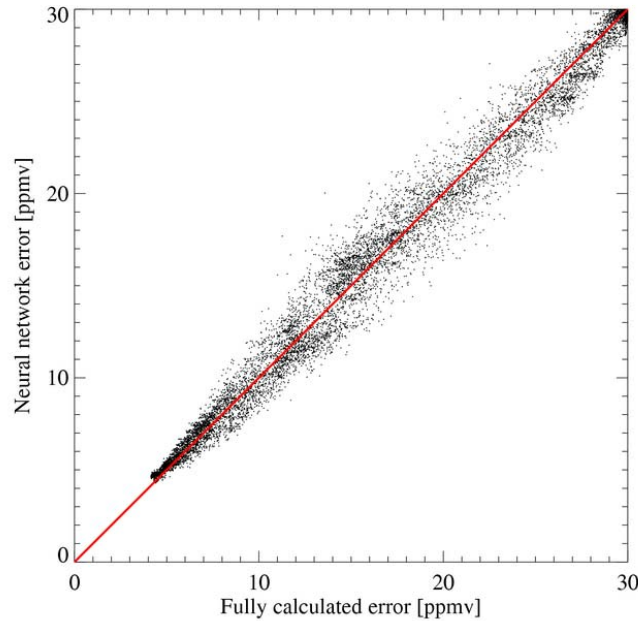
# Definition of Sensitive Layer



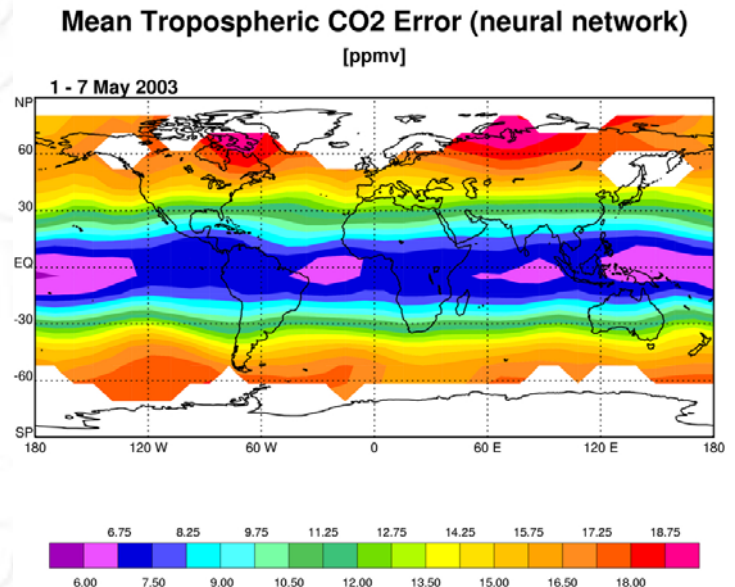
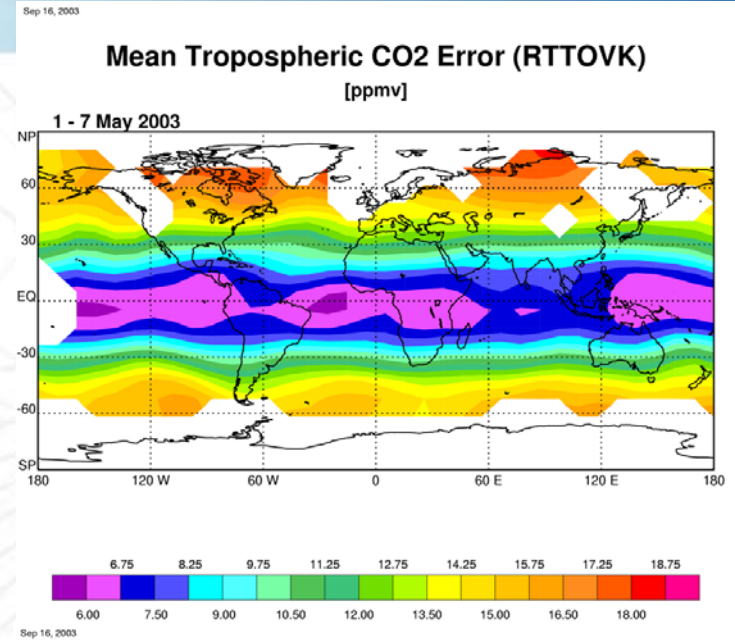
All channels that are not affected by clouds are used in the assimilation. The tropopause splits the channel sensitivity between the troposphere and the stratosphere in the adjoint calculations.



# Error Estimation



A neural network is used to estimate the  $CO_2$  analysis error as a function of lapse rate and number of channels. This avoids heavy calculations of Jacobians and therefore enables a larger amount of AIRS data in the analysis system.





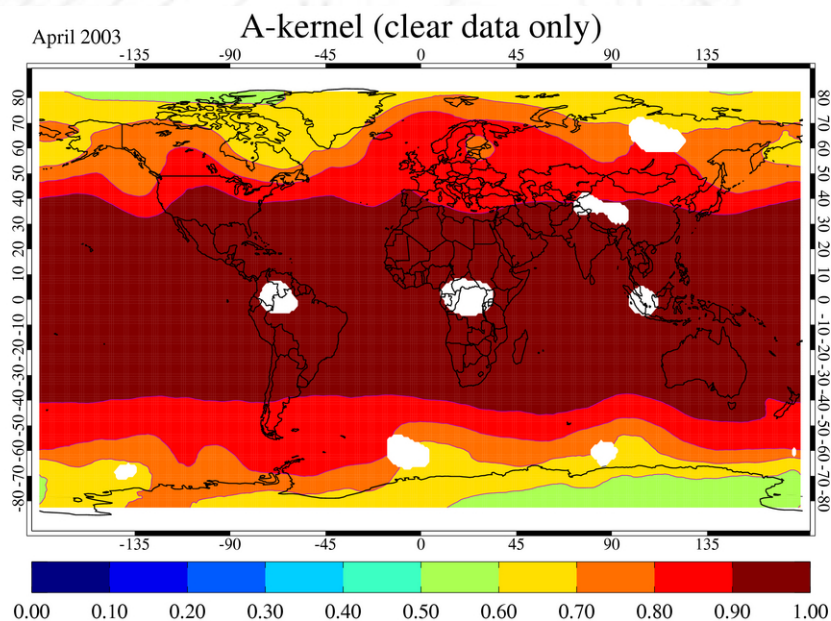
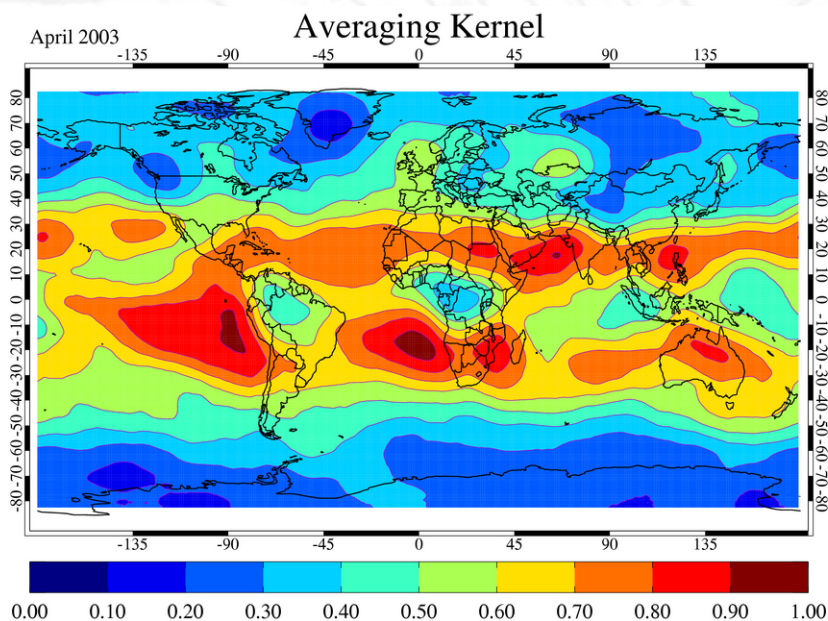
# Measure of Information Content

The averaging kernel ( $A = I - S_a S_b^{-1}$ ) is used as a relative measure of the information retrieved from the observations. After linearisation around the background we obtain the following equation (1-dimensional case):

$$\text{CO}_2(\text{analysis}) = A * \text{CO}_2(\text{real}) + [1 - A] * \text{CO}_2(\text{background}) + D_y \varepsilon_y$$

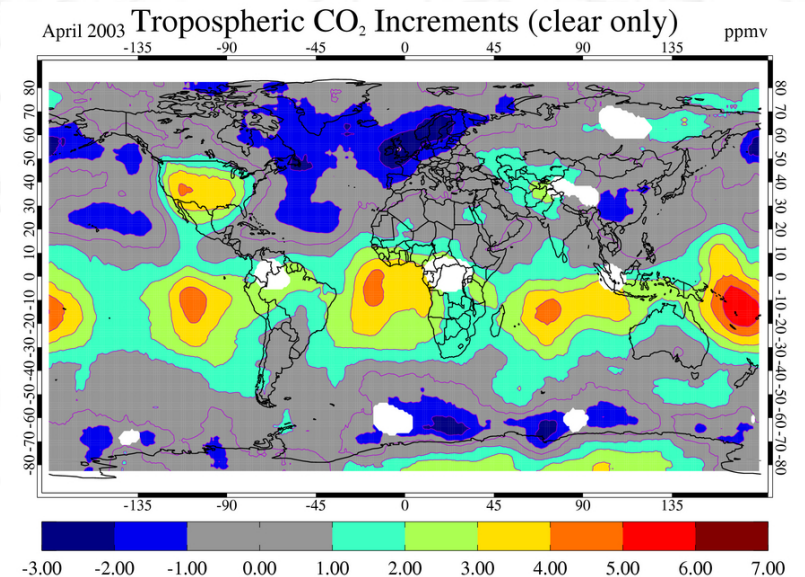
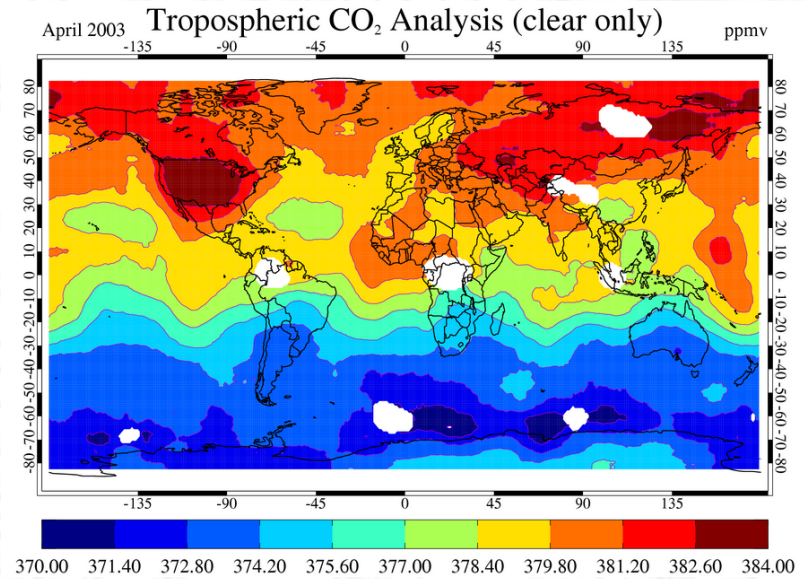
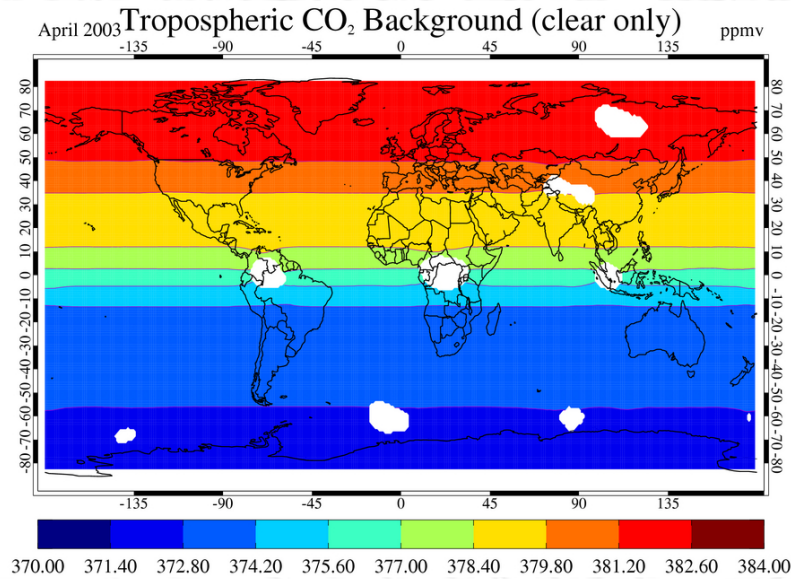
So,  $A=1$ : no background bias;

$A=0$ : analysis=background





# Global Results

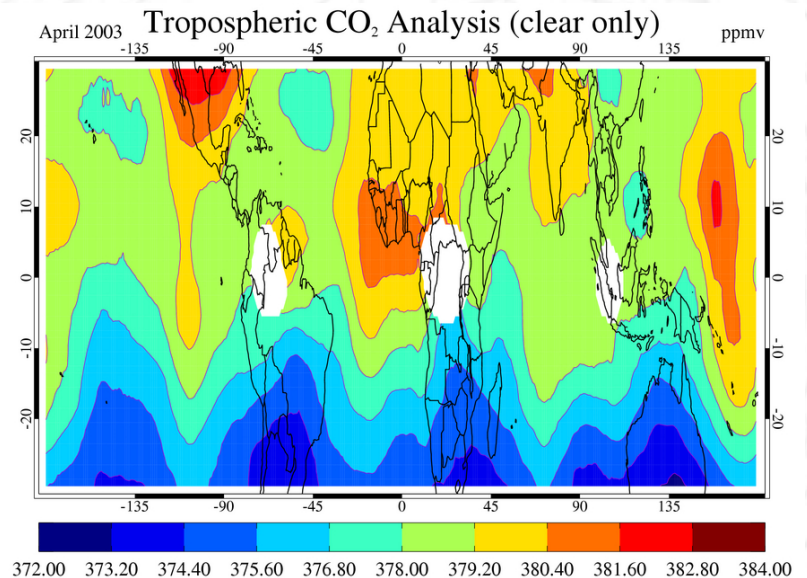
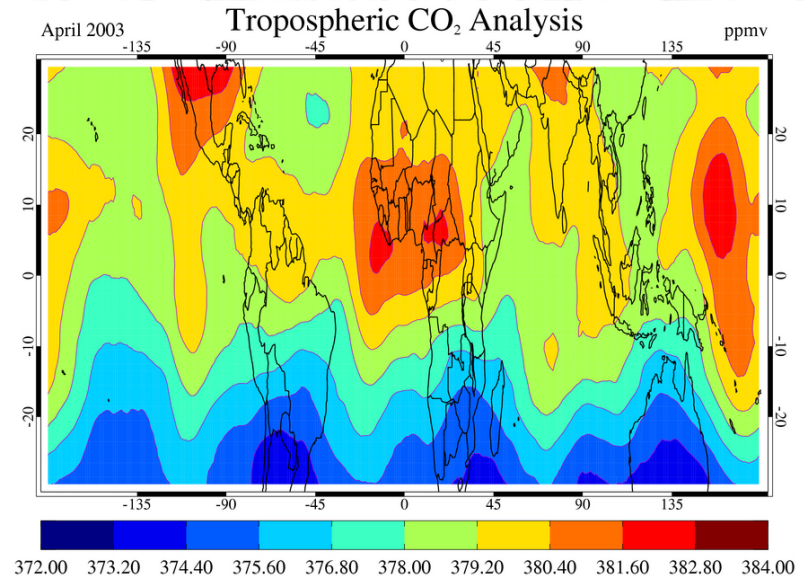
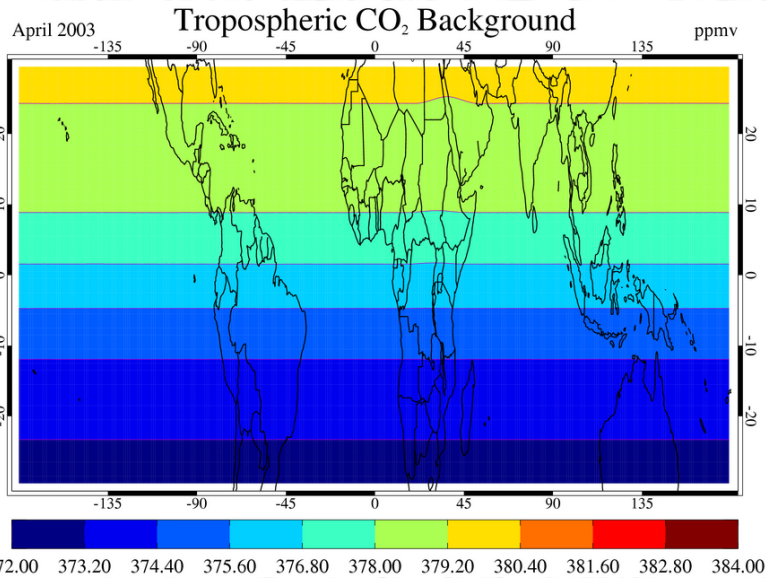


When we look at global CO<sub>2</sub> increments, most changes to the background are made in the tropics, where the information content of the observations is largest. Therefore, main focus will be on tropical results.





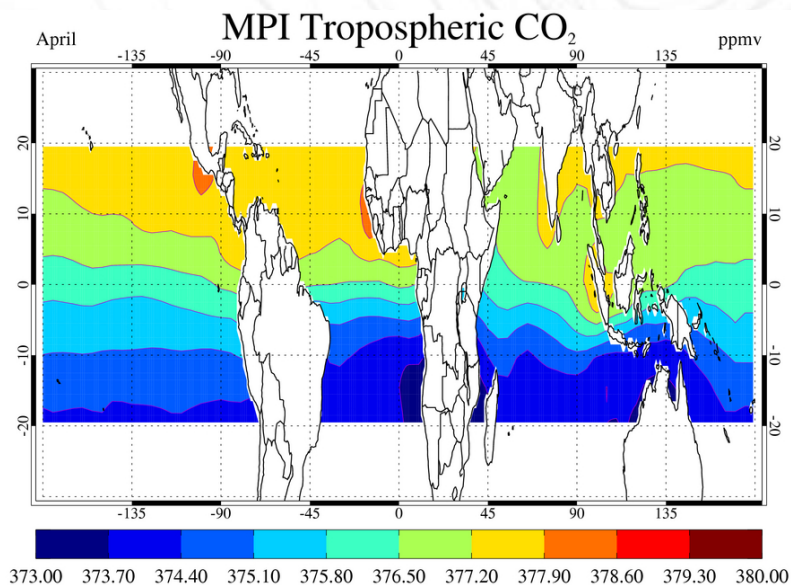
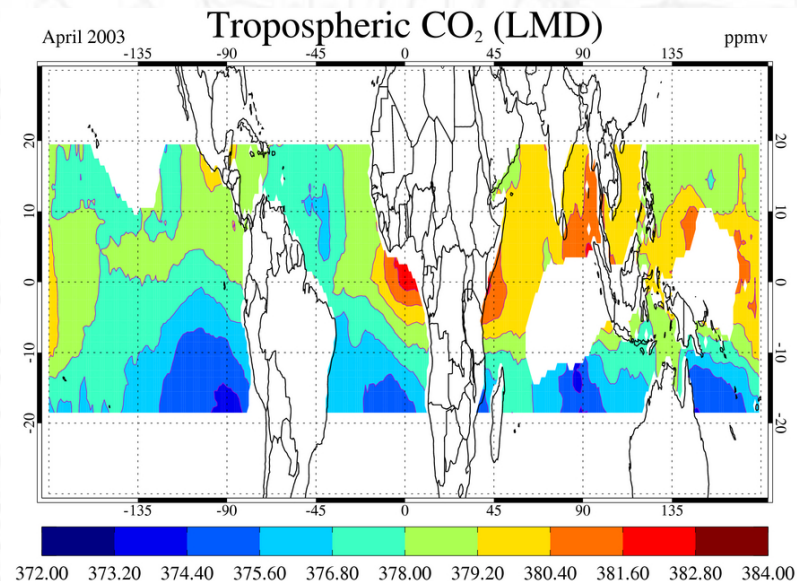
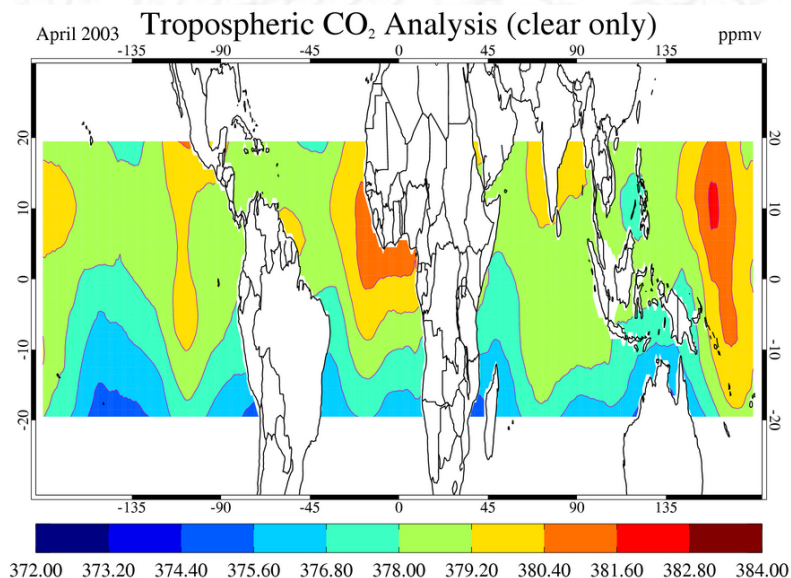
# Results troposphere



For April 2003, wave-like patterns are produced in the southern hemisphere. More complicated patterns are found in the northern hemisphere, possibly caused by biomass burning and fossil fuel emissions.



# Comparisons



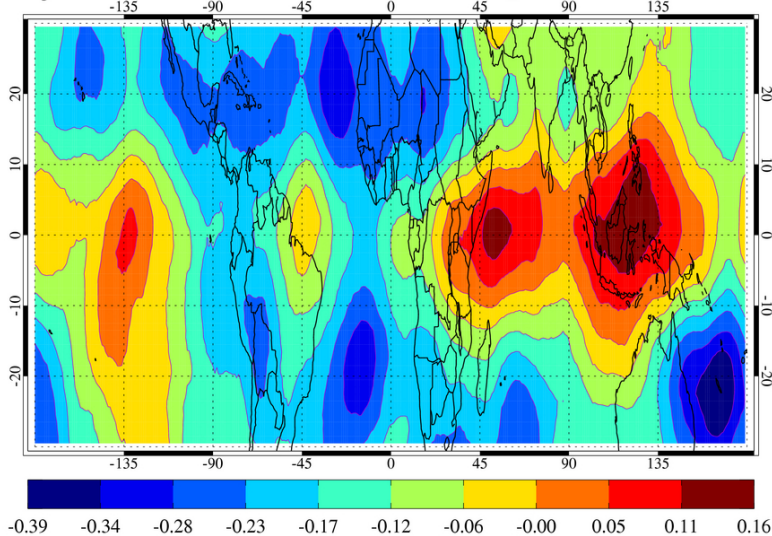
Comparisons with independent retrieval results (above) and model simulations (left) show similarities and differences.

Scale is smaller to allow comparison of patterns rather than amplitudes of gradients!

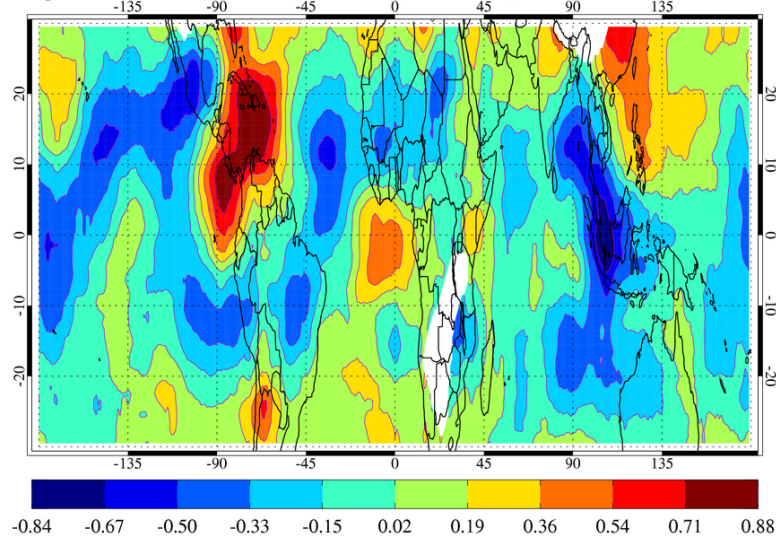


# Separation of signals?

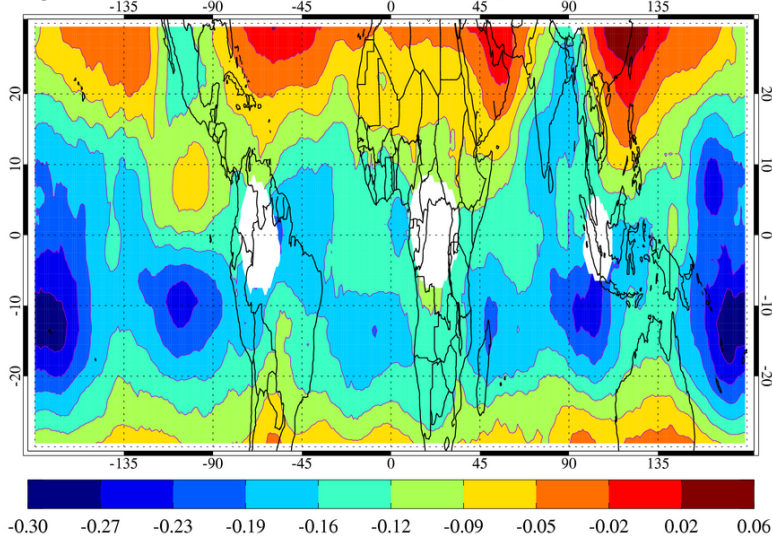
April 2003 AMSU-A Ch. 7 FG Departures Kelvin



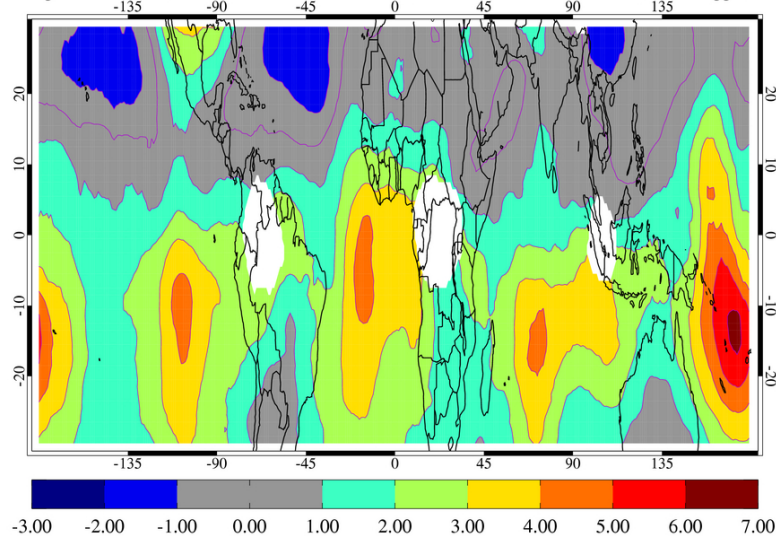
April 2003 AMSU-B Ch. 4 FG Departures Kelvin



April 2003 AIRS Ch. 193 FG Departures Kelvin



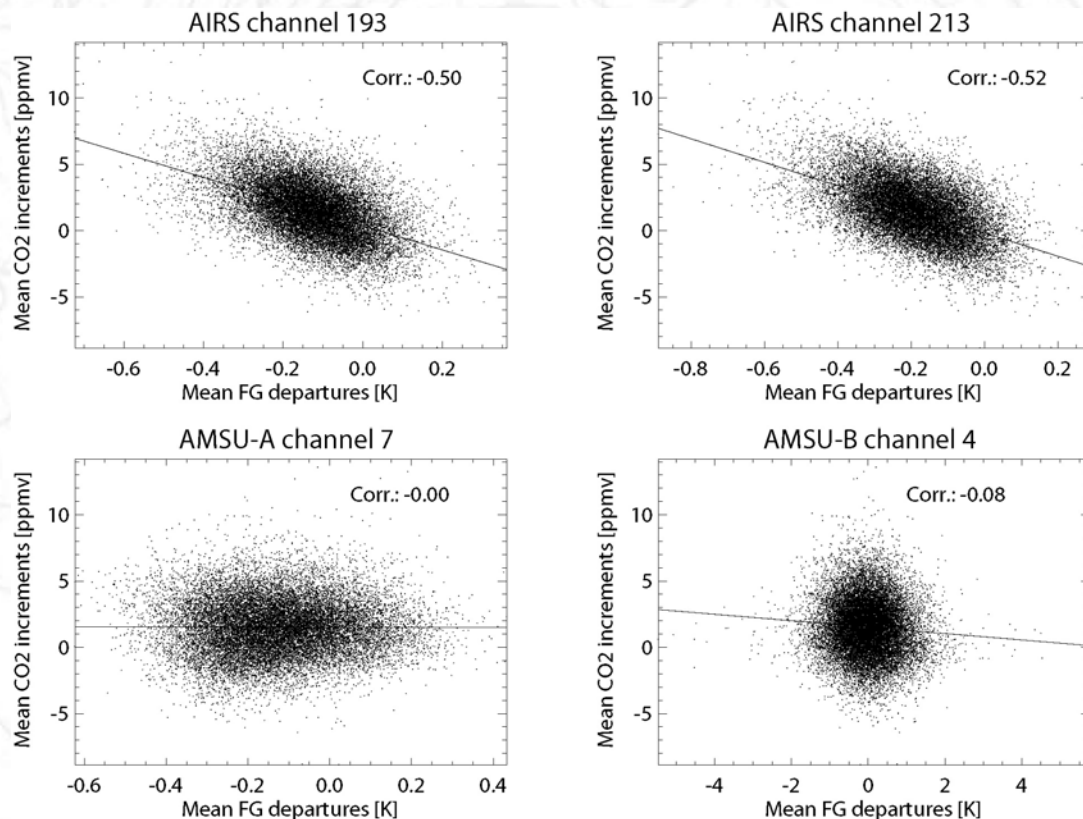
April 2003 Tropospheric CO<sub>2</sub> Departures (clear only) ppmv



CO<sub>2</sub> Data Assimilation at ECMWF



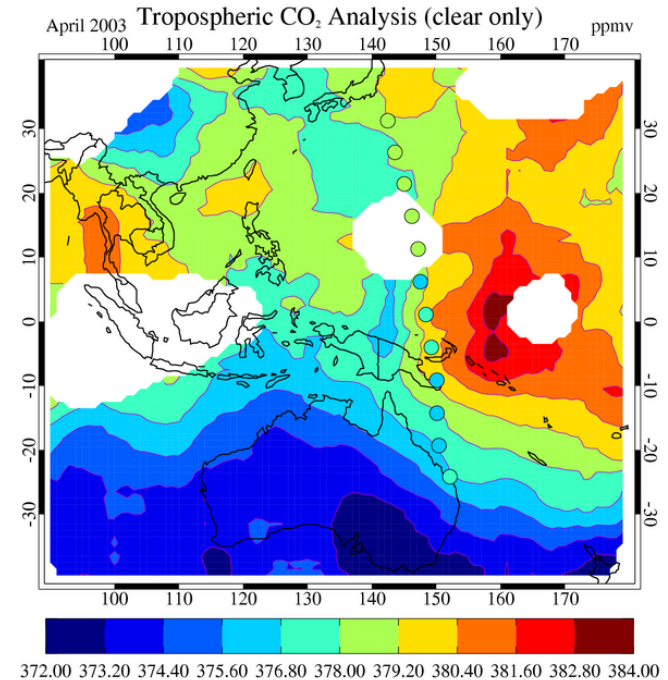
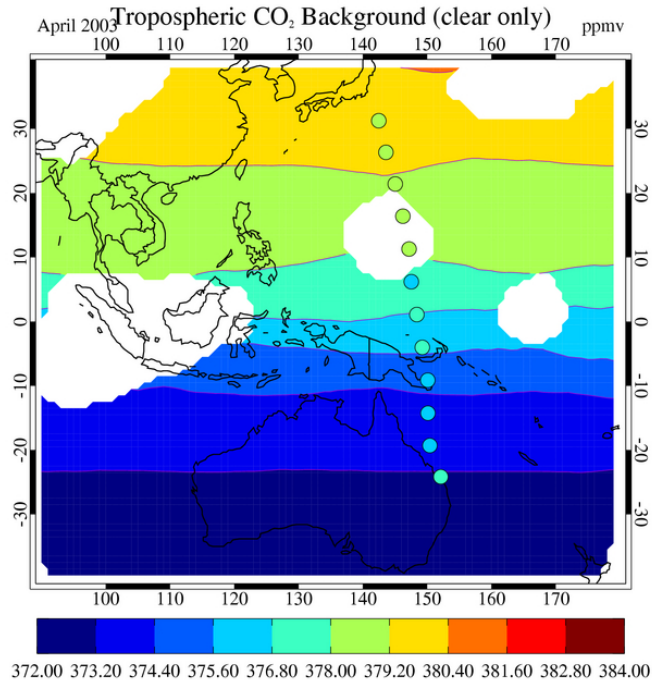
# T and H<sub>2</sub>O aliasing?



In the tropics there is a clear correlation between the AIRS FG departures and the CO<sub>2</sub> increments. This correlation is absent for the AMSU-A (temperature) and AMSU-B (water vapour) FG departures. Although not conclusive, the plots show that the possible aliasing effect is small.



# Validation with JAL observations



First validation efforts with flask measurements from Japanese commercial aircraft are encouraging. CO<sub>2</sub> analysis is within a few ppmv from the flight measurements and compares better than the background field.

Many thanks to Hidekazu Matsueda (MRI/GRD, Japan) for kindly providing the JAL CO<sub>2</sub> observations!



# Conclusions

- First results of tropospheric  $CO_2$  data assimilation are encouraging.
- Assimilation performs best in the tropical region. This is exactly where the surface flask network is very sparse.
- Validation with more in-situ flight observations is highly needed to verify results.
- Accounting for all possible bias errors is a tough undertaking and needs more scrutinizing of the results.
- Including the short-wave band should improve the  $CO_2$  estimates, because this spectral band is cleaner.
- Work has now started to include  $CO_2$  as a tracer in the forecast model, enabling a full 4D-Var  $CO_2$  analysis. This will allow a transport model constraint on the  $CO_2$  analysis that will probably reduce the horizontal scatter.